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INSERAT Manager Assecuranz (halbe Seite)

INSERAT MSM (halbe Seite)

Spezialversicherungslösungen für die Vermögensschadenrisiken von Fondsmanagern, Fondsberatern und Assetmanagern, Emittenten.

D&O and E&O solutions for fund managers, fund advisors and asset managers and IPO’s.

MANAGER ASSECURANZ COMPAGNIE AG

Herr/Mr. Harald Schaaff

Neuhaus 190  8762 Glarus Süd

☎+4144 5152302  www.managerassecuranz.ch

The MSM Investors Association is an organization for investors (a “Business Angels Club”), based in Winterthur.

Its aim is to inform and support members in the investment of their funds in unlisted companies, especially in those which create new jobs in Switzerland. The organization promotes joint investments by members and third party companies, and provides legal support, as required.

Are you interested in sharing your experience as a Business Angel and investor with a new generation of entrepreneurs? Contact us at iv@msmgroup.ch or +41 (0)52 269 21 00

Information and the membership form is available at www.msmgroup.ch/investorenvereinigung

The yearly membership fee currently is CHF 100. It increases by CHF 50 annually if no investment is made. With each investment your annual fee falls away for a period of 3 years. There are no further commitments.
Verschiedene Familienunternehmen.
Unterschiedliche Bedürfnisse.
Ein Ziel.

Erfolgreiche Nachfolgeregelung.

«Nachfolgeregelung ist Vertrauenssache – Cross kennt die Bedürfnisse von Familienunternehmen und gab mir die Möglichkeit einer Rückbeteiligung. Der ideale Partner für mich und mein Unternehmen.»

Dr. Luca Bolzani, Alteigentümer Micro-Macinazione SA. Heutiger Minderheitsaktionär und Verwaltungsrat.
Chapter I

Report from the President
Report from the President

2013 was great, can the new year hold the promise?

Dear Members and Readers

Private Equity and Venture Capital houses have invested CHF 330 billion in European companies since 2007. A total of 5’000 companies per year are provided with funding from our European industry. The Swiss industry looks back on a successful 2013 and PE Manager are content with last year’s performance. While the overall investment level in Swiss venture capital is still significantly below its economic potential. This investment stimulates innovation and in particular causes a significant increase in patent filings (Source: VICO Project).

New companies create new industries and are a source of national competitiveness. The chart below shows several technology clusters which have been created by venture capital in the United States of America. Why are the 22’700 shown venture capital funded growth companies not in Switzerland?

Switzerland has a relationship with discovery and innovation which, quite rightly, is a source of enormous national pride and hope. However, for all our capacity for scientific discovery and our huge budgets invested in public research and ETH institutions, it is somewhat disconcerting that Switzerland has slipped so far behind other technological leaders like the United States in efforts to create young growth companies and commercialise scientific discovery.

During the Industrial Revolution, Switzerland’s innovators were highly effective at sourcing the capital required to fund technological development. Since the Second World War, however, a gap has opened up between Switzerland and other developed nations, especially the USA, in large part due to America’s willingness to embrace forms of venture finance to fund innovation. The good Swiss results in the global competitiveness index are misleading because they include the extreme large numbers of a few very innovative companies which are based in Switzerland (e.g. Roche, Novartis, Nestle etc.). Today the world’s most innovative regions – such as SiliconValley, which struck upon the modern model of venture capital in the 1950s –

![Chart 1: Venture Capital creates new Industries](NVCA 2014)
are those where venture finance is found in abundance. This relationship between access to venture finance, the flourishing of technological innovation, and the ability to deliver successful commercialisation is well established.

VCs focus exclusively on companies developing significant innovations — be it a new piece of software, a life-saving cancer drug, or a new model for consumer sales. Unless the company is poised for significant growth, a VC won’t invest. Making investments at the earliest stages of a company’s development — often before a product or service is more than just an idea — involves significant entrepreneurial risk, which severely limits capital sources for such companies. Yet, venture capitalists assume this risk alongside the company founders by providing capital in exchange for an equity stake in the company.

These elements — the patience, the hands-on guidance, the willingness to take on risk and fail — make venture capital unique as an asset class and enable it to drive Swiss economic growth faster and generate more jobs than other asset classes. Historically risk capital has helped set the Swiss economy apart from our international competitors.

Since the early 1990s, successive Swiss governments have not come to realise the value of venture finance and have in no way sought to redress this gap. Only a few initiatives and agencies (e.g. CTI Invest) were created with the intent of capturing the potential of our capacity for innovation by unleashing a deluge of private capital. Policy debate after policy debate failed to incentivise all potential equity investors and entrepreneurs to come to the party.

It remains to be seen how the latest initiatives like the motion in the Swiss parliament by Konrad Graber to create a “Zukunftsfond Schweiz” develops in the political debate. We promote since some time the idea to support early stage funding and venture capital and find effective ways to translate more scientific research into successful companies.

SECA - Our key Objectives

As the voice of the Swiss private equity and venture capital community, the Swiss Private Equity and Venture Capital Association (SECA) advocates for public policies that encourage innovation, spur job creation, and reward long-term investment in start-up companies. By working with the private equity and venture capital community to foster a growth environment for emerging businesses, the federal government can help ensure that Switzerland maintains its global economic leadership and competitive advantage into the 21st century and beyond.

The SECA represents the vast majority of all Swiss private equity and venture capital firms and their advisors. While each member will have a different investment or service focus, all members are united by common governance, ownership model and a code of conduct that is focused at lasting entrepreneurial value.

The open architecture of our organization has enabled us to tackle a multitude of projects and locations in 2013. Our platform and member services have improved in quality and reach. Our online platform is now accessible through a mobil application. All of this has been realized on a comparatively very low cost to our growing membership base.

Chart 2: Growth in “Quality and Quantity”:
SECA Membership growth over the last 12 years.

Growing in number is one thing, but achieving our key objectives is another story. By helping to explain the industry’s role, adding value to our members’ business and intelligently work with policymakers, we can ensure that the SECA
evolves as a forceful opinion leader in Switzerland. To achieve this goal we set five key objectives for the coming year:

1. Representation and Lobbying: Maintain dialogue with key stakeholders to effect changes to policy, tax and regulatory environment.
2. Networking: Provide opportunities to exchange ideas and increase cooperation and deal flow between SECA members.
3. Research and Data Analysis: Conduct and publish research on issues important to the venture capital, M&A and private equity industry.
4. Professional Standards and Legal Documentation: Establish and maintain best practice ethical and professional standards and easy to use legal documentation.
5. Education and Training: Offer trainings to enhance the professional skills and expertise of practitioners or relevant people like pension fund managers.

Industry Representation, Lobbying and Regulation

On the policy and regulatory front, the SECA represented our interest and those of our industry participants vigorously and with skill. Especially, the SECA legal and tax chapter contributed to the debate over the Swiss finish of the Alternative Investment Fund Managers Directive (AIFMD), its implementation and remuneration rules. They also contributed to the crucial discussion over cost transparency in private equity by Swiss pension funds. Most importantly, we worked with the Swiss government institutions to prepare for the introduction of a lighter and more proportionate regulatory regime for many of our small private equity and venture capital funds. Unfortunately, we were not able to bring all our important issues through the system. There is still a lot to be done.

SECA Communication and Networking

Over the last year, the SECA has focused on informing the general public, policymakers and the press about private equity, describing the very real benefits it generates for the Swiss economy and dismantling some of the myths surrounding the industry.

By mobilizing our chapter members we ensure the industry’s messages are communicated in a very wide number of public engagements, including meeting with the public sector, forums for institutional investors, the Private Equity Congress, our widely read SECA eNewsletter as well as through speaking at conferences, policy hearings or universities.

Research and Data Analysis

We uncover facts. Private Equity investments in large European companies improved their productivity by 7% per year according to a study by Ernst & Young. Furthermore, private equity backed companies are less likely to default than other companies (3% compared to 6% during the 2008-2009 crisis in Europe (Boucly, Journal of Financial Economics). The availability of Venture Capital has a significant positive impact on the likely emergence of new entrepreneurial companies with high growth and high innovation potential.

These facts about private equity and venture capital come from trusted academic research and SECA sponsored statistical programmes. They show how private equity investment contributes to the economy and society.

Professional Standards and Education

In November 2013 SECA conducted the second “Private Equity & Venture Capital Workshop” shedding light on different industry aspects including several private market strategies, legal environment, comprehensive case studies and professional standards. We plan to organize specific events for pension fund and wealth managers in order to improve the understanding of the asset class.

An additional effort is to promote the education programmes on Entrepreneurship and Venture Management from the Stanford Open University. Here all sorts of interested parties can participate online and free of charge in world class tutorial programmes. Embrace the technology and take an online course at Stanford
Hopefully we can increase the knowledge level in our industry and bring a piece of Silicon Valley to Switzerland. PE Managers should be encouraged to implement best practices and wealth managers increase allocations to private equity in the future.

### Organizational Development – Our Platforms

In realization of the diverse nature of our industry association, the major governance objective in 2013 was the continuing development of our chapters – Private Equity, Legal & Tax, Seed Money & Venture Capital and Mergers & Acquisition. The chapters have the mandate to set their own set of objectives with the SECA secretariat and chairman, which are responsible for meeting and coordinating those requirements. This year as especially busy in to areas (1) the regulatory front with several new regulations on the table and (2) the venture capital chapter with the setup of a fresh concept to increase funding for early stage ventures. Thank very much for the effort.

In 2013, the board and executive committee were organized as it is shown on the organization chart in the book cover.

**Member of the Board of Directors**

- **Leonid Baur**  
  Leonardo & Cie. Corporate Finance (Schweiz) AG, Partner
- **Björn Böckenförde**  
  Zurmont Madison Management AG, CFO
- **Jacques Bonvin**  
  Tavernier Tschanz, Partner
- **Dr. Diego Braguglia**  
  VI Partners, Partner
- **Cédric Bruix**  
  Argos Soditic SA
- **Rolf Friedli**  
  Capvis Equity Partners AG
- **Dr. Ulrich W. Geilinger**  
  HBM Partners AG, Board Member
- **Dr. Hannes Glaus**  
  Bratschi Wiederkehr & Buob
- **Caroline Guéissaz**  
  Business Angels Suisse
- **Marie-Hélène Hancock**  
- **Pierre Kladny**  
  Valley Road Capital
- **Beat Kühni**  
  Lenz & Staeihlin
- **Jean-François Lagassé**  
  Deloitte SA
- **Rolf Lanz**  
  CGS Management giesinger gloer lanz & co.
- **Peter Letter**  
  Paprico AG
- **Martin Meier-Pfister**  
  IRF Communications AG
- **Christian Mustad**  
  Edgar Brand SA
- **Dr. Roberto Paganon**  
  LGT Capital Partners Ltd., Partner
- **Dr. Bernd Pfister**  
  Paros Capital AG, Partner
- **Andreas Roetheli**  
  Lenz & Staeihlin, Partner
- **Florian Schweitzer**  
  BrainsToVentures AG, Partner
- **Karl Spielberger**  
  Orlando Management Schweiz AG
- **Claudio Steffenoni**  
  Deutsche Bank (Suisse) SA
- **Jean-Philippe Tripet**  
  Aravis SA
- **Beat Unternährer**  
  The Corporate Finance Group, Partner
- **Marc-Antoine Voisard**  
  Euro Private Equity SA
- **Dr. Christian Wenger**  
  Wenger & Vieli Rechtsanwälte, Partner
- **Dieter Wirth**  
  PricewaterhouseCoopers AG

**General Secretary and Office**

As the community’s preeminent trade association, SECA serves as the definitive resource for private equity and venture capital data and unites nearly 483 members through a full range of professional services.

Our association office is the heart of the operation and runs on the basis of a hard-working and high quality service culture.
“Our Work in the SECA Office is...

**Passionate**... about the positive impact of private equity and venture capital, entrepreneurship, and innovation.

**Credible**... transparent, strategic, and data driven, serving as the trusted voice of the industry.

**Nimble**... resourceful and effective, delivering value to our ecosystem.

**Committed to Excellence**... exceeding expectations in all we do.”

The effective management of these activities is the responsibly of the SECA General Secretary Prof. Dr. Maurice Pedergnana and his excellent team (Andrea Villiger, Thomas Heimann and Patrick Bucher). The secretariat organized the media events, published research booklets, industry statistics and our highly regarded eNewsletter.

New political initiatives, the Private Equity Congress and the Private Equity Workshop were a highlight in 2013.

On June 24th, 2014 we will have a top tier programme of speakers on the Private Equity Congress and organize our traditional SECA networking party afterwards. Book the date!

Our 29th SECA General Assembly took place on the 8th of May 2013 at the Hotel Widder, Zürich.

**What will the industry look like in 2014?**

Exiting current portfolio investments will be a goal for private equity houses next year given strong demand from fresh capital and high valuations. On the buy side competition from cash-rich corporate acquires will hold a top seat in challenges.

Fund raising will also factor heavily into private equity priorities in 2014. With a good momentum from last year a number of ambitious funds will come to the market.

Regulatory issues pose a challenge especially among larger private equity firms. It is boom time for compliance officers. Last but not least, we should all be aware that this global equity bull market is entering its six year and has all the late cyclical signs. So watch your steps! In any case, we are excited to see how the year 2014 will unfold. There is plenty of opportunity in investing in Switzerland. Good luck.
Chapter II

Events & Trend Luncheons
Reporting SECA Events – German-speaking Switzerland

KAG Revision & AIFMD

Auswirkungen der Neuregelung auf den Private Equity Standort Schweiz

SECA Evening Event vom Mittwoch, 6. März 2013, Widder Hotel, Zürich

Referenten

Hannes Glaus, Bratschi Wiederkehr & Buob
Hans Markvoort, LGT Capital Partners
Dieter Wirth, PwC

Teilnehmende 50

The Future of Global Healthcare

SECA Trend Luncheon of Friday, 10 May 2013, Hotel Baur au Lac, Zurich

Speakers

G. Steven Burrill, Burrill & Company
John C. Cook, Rock Lake Associates
Andre Jaeggi, Rock Lake Associates
Maurice Pedergnana, SECA
Sven Rohmann, Burrill & Company

Participants 30

Private Equity and AIFMD

Advantages of Luxembourg as a fund or structure domicile

SECA Evening Event of Monday, 24 June 2013, Au Premier, Zurich

Speakers

Oliver Carré, PwC Luxembourg
Markus Mees, PwC Luxembourg
Valérie Tixier, PwC Luxembourg
Maarten Verjans, PwC Luxembourg
Dieter Wirth, PwC Switzerland

Participants 45
**Emergency in Emerging Markets**

*Nolstolpern Schwellenländer über ihre eigenen Ambitionen?*

SECA Evening Event vom Mittwoch, 28. August 2013, Widder Hotel, Zürich

Referenten
- Dmitriy Antropov, Partners Group
- Bernd Pfister, SECA
- Maurice Pedergnana, SECA (Moderation)

Teilnehmende
35

**Der Private Equity Markt**

*Aktuelle Entwicklungen und zentrale Herausforderungen*

SECA Evening Event vom Mittwoch, 11. September 2013, Widder Hotel, Zürich

Referenten
- Nicolas Berg, Redalpine Venture Partners AG
- Rogier Engelsma, Gilde Buy Out Partners AG
- Peter Letter, paprico ag (Moderation)
- Axel May, hostettler kramarsch partner

Teilnehmende
56

**Venture Capital als attraktive Anlageklasse**

*Ein neuer Ansatz*

SECA Evening Event vom Mittwoch, 16. Oktober 2013, Widder Hotel, Zürich

Paneldiskussion
- Alan Frei, Young SECA
- Urs Häusler, DealMarket AG
- Thomas Heimann, HBM Partners AG (Moderation)
- Markus Hosang, BioMed Partners AG
- Markus Moor, Emerald Technology Ventures AG
- Maurice Pedergnana, SECA (Moderation)
- Philipp Steinberger, c-crowd AG
- Jean-Philippe Tripet, Aravis SA

Teilnehmende
48
Going Private vs. Staying Public
Wandel der Rahmenbedingungen für börsenkotierte Unternehmen
SECA Evening Event vom Mittwoch, 20. November 2013, Widder Hotel, Zürich

Referenten
Matthias Courvoisier, Baker & McKenzie Zurich
Walter Thomas Lutz, Communicators
Philipp Lüolf, Hochschule Luzern – Wirtschaft / IFZ
Andreas Neumann, Zürcher Kantonalbank (Moderation)

Teilnehmende 63

Reporting SECA Events – Romandie

Outlook 2013
Venture Capital & Private Equity Trends
SECA Evening Event de Mardi, 29 janvier 2013, Hôtel Beau-Rivage, Lausanne

Speakers
Jean-Pierre Béguelin, chroniqueur au Temps (Modération)
Jacques Bonvin, Tavernier Tschanz
Diego Braguglia, VI Partners
Cédric Bruix, Argos Soditic
Jean-François Lagassé, Deloitte SA
Marc-Antoine Voisard, Euro-Private Equity

Participants 43
Reporting SECA Events – Highlights of the Year 2013

1. SECA Andermatt Day

“Dank Unternehmertum mit neuen Perspektiven”

SECA Andermatt Day vom Donnerstag, 13. Juni 2013, Sales & Info Center, Andermatt UR

Referenten
- Robert Fellermeier, Andermatt Swiss Alps AG
- Bernhard Russi, Andermatt Swiss Alps AG

Teilnehmende 27

12. Private Equity & Corporate Finance Conference

SECA Conference of Wednesday, 3 July 2014, SIX Convention Point, Zurich

Speakers
- several (for further information please visit www.seca.ch)

Participants 270

Top 100 Swiss Startups 2013

Meet the best young entrepreneurs in Switzerland

SECA Evening Event of Wednesday, 18 September 2013, Maag Härterei, Zurich

Speakers
- Beat Schillig, Institut für Jungunternehmen (Moderation)
- Maurice Pedergnana, SECA (Moderation)
- several others (for further information please visit www.seca.ch)

Participants 275
Reporting SECA Events – SECA Education

Seminar: Private Equity für Schweizer Pensionskassen

Einblick in die Welt von Private Equity

SECA Seminar vom Montag, 23. September 2013, Marriott Hotel, Zürich

Referenten: Diverse (für weitere Informationen besuchen Sie bitte www.seca.ch)

Teilnehmende: 56

SECA Private Equity Workshop


Referenten: Diverse (für weitere Informationen besuchen Sie bitte www.seca.ch)

Teilnehmende: 21
Reporting Young SECA Events

PCU / Young SECA
Young SECA Event of Thursday, 18 April 2013, Zunfthaus zur Schneidern, Zurich

Young SECA meet Jean-Claude Biver
Young SECA Event of Thursday, 20 June 2013, Raum Zürich

5. Young SECA Get Together
Young SECA Event of Thursday, 5 September 2013, Tamedia, Zurich

tamedia:

5. Unternehmerball hosted by Pioneers’ Club (PCU)
Young SECA Event of Saturday, 16 November 2013, The Dolder Grand, Zurich

6. Young SECA Get Together
Young SECA Event of Thursday, 21 November 2013, Amber Club, Zurich
Chapter III

Financial Audit & Report
### Balance Sheet as of 31.12.13 vs. 31.12.12 (in CHF)

#### Assets
- **Cash**: 157'055.06 vs. 176'739.15
- **Accounts receivables**: 4'789.16 vs. 2'440.00

#### Total Assets
- 161'844.16 vs. 179'179.15

#### Liabilities and Association's Equity

#### Liabilities
- **Accounts payables**: 15'456.70 vs. 29'367.15
- **Provision**: 15'009.00 vs. 22'000.00

#### Association's equity
- **Association's equity at the beginning of the year**: 127'812.00 vs. 123'542.10
- **Profit for the year**: 3'273.46 vs. 4'269.90
- **Association's equity at the end of the year**: 131'387.46 vs. 127'812.00

#### Total Liabilities and Association's Equity
- 161'844.16 vs. 179'179.15

### Income Statement (in CHF)

#### Income
- **Contributions of associates**: 375'397.00 vs. 390'580.00
- **Other income (net)**: 33'454.30 vs. 62'160.45
- **Total income**: 408'851.30 vs. 452'740.45

#### Expenses
- **Total expenses**: -405'275.84 vs. -451'770.55

#### Profit for the Year
- **2013**: 3'575.46
- **2012**: 4'269.90
The general meeting of the members of
SECA - Swiss Private Equity & Corporate Finance Association
Grabenauweg 10
6304 Zug

Cham, April 3rd, 2014

Report of the statutory auditors to the general meeting of the members of
SECA - Swiss Private Equity & Corporate Finance Association, 6304 Zug

As statutory auditors, we have audited the accounting records and the financial statements:
(balance sheet, income statement) of SECA - Swiss Private Equity & Corporate Finance Association for
the year ended December 31, 2013.

These financial statements are the responsibility of the board of the association. Our responsibility is
to express an opinion on these matters based on our audit. We confirm that we meet the legal re-
quirements concerning qualifications, qualification and independence.

Our audit was conducted in accordance with auditing standards, which require that an audit be
planned and performed to obtain reasonable assurance about whether the financial statements are
free from material misstatement. We have examined on a test basis evidence supporting the amounts
and disclosures in the financial statements. We have also assessed the accounting principles used,
significant estimates made and the overall financial statement presentation. We believe that our audit
provides a reasonable basis for our opinion.

In our opinion, the accounting records and the financial statements comply with Swiss law and the
articles of the association.

We recommend that the financial statements submitted to you be approved.

ABT Revisionsgesellschaft AG

[Signature]
Swiss Certified Accountant
Accredited Audit Expert
Chapter IV

Membership Reporting
Full Members
**acano ag**

**Contact Information**

<table>
<thead>
<tr>
<th>Address</th>
<th>Marktgasse 51</th>
</tr>
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<tbody>
<tr>
<td>3011 Bern</td>
<td></td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 31 311 37 20</td>
</tr>
<tr>
<td>Fax No</td>
<td></td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@acano.ch">info@acano.ch</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:forename.surname@acano.ch">forename.surname@acano.ch</a></td>
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<tr>
<td>Web Address</td>
<td><a href="http://www.acano.ch">www.acano.ch</a></td>
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**Company Information**

<table>
<thead>
<tr>
<th>Name</th>
<th>Mr. Markus Ochsner</th>
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<tr>
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<td>Dr. Roger Trunz</td>
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**Investment Preferences**

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<th>Construction - Building Products, Consumer Related, Energy, Environment, Food - Beverages, Industrial Products and Services, Internet Technology, Manufacturing, Telecommunications</th>
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<tr>
<td>Investment Stages</td>
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<td>Industrial Sectors</td>
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<td>Europe</td>
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**Geographical Focus**

| Type of Financing | Europe |

**Short Company Profile**

Corporate Finance: advisory services in M&A, valuation and financial modelling as well as fund raising. Offices in Bern and Zurich.
ACEPS Holding AG

Contact Information

Address
Ampèrestrasse 3
8037 Zürich

Telephone No
+41 44 735 81 00

Fax No
+41 44 735 81 69

E-mail Address for General Enquiries
info@aceps.com

Format of Personal E-mail Addresses
initials@aceps.com

Web Address
www.aceps.com

Company Information

Key People
Dr. Walter Meier

Year of Establishment
1990

Number of Employees
7

Fund Names
ACEPS Group

Capital Under Management
+ CHF 200m

Number of Portfolio Companies
over 20

Examples of Portfolio Companies
US Oil & Gas Company

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors
Energy, Technology

Geographical Focus

Type of Financing

Short Company Profile

ACEPS is a leading investment group engaging in Energy, Resources, Technologies.
### adbodmer ag

#### Contact Information

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<tr>
<th>Address</th>
<th>Seestrasse 227</th>
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<tbody>
<tr>
<td></td>
<td>8810 Horgen</td>
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<tr>
<td>Telephone No</td>
<td>+41 43 344 94 24</td>
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#### Company Information

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<th>Key People</th>
<th>Dr. Adriana Ospel-Bodmer</th>
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<td>Mr. Jan Kollros</td>
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#### Investment Preferences

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<td>Investment Stages</td>
<td>Buyout - Buyin, Delisting, Expansion -</td>
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<td>Development Capital, Turnaround - Restructuring</td>
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<td>Industrial Sectors</td>
<td>Computer Related, Construction - Building</td>
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<td></td>
<td>Automation, Industrial Products and Services,</td>
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<td></td>
<td>Manufacturing, Telecommunications,</td>
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<td>Communications other, Transportation</td>
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<tr>
<td>Geographical Focus</td>
<td>Austria, France, Germany, Switzerland, United</td>
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<tr>
<td></td>
<td>Kingdom, United States of America</td>
</tr>
<tr>
<td>Type of Financing</td>
<td>Equity Financing, Majority Equity, Minority Equity</td>
</tr>
</tbody>
</table>

#### Short Company Profile
Adveq Management AG

Contact Information

Address
Affolternstrasse 56
8050 Zürich

Telephone No
+41 58 445 55 55

Fax No
+41 58 445 55 56

E-mail Address for General Enquiries

Format of Personal E-mail Addresses

Web Address
www.adveq.com

Company Information

Key People
Mr. Philippe Bucher
Mr. Sven Lidén

Year of Establishment
1997

Number of Employees
over 90

Fund Names
Programmes: Adveq Asia; Adveq Europe; Adveq Opportunity; Adveq Real Assets; Adveq Secondaries; Adveq Technology

Capital Under Management
approx. USD 5.4bn

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
All

Industrial Sectors
All

Geographical Focus
Worldwide

Type of Financing
None

Short Company Profile

Founded in 1997, Adveq is a leading asset manager investing in private equity and real asset funds globally. It offers specialized investment solutions which allow the firm’s clients to access select private market segments globally. To date, Adveq has invested in more than 400 funds on behalf of its clients and generated consistent returns throughout economic cycles. Adveq’s client base comprises institutional investors such as pension funds, insurance companies, family offices and other financial institutions located in Europe, North America and the Asia-Pacific region. Many of Adveq’s investors are repeat, long-term clients with whom the firm has developed a role as a trusted partner for private market investing. Adveq has offices in Zurich, Frankfurt, London, New York, Beijing, Shanghai and Hong Kong, as well as a representative office in Sydney.
### aeris Capital AG

#### Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Churerstrasse 70</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>8808 Pfäffikon</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 55 511 12 22</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 55 511 12 50</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@aeris-capital.com">info@aeris-capital.com</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:forename.surname@aeris-capital.com">forename.surname@aeris-capital.com</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.aeris-capital.com">www.aeris-capital.com</a></td>
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#### Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Mr. Ivan Popovic</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mr. Uwe Feuersenger</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2006</td>
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<tr>
<td>Number of Employees</td>
<td>40</td>
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#### Investment Preferences

<table>
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<td>Geographical Focus</td>
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<tr>
<td>Type of Financing</td>
<td>Equity Financing, Majority Equity, Minority Equity</td>
</tr>
</tbody>
</table>

#### Short Company Profile

Family investment office
Affentranger Associates SA

Contact Information

Address
Uraniastrasse 26
8001 Zürich

Telephone No
+41 44 575 28 28

Fax No

E-mail Address for General Enquiries
info@aasa.com

Format of Personal E-mail Addresses
initials@aasa.com

Web Address
www.aasa.com

Company Information

Key People
Mr. Lukas André
Mr. Anton Affentranger
Mr. Markus Decker

Year of Establishment
2002

Number of Employees
5

Fund Names

Number of Portfolio Companies
5

Examples of Portfolio Companies
dartfish SA, mikron holding SA (SWX, Ticker: MIKN), forteq, inflaRx, selfFrag

Investment Preferences

Size of Investment

Investment Stages
All

Industrial Sectors
All

Geographical Focus
All

Type of Financing
All

Short Company Profile

affentranger associates (aasa), founded 2002, is a business platform focused on the theme of value creation. As principal investor, aasa has the ambition to achieve sustainable long term returns through a symbiosis of capital and labour investments into turnaround, transitioning and venture companies. We believe that the congruence of leadership, financial commitment and day-to-day management are necessary contributions to the creation of sustainable corporate value. By applying complementary skills in leadership, management, technology, production, marketing paired with hands-on involvement we strive for the stringent implementation of a focused business model. As trusted advisor, aasa provides tailored entrepreneurial solutions based on unbiased and independent advice. We help our clients analyze and understand major industry trends, evaluate their strategic options and execute value creating corporate finance transactions. Together we shape strategic vision. Highest ethical standards and flawless execution are the cornerstones of our professional advisory work.
# AFINUM Management AG

## Contact Information

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Address</strong></td>
<td>Dufourstrasse 48</td>
</tr>
<tr>
<td></td>
<td>8024 Zürich</td>
</tr>
<tr>
<td><strong>Telephone No</strong></td>
<td>+41 43 500 33 00</td>
</tr>
<tr>
<td><strong>Fax No</strong></td>
<td>+41 43 500 33 01</td>
</tr>
<tr>
<td><strong>E-mail Address for General Enquiries</strong></td>
<td><a href="mailto:forename.surname@afinum.ch">forename.surname@afinum.ch</a></td>
</tr>
<tr>
<td><strong>Format of Personal E-mail Addresses</strong></td>
<td><a href="mailto:forename.surname@afinum.ch">forename.surname@afinum.ch</a></td>
</tr>
<tr>
<td><strong>Web Address</strong></td>
<td><a href="http://www.afinum.ch">www.afinum.ch</a></td>
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## Company Information

<table>
<thead>
<tr>
<th><strong>Key People</strong></th>
<th>Dr. Philipp Schülin</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Year of Establishment</strong></td>
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<td><strong>Fund Names</strong></td>
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<td>Wohnprofil, Schaetti, Pinova, Wallenborn, Unitipool</td>
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## Investment Preferences

<table>
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<tr>
<th><strong>Size of Investment</strong></th>
<th>over CHF 10m</th>
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<tr>
<td><strong>Investment Stages</strong></td>
<td>Buyout - Buyin, Replacement Capital</td>
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<td><strong>Geographical Focus</strong></td>
<td>Austria, Germany, Switzerland</td>
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<tr>
<td><strong>Type of Financing</strong></td>
<td>Majority Equity</td>
</tr>
</tbody>
</table>

## Short Company Profile
Agire Invest SA

Contact Information

Address
Via Cantonale 18
6928 Manno
Telephone No
+41 91 610 27 10
Fax No
E-mail Address for General Enquiries
info@agire.ch
Format of Personal E-mail Addresses
Web Address
www.agire.ch

Company Information

Key People
Dr. Paolo Orsatti
Dr. Lorenzo Leoni
Year of Establishment
2011
Number of Employees
2
Fund Names
AGIRE INVEST
Capital Under Management
CHF 7m
Number of Portfolio Companies
14
Examples of Portfolio Companies
IBI SA, Beyounic SA, 99 Technologies SA, Newscron SA

Investment Preferences

Size of Investment
up to CHF 0.5m
Investment Stages
Early-Stage
Industrial Sectors
All
Geographical Focus
Switzerland
Type of Financing
Loans, Minority Equity

Short Company Profile

Agire Invest, as part of the Innovation Agency of Canton Ticino, is responsible for selecting and financing the creation and development of start-ups and innovative projects within existing companies, encouraging the creation of a high added value industrial tissue and highly qualified jobs.
AIL Structured Finance AG

Contact Information

Address Schaffhauserstrasse 418 8050 Zürich
Telephone No +41 43 299 62 00
Fax No +41 43 299 62 12
E-mail Address for General Enquiries thomas.enz@ailsf.ch
Web Address www.ailsf.ch

Company Information

Key People Mr. Urs Gerspacher
Mr. Beat Imwinkelried
Mr. Raphael Steiner
Year of Establishment 2002
Number of Employees 8

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment None
Investment Stages Energy, Renewable Energy, Infrastructure,
Transportation (Rolling Stock), Waste Water
Treatment + Waste Management, Oil + Gas
Industry, Textile Industry, Steel Industry

Industrial Sectors

Geographical Focus Worldwide
Type of Financing Structured + Project Finance, Export + Trade
Finance, Corporate Finance

Short Company Profile

AIL Structured Finance AG is a professional partner for customized financial advisory services and investment management in the energy and infrastructure sector. AIL provides extensive financial advisory services for structured- and project related financing transactions as well as financial sales support for exporters. AIL assists investors, exporters, sponsors and project developers in finding the ideal financing structure with an optimal risk profile and provides support in arranging the required financing. In addition, AIL provides investors with specialized advisory services in connection with their long-term investments in infrastructure and energy (including renewable energy) projects.
Akina Ltd.

Contact Information

Address Sihlstrasse 20
8021 Zürich
Telephone No +41 44 220 16 00
Fax No +41 44 220 16 70
E-mail Address for General Enquiries private.equity@akinapartners.com
Format of Personal E-mail Addresses forename.surname@akinapartners.com
Web Address www.akinapartners.com

Company Information

Key People Mr. Mark Zünd
Mr. Christopher Bödtker
Mr. Thomas Frei
Year of Establishment 1998
Number of Employees 30
Fund Names Euro Choice
Capital Under Management > EUR 1.8bn
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages Buyout - Buyin, Delisting, Expansion -
Development Capital, Privatisation, Replacement
Capital, Turnaround - Restructuring
Industrial Sectors All
Geographical Focus Central and Eastern Europe, Europe, Western
Europe
Type of Financing Equity Financing

Short Company Profile

Akina Ltd. is the Advisor to various Private Equity Funds investing in the mid-market segment - with more than EUR 1.8 billion AuM in the Private Equity field.
Alpha Associates AG

Contact Information

Address  
Talstrasse 80  
8001 Zürich

Telephone No  
+41 43 244 31 00

Fax No  
+41 43 244 31 01

E-mail Address for General Enquiries  
info@alpha-associates.ch

Format of Personal E-mail Addresses  
forename.surname@alpha-associates.ch

Web Address  
www.alpha-associates.ch

Company Information

Key People  
Dr. Peter Derendinger  
Dr. Petra Salesny  
Mr. Petr Rojicek

Year of Establishment  
2004

Number of Employees  
30

Fund Names  
Private Equity Holding AG, 5E Holding AG,  
Alpha 2001 LP, Alpha CEE II LP, Alpha Russia &  
CIS Secondary LP

Investment Preferences

Size of Investment  
at least EUR 3m

Investment Stages  
Buyout - Buyin, Expansion - Development Capital,  
Mezzanine - Bridge Finance

Industrial Sectors  
All

Geographical Focus  
Central and Eastern Europe, Western Europe,  
Russia, United States of America

Type of Financing  
Equity Financing

Short Company Profile

Alpha Associates is an independent private equity fund-of-funds manager and advisor based in Zurich, Switzerland, with a team of 30 professionals. We invest and manage diversified private equity funds-of-funds and private equity accounts for a global institutional and private client base, providing the full range of private equity management and advisory services. We have made and managed investments in more than 300 private equity funds around the world and across all stages. Our clients appreciate our prudent and disciplined investment approach combined with our ability to take advantage of market opportunities, our flexibility in serving their specific needs and our sophisticated portfolio management and reporting tools.
## ALTION CAPITAL AG

### Company Information

| Address              | Dufourstrasse 60  
|                      | 8702 Zollikon     |
| Telephone No         | +41 43 499 43 43   |
| Fax No               | +41 43 499 43 44   |
| E-mail Address for General Enquiries | forename.surname@altium.ch |
| Format of Personal E-mail Addresses | www.altiumcapital.com |

### Key People

- Mr. Christian Wipf
- Mr. Alexander Grünwald
- Mr. Thomas Egli
- Mr. Thierry De Sépibus

### Year of Establishment

1986

### Number of Employees

- 19 in Zurich, 120 in Europe, 200+ worldwide

### Investment Preferences

- **Size of Investment**
- **Industrial Sectors**
  - Internet, Technology, Consumer, Healthcare,
  - Energy, Industrials, Business Services
- **Geographical Focus**
  - Europe, United States of America, Asia
- **Type of Financing**
- **Examples of Portfolio Companies**

### Short Company Profile

Altium was founded in 1986 to provide tailored M&A, debt and corporate finance advice to mid-market companies, private equity firms and entrepreneurs.

The firm’s principals believe that maintaining an independent perspective is crucial, which is why Altium is employee-owned.

The Altium team has strong expertise and wide-ranging experience in the Internet, TMT, Consumer, Energy, Healthcare, Business Services and Industrials sectors.

Altium serves its international clients through a global network across 15 offices in key markets in Europe, the USA and Asia.
**APEN AG**

### Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Industriestrasse 13c 6304 Zug</th>
</tr>
</thead>
<tbody>
<tr>
<td>Telephone No</td>
<td>+41 41 710 70 60</td>
</tr>
<tr>
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<td>+41 41 710 70 64</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@apen.com">info@apen.com</a></td>
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<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:forename.surname@apen.com">forename.surname@apen.com</a></td>
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<tr>
<td>Web Address</td>
<td><a href="http://www.apen.com">www.apen.com</a></td>
</tr>
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### Company Information

- **Key People**: Mr. Conradin Schneider, Mr. David Salim, Mr. Guido Cornella
- **Year of Establishment**: 1999
- **Number of Employees**: 1999
- **Fund Names**: Capital Under Management CHF 380m
- **Number of Portfolio Companies**: 400
- **Examples of Portfolio Companies**:

### Investment Preferences

- **Size of Investment**: USD 5-15m
- **Investment Stages**: Buyout – Buyin, Expansion - Development Capital
- **Industrial Sectors**: All
- **Geographical Focus**: Asia, Latin-America, Africa, Central and Eastern Europe, Russia, Middle East
- **Type of Financing**: Equity Financing, Majority Equity, Minority Equity

### Short Company Profile

APEN Ltd. is a Swiss investment company, actively managing a well balanced portfolio of private equity funds and direct investments in privately held operating companies. APEN Ltd. is listed on the SIX Swiss Exchange under the ticker symbol “APEN” and is traded daily.
Aravis SA

Contact Information

Address
Merkurstrasse 70
8032 Zürich

Telephone No
+41 43 499 20 00

Fax No
+41 43 499 20 01

E-mail Address for General Enquiries
forename@aravis.ch

Format of Personal E-mail Addresses
forename@aravis.ch

Web Address
www.aravis.ch

Company Information

Key People
Mr. Jean-Philippe Tripet
Dr. Simon Nebel
Dr. Oliver Thalmann

Year of Establishment
2001

Number of Employees
12

Fund Names
Aravis Venture I, LP; Aravis Biotech II, LP; Aravis Energy I, LP; Aravis Energy II

Capital Under Management
CHF 279m

Number of Portfolio Companies
18

Examples of Portfolio Companies
Evolva, Telormedix, Symetis, Morewind, Strongwind and Donnadolce

Investment Preferences

Size of Investment
CHF 7-10m

Investment Stages
Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital

Industrial Sectors
Biotechnology, Energy, Medical - Life Sciences - Health Related

Geographical Focus
Continental Europe

Type of Financing
Equity Financing

Short Company Profile

Aravis is the first independent Swiss on-shore private equity house. With a track record of investment in the renewable energy and life science spaces, the company benefits from an investment expertise that transcends industry sectors. Currently actively managing more than a quarter of a billion Swiss francs, Aravis funds have a track record of success in the service of over 30 leading investors including corporates, pension funds, government funds, insurance companies and family offices. Since 1995, the Aravis partners have a cumulative history of investments in over 100 companies. An established investor in renewable energy, the Aravis Energy team has demonstrated their ability to raise funds, attract syndicate and structure complex projects resulting to date in the divestment of five renewable energy companies producing in excess of 100 gigawatt-hours. With a long track record in life science and two life science investment funds, Aravis has held an early stage stake in some of the more eye-catching Swiss life science companies and has had over the years some notable exits through reverse takeover, IPO or acquisition.
ARDIAN

Contact Information

Address
Bahnhofstrasse 20
8001 Zürich

Telephone No
+41 44 213 27 27

Fax No
+41 44 213 27 28

E-mail Address for General Enquiries
forename.surname@ardian-investment.com

Web Address
www.ardian-investment.com

Company Information

Key People
Mr. Martin Kessi (Contact for Swiss office)

Year of Establishment
1996

Number of Employees
over 300

Fund Names
USD 36bn

Number of Portfolio Companies
165 portfolio companies & 800 portfolio funds

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance

Industrial Sectors
All

Geographical Focus
All

Type of Financing
Equity Financing, Majority Equity, Minority Equity, Mezzanine

Short Company Profile

With $36 billion of assets managed or advised, ARDIAN, an AXA Investment Managers company, is a diversified private equity firm with an international reach covering ten offices in Paris, Frankfurt, London, New York, Singapore, Milan, Zurich, Jersey, Beijing and Luxembourg. Since 1996, the firm has supported the development and long-term growth of its portfolio companies while generating sustained and stable returns. ARDIAN provides investors with funds across the full range of private equity offerings: funds of funds; LBO mid cap and small cap; venture capital; co-investment; infrastructure; and mezzanine.
Argos Soditic S. A.

Contact Information

Address
118, Rue du Rhône
1204 Genève

Telephone No
+41 22 849 66 33

Fax No
+41 22 849 66 27

E-mail Address for General Enquiries
gsemmens@argos-soditic.com

Format of Personal E-mail Addresses
initial of forenameSurname@argos-soditic.com

Web Address
www.argos-soditic.com

Company Information

Key People
Mr. Guy Semmens
Mr. Cédric Bruix
Mr. Cédric Perlet
Mr. Johann Marion

Year of Establishment
1989

Number of Employees
35

Fund Names
Euroknights IV + V + VI + Argos Expansion

Capital Under Management
EUR 750m

Number of Portfolio Companies
18

Examples of Portfolio Companies
Maillefer, Kermel, ORS, Kägi Söhne AG, Misapor, Swibox, Barholet Maschinenbau Flums, ASC International House

Investment Preferences

Size of Investment
EUR 5-50m

Investment Stages
Buyout - Buyin, Expansion - Development Capital

Industrial Sectors
All

Geographical Focus
Europe, France, Italy, Switzerland

Type of Financing
Equity Financing

Short Company Profile

Argos Soditic is a leading Swiss-based independent European mid-market private equity firm with offices in Geneva, Paris, Brussels and Milan. The firm advises EUR 750 million of capital focused on small to medium sized enterprises (turnover of CHF 20 to 250 million) investing in buy-outs and expansion capital opportunities. The firm is focused on unusual and special situations where it can generate real value through growth and structural improvements rather than just leverage. Investments which the firm has led from Switzerland include Kermel (the French specialty flame retardant business) and Maillefer (the Swiss cable machinery company), as well as Kagi (the Swiss chocolate wafer brand), Misapor (foam glass aggregates), Axyntis (fine chemicals), Swibox (Swiss engineering solutions for the machinery industry), BMF (cable cars and ropeways) and, in 2012, ASC International House (education). In addition to the investments activity, the Geneva office runs the administration, back office and investor relations for the Argos Soditic group.
ATF Group AG

Contact Information

Address
Seefeldstrasse 124
8008 Zürich

Telephone No
+41 43 243 82 01

Fax No
+41 43 243 82 06

E-mail Address for General Enquiries
info@atf-ltd.com

Format of Personal E-mail Addresses

Web Address
www.atf-ltd.com

Company Information

Key People
Mr. Francois Wiget
Mrs. Carole Hofmann
Dr. Leo Schrott

Year of Establishment
2007

Number of Employees
6

Fund Names
Direct investments like Aquawell, Mercurius Resources, visaVento, M&A Property

Capital Under Management
over CHF 200m

Number of Portfolio Companies
7

Examples of Portfolio Companies
i.l.team AG (22'000 customers, sold Feb 2014 with profit > 500% p.a.), Aquawell Group AG (NPV CHF 18m, 300m bottles p.a.), visaVento 410 Megawatt (ROI > 25% p.a., NPV EUR 40m, Capex EUR 450m), AVEVA AG (Versicherungs- und Immobilienbroker, Target ROI > 100% p.a.)

Investment Preferences

Size of Investment
over CHF 1m

Investment Stages
Early-Stage, Expansion - Development Capital

Industrial Sectors

Geographical Focus
Switzerland, Asia, Central and Eastern Europe, Middle East, Africa

Type of Financing
All

Short Company Profile

ATF is a Multy-client-Family Office and corporate finance and investment advisory firm. We offer our experience and know-how in wealth and value creation to private clients and institutional investors. Advisors for wealth management, real estate, fine art, private equity and M&A.
aventic partners AG

Contact Information

Address
Schweizergasse 10
8001 Zürich

Telephone No
+41 44 285 15 85

Fax No
+41 44 285 15 86

E-mail Address for General Enquiries
info@aventicpartners.ch

Format of Personal E-mail Addresses
forename.surname@aventicpartners.ch

Web Address
www.aventicpartners.ch

Company Information

Key People
Mr. Alan Frei
Mr. Jean-Claude Rebetez
Mr. Peter Balsiger

Year of Establishment
1999

Number of Employees
7

Fund Names
aventic AG, am-tec kredit AG

Capital Under Management
CHF 70m

Number of Portfolio Companies

Examples of Portfolio Companies
www.aventicpartners.ch (References)

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Expansion - Development Capital

Industrial Sectors
Electronics, Industrial Automation, Industrial Products and Services, Manufacturing, Telecommunications

Geographical Focus
Switzerland

Type of Financing
All

Short Company Profile

aventic partners has been active in the Swiss SME market since 1999 and provides tailor-made debt, subordinated debt, convertible debt and minority equity financing to SMEs, which have a turnover in the range of 2-40 mio CHF. The financing volume range is 0.5-5 mio CHF.

The team of aventic partners has strong industrial background and hence, the focus is to work closely with small and medium sized Swiss industrial companies. The target customer is an industrial enterprise which wants to accelerate its growth and profitability or which is in a situation of ownership change.

Typical industry sectors include Electronics, Micro-Electronics, Industrial Automation, Industrial Products and Services, Micro-Mechanics, Mechatronics, Optics, Sensors, Robotics, Precision Mechanics, Surface Technology and similar core areas of the Swiss manufacturing industry.

The partners of aventic partners also have experience in strategic and organizational matters, as well as in restructuring companies. Hence, they are willing to take board responsibilities, as well. To date, more than 50 companies have been financed, resulting in several IPOs and M&As.
Bank am Bellevue

Contact Information

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8700 Küsnacht

Telephone No
+41 44 267 72 62

Fax No
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E-mail Address for General Enquiries
corporatefinance@bellevue.ch

Format of Personal E-mail Addresses
initial of forename two initials of
surname@bellevue.ch

Web Address
www.bellevue.ch

Company Information

Key People
Mr. Friedrich Dietz
Ms. Kalina Scott
Mr. Marius Zuberbühler

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

Brokerage - Corporate Finance - Equity Capital Markets - Public Takeovers / M+A & Defense - Private M+A - Block Trades
Bank J. Safra Sarasin AG

Contact Information

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Löwenstrasse 11
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+41 44 213 96 98

E-mail Address for General Enquiries
forename.surname@jsafrasarasin.com

Web Address
www.jsafrasarasin.ch

Company Information

Key People
Mr. Matthias Spiess
Mr. Alexander Cassani
Mr. Niklaus Müller

Year of Establishment
1841

Number of Employees
742

Fund Names

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin

Industrial Sectors
All

Geographical Focus
Europe, Latin America

Type of Financing
Equity Financing, Minority Equity

Short Company Profile

M&A advisory, Equity Capital Markets (IPO, Secondary placements, tender offer, etc.) and Corporate Finance


Mehr Informationen
www.hslu.ch/ifz-weiterbildung, T +41 41 757 67 67, ifz@hslu.ch

Wir führen Sie weiter!

Master of Advanced Studies
- MAS Bank Management
- MAS Controlling
- MAS Corporate Finance
- MAS Economic Crime Investigation
- MAS Immobilienmanagement
- MAS Pensionskassen Management
- MAS Private Banking & Wealth Management

Diploma of Advanced Studies
- DAS Accounting
- DAS Compliance Management
- DAS Controlling
- DAS Corporate Finance
- DAS Pensionskassen Management
- DAS Private Banking & Wealth Management

Certificate of Advanced Studies
- CAS Commodity Professional
- CAS Finanz- und Rechnungswesen für Juristen
- CAS Finanzmanagement für Nicht-Finanzfachleute
- CAS Finanzmathematik und Statistik
- CAS Führungskompetenz für Finanzfachleute
- CAS Fundamentals in Banking
- CAS Swiss Certified Treasurer (SCT)®

Fachausbildungen
- Brush-up Financial Accounting
- Fachkurs Controlling
- Fachkurs Corporate Riskmanagement
- Fachkurs Finanzen

Summer School/Seminare und Konferenzen
Bank Vontobel AG

Contact Information

Address
Gotthardstrasse 43
8022 Zürich

Telephone No
+41 58 283 63 29

Fax No
+41 58 283 70 75

E-mail Address for General Enquiries
forename.surname@vontobel.ch

Format of Personal E-mail Addresses

Web Address
www.vontobel.com

Company Information

Key People
Mr. Marc Klingelfuss
Mr. Hanspeter R. Gehrer
Mr. Urban Laupper

Year of Establishment
1924

Number of Employees
1400

Fund Names
Capital Under Management
CHF 163bn

Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile

Bank Vontobel AG is a globally active Swiss Bank with three business units: Private Banking, Investment Banking and Asset Management. The Corporate Finance Team, as part of the IB unit, offers the complete range of services in equity capital markets (IPO, secondary offering, block trade, public tender offer, share buy back, etc.), debt capital markets (equity-linked and straight bonds, capital structure advisory, restructuring, bond buy back, etc.) and mergers & acquisitions (acquisition, divestiture, sale, MBO, succession planning, valuation, etc.). Vontobel has a very strong position in the Swiss Mid & Small Cap market and covers with its brokerage over 130 SIX-listed companies. Swiss shares are marketed by an experienced sales team to institutional investors globally. Financial Research is regularly awarded with top rankings by Thomson Extel.

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Ob Kapitalerhöhungen, Börsengänge oder M&A – Vontobel Corporate Finance bietet effiziente Lösungen und innovative Konzepte, die optimal auf die Bedürfnisse Ihres Unternehmens zugeschnitten sind.

Unser Erfolg beruht auf der qualitativ hochstehenden, unabhängigen Kundenberatung durch ein eingespieltes Team mit langjährigem Erfolgsausweis.

Weitere Informationen finden Sie unter www.vontobel.com/corporate-finance oder rufen Sie uns an unter Tel.+41 (0)58 283 77 66

www.vontobel.com
### Banque Bénédict Hentsch & Cie SA

#### Contact Information

| Address          | 5 avenue de Châtelaine  
|                  | 1211 Genève 11          |
| Telephone No     | +41 22 360 91 00        |
| Fax No           | +41 22 360 91 91        |
| E-mail Address for General Enquiries | forename.surname@bbh360.ch |
| Format of Personal E-mail Addresses | www.bbh360.ch |

#### Company Information

- **Key People**: Mr. Marc Lüthi
- **Year of Establishment**: 2004
- **Number of Employees**: 50

#### Investment Preferences

- **Size of Investment**
- **Investment Stages**
- **Industrial Sectors**
- **Geographical Focus**
- **Type of Financing**

#### Short Company Profile

Private Banking and Family Office services.
Bär & Karrer AG

Contact Information

Address
Brandschenkestrasse 90
8027 Zürich

Telephone No
+41 58 261 50 00

Fax No
+41 58 261 50 01

E-mail Address for General Enquiries
firstname.surname@baerkarrer.ch

Format of Personal E-mail Addresses

Web Address
www.baerkarrer.ch

Company Information

Key People
Dr. Christoph Neeracher
Prof. Dr. Rolf Watter

Year of Establishment
1969

Number of Employees
220

Fund Names
Capital Under Management

Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile
BDO AG

Contact Information

Address
Fabrikstrasse 50
8031 Zürich

Telephone No
+41 44 444 35 55

Fax No
+41 44 444 35 35

E-mail Address for General Enquiries
info@bdo.ch

Format of Personal E-mail Addresses
forename.surname@bdo.ch

Web Address
www.bdo.ch

Company Information

Key People
Mr. Marcel Jans
Mr. Christoph Müller

Year of Establishment
1932

Number of Employees
1000

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors
All

Geographical Focus
All

Type of Financing

Short Company Profile

BDO AG is the preferred partner of middle and small enterprises, public authorities and Non-Profit-Organisations. Products and Services: - Auditing - Financial Services - Fiduciary services and Real estate - Management consulting and Information technology - Tax advice and Legal advice

BDO Corporate Finance is one of the leading advisors for valuation, due diligence and M&A advice.
**BioMedPartners AG**

**Contact Information**

| Address                  | Elisabethenstrasse 23  
|                         | 4051 Basel              |
| Telephone No            | +41 61 270 35 35        |
| Fax No                  | +41 61 270 35 00        |
| E-mail Address for General Enquiries | info@biomedvc.com |
| Format of Personal E-mail Addresses | surname@biomedvc.com |
| Web Address             | www.biomedvc.com       |

**Company Information**

| Key People               | Dr. Gerhard Ries        |
|                         | Mr. Thomas Möller        |
|                         | Mr. Markus Hosang        |
| Year of Establishment   | 2002                     |
| Number of Employees     | 8                       |
| Fund Names              | BioMedInvest, BioMedCredit |
| Capital Under Management | CHF 250m                |

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**Short Company Profile**
**BlackRock Private Equity Partners AG**

**Contact Information**

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<tr>
<td>Address</td>
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</tr>
<tr>
<td></td>
<td>8001 Zürich</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 44 297 73 73</td>
</tr>
<tr>
<td>Fax No</td>
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<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="http://www.blackrock.com/ch">www.blackrock.com/ch</a></td>
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**Company Information**

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<td>Mr. Markus Studer</td>
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<td></td>
<td>Ms. Stephanie Weber</td>
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<tr>
<td>Year of Establishment</td>
<td>2010</td>
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<td>Number of Employees</td>
<td>20 (in Switzerland)</td>
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<td>Examples of Portfolio Companies</td>
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**Investment Preferences**

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<tr>
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**Short Company Profile**

BlackRock Private Equity Partners AG is the Swiss subsidiary of BlackRock Alternative Investments and is responsible for private market investments in the private equity and infrastructure sectors across all regions and strategies. Overall, the team of 115 specialists manages a portfolio of approximately USD 17.2 billion in various investment programmes, structured secondary transactions and customized mandates. BlackRock is one of the world’s leading investment manager and the largest provider of investment management, consulting and risk management for institutional investors, private investors and financial intermediaries worldwide.
Brainloop Switzerland AG

Contact Information

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Gotthardstrasse 52
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E-mail Address for General Enquiries
gabriel.gabriel@brainloop.com

Format of Personal E-mail Addresses
forename.surname@brainloop.com

Web Address
www.brainloop.com

Company Information

Key People
Mr. Gabriel Gabriel

Year of Establishment
2000

Number of Employees

Fund Names

Capital Under Management
CHF 1m

Number of Portfolio Companies
approx. 100

Examples of Portfolio Companies

Investment Preferences

Size of Investment
None

Investment Stages
None

Industrial Sectors
Other

Geographical Focus
All

Type of Financing
None

Short Company Profile

Brainloop was founded in 2000, and saw businesses making major gains in productivity and competitiveness by using the Internet to collaborate with external partners. But the same tools that made collaboration so effective also wreaked havoc on the security and control over information distribution that companies relied on. A lot of companies tried to bring security and collaboration together by using virtual data rooms, but fell short on policy compliance. Brainloop saw a need to go beyond that, to create an easy-to-use online platform that companies can use to roll out their confidentiality policies so that users are automatically in compliance, every time they share a document. Thousands of users on six continents rely on Brainloop’s Boston, Munich, Vienna and Zurich offices for exceptional service and support. Brainloop helps companies like BMW Group, Deutsche Telekom, RWE, ThyssenKrupp, T-Systems, Eurocopter, Voith, ERGO, Twitter, PWC, EWZ, AXA Winterthur, Helvetia Versicherungen and Zurich Insurance.
Vertrauliche Dokumente mit Externen austauschen? Sicher und einfach mit Brainloop.


› Hochsicherer webbasierter Zugriff auf Ihre vertraulichen Dokumente
› Garantierte Abschirmung vor dem IT-Betreiber
› Einfache Bedienung durch übersichtliche Benutzeroberfläche
› iPad App für das sichere Lesen und Kommentieren von unterwegs
› Dediziertes Rechenzentrum in der Schweiz

Kostenlos testen unter: www.brainloop.ch/testen
Bratschi Wiederkehr & Buob

Contact Information

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8021 Zürich

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forename.surname@bratschi-law.ch

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forename.surname@bratschi-law.ch

Web Address
www.bratschi-law.ch

Company Information

Key People
Dr. Hannes Glaus
Dr. Harald Maag

Year of Establishment

Number of Employees
approx. 100

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
None

Investment Stages
None

Industrial Sectors
None

Geographical Focus
None

Type of Financing
None

Short Company Profile
BridgeLink AG

Contact Information

Address
Centralbahnstrasse 7
4002 Basel

Telephone No
+41 61 206 90 90

Fax No
+41 61 206 90 93

E-mail Address for General Enquiries
initial of forenameSurname@bridgelink.ch

Format of Personal E-mail Addresses
initial of forenameSurname@bridgelink.ch

Web Address
www.bridgelink.ch

Company Information

Key People
Mr. Paul-André Wenger
Mr. Jürg Hatz
Ms. Gabriele Krause
Mr. Diego Zbar
Mr. Stephan Baltisser

Year of Establishment
1996

Number of Employees
8

Fund Names

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
over EUR 5m

Investment Stages
Buyout - Buyin, Delisting, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Replacement Capital, Turnaround - Restructuring

Industrial Sectors
All

Geographical Focus
All

Type of Financing
All

Short Company Profile

BROS PARTNERS AG

Contact Information

Address
Forchstrasse 84
8032 Zürich

Telephone No
+41 43 488 88 00

Fax No

E-mail Address for General Enquiries
info@brospartners.ch

Format of Personal E-mail Addresses
initials@brospartners.ch

Web Address
www.brospartners.ch

Company Information

Key People
Mr. Roman Croci

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Seed Capital, Turnaround - Restructuring

Investment Stages

Industrial Sectors
Construction - Building Products, Consumer Related, Energy, Environment, Finance - Insurance - Real Estate, Food - Beverages, Industrial Automation, Industrial Products and Services, Internet Technology, Manufacturing, Services, Transportation

Geographical Focus
All

Type of Financing
All

Short Company Profile
We are business enabler with an entrepreneurial finance Focus.
b-to-v Partners AG

Contact Information

Address Blumenastr. 36
9004 St. Gallen

Telephone No +41 71 242 20 00
Fax No +41 71 242 20 01

E-mail Address for General Enquiries forename.surname@b-to-v.com

Company Information

Key People Mr. Florian Schweitzer

Year of Establishment 2000
Number of Employees 14
Fund Names b-to-v Private Equity SICAR I + II
Capital Under Management over EUR 150m
Number of Portfolio Companies 42
Examples of Portfolio Companies Sum Up, Quanta, URBANARA, Immatics

Investment Preferences

Size of Investment EUR 0.5-15m
Investment Stages Early-Stage + Growth, Buyout - Buyin
Industrial Sectors Environment, Internet Technology, Medical - Life Sciences - Health Related
Geographical Focus Austria, Germany, Switzerland, Worldwide
Type of Financing Equity Financing

Short Company Profile

Through b-to-v Partners AG (b-to-v) an extensive network of committed entrepreneurial private investors with backgrounds from various sectors invests in growth companies with promising and scalable business models. The «vibrant network of investment intelligence» finances first-class ventures with excellent management teams and offers them access to experienced investors from the respective sector. With the institutionalised cooperation between investors and ventures b-to-v has become one of the leading private investor networks in Europe since the year 2000, whose activities regularly exceed this region – today reaching the US and Asia.
Business Angels Schweiz

Contact Information

Address
Anton Higi-Strasse 20
8046 Zürich

Telephone No
+41 79 358 54 70

Fax No

E-mail Address for General Enquiries
contact@businessangels.ch

Format of Personal E-mail Addresses
forename.surname@businessangels.ch

Web Address
www.businessangels.ch

Company Information

Key People
Mr. Jan Fülscher
Ms. Caroline Gueissaz
Dr. Carole Ackermann

Year of Establishment
1997

Number of Employees
2

Fund Names

Capital Under Management

Number of Portfolio Companies
50-100

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Start-up Capital

Industrial Sectors
All

Geographical Focus
Switzerland, Lake of Constance area

Type of Financing
Equity Financing, Majority Equity, Minority Equity, Mezzanine

Short Company Profile

BAS, Business Angels Switzerland, is an association of about 70 affluent individuals who provide capital, know-how and their networks for Swiss business start-ups and early stage companies. BAS members meet three times per month in Zurich, Lausanne and the Lake of Constance Area. The club presents 70 investment opportunities per year to its members and finances five to ten of them every year. Detailed information for startups and investors is available on the homepage, www.businessangels.ch.
BV Holding AG

Contact Information

Address: Hofgut - Vordere Dorfgasse 12 3073 Gümligen
Telephone No: +41 31 380 18 50
Fax No: +41 31 380 18 59
E-mail Address for General Enquiries: info@bvgroup.ch
Format of Personal E-mail Addresses: surname@bvgroup.ch
Web Address: www.bvgroup.ch

Company Information

Key People: Mr. Patrick Schär
Year of Establishment: 1997
Number of Employees: 4
Fund Names:
Capital Under Management: over CHF 70m
Number of Portfolio Companies: 9
Examples of Portfolio Companies: Skan Holding AG, Lonstroff Holding AG, Finox, AG, Ypsomed, Ziemer Group AG, Sphinx Werkzeuge AG, Piexon AG

Investment Preferences

Size of Investment: CHF 3-20m
Investment Stages: Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors: All
Geographical Focus: Switzerland
Type of Financing: Equity Financing

Short Company Profile

In the area of replacement financing and management buyouts (buyins) BVgroup focuses primarily on medium size transactions and on long term oriented investment opportunities in companies with a positive cash-flow and a yearly turnover of over CHF 10 million. The turnover can also be lower, if future revenue growth is substantial or if the company fits to one of our existing portfolio investments. The type of financing used depends on the specific situation and can be in equity or a combination of equity and debt.
Capital Concepts International AG

Contact Information

Address: Gessnerallee 28
Telephone No: +41 43 266 70 80
Fax No: +41 43 266 70 81
E-mail Address for General Enquiries: info@capitalconcepts.ch
Format of Personal E-mail Addresses: forename.surname@capitalconcepts.ch
Web Address: www.capitalconcepts.ch

Company Information

Key People: Ms. Jennifer Maag-Pelz
Year of Establishment: 1999
Number of Employees: 5

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile

Capital Concepts International is a mergers & acquisitions advisory boutique, focussing on middle market transactions (between CHF 10-500 million) in German-speaking Europe. Through our international network, we also advise on acquisitions or divestitures around the globe.
Capital Dynamics

Contact Information

Address
Bahnhofstrasse 22
6301 Zug

Telephone No
+41 41 748 84 44

Fax No
+41 41 748 84 40

E-mail Address for General Enquiries
clientrelations@capdyn.com

Format of Personal E-mail Addresses
initial of forenameSurname@capdyn.com

Web Address
www.capdyn.com

Company Information

Key People
Mr. Richard Grauel
Ms. Carolin Hirschbiel

Year of Establishment
1988

Number of Employees
175

Fund Names
Capital Under Management
over USD 19bn

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
All

Industrial Sectors
All

Geographical Focus
Worldwide

Type of Financing

Short Company Profile

Capital Dynamics is an independent, global asset manager, investing in private equity and clean energy infrastructure. We are client-focused, tailoring solutions to meet investor requirements. We manage investments through a broad range of products and opportunities including separate account solutions, investment funds and structured private equity products. Capital Dynamics currently has USD 19 billion in assets under management/advisement.1

Our investment history dates back to 1988. Our senior investment professionals average over 20 years of investing experience across the private equity spectrum. We believe our experience and culture of innovation give us superior insight and help us deliver returns for our clients. We invest locally while operating globally from our London, New York, Zug, Beijing*, Tokyo, Hong Kong, Silicon Valley, Sao Paulo, Munich, Birmingham, Seoul, Brisbane, Shanghai* and Scottsdale offices.

1Capital Dynamics comprises Capital Dynamics Holding AG and its affiliates; assets under management/advisement, as of September 30, 2013, include assets under discretionary management, advisement (non-discretionary), and administration across all Capital Dynamics affiliates. Investments are primarily on behalf of funds managed by Capital Dynamics. *Capital Dynamics China is a legally separate company operating under a strategic cooperation with Capital Dynamics.
Capital Transmission SA

Contact Information

Address
Rue de la Tour de l’Ile 4
1211 Genève 2

Telephone No
+41 22 809 21 46

Fax No
+41 22 809 33 88

E-mail Address for General Enquiries

Format of Personal E-mail Addresses
forename.surname@capitaltransmision

Web Address

Company Information

Key People
Ms. Virginie Fauveau
Mr. Renaud Chambolle

Year of Establishment
2008

Number of Employees

Fund Names
Capital Under Management
CHF 50m

Number of Portfolio Companies
7

Examples of Portfolio Companies

Investment Preferences

Size of Investment
CHF 1-5m

Investment Stages
Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance

Industrial Sectors
All

Geographical Focus
France, Switzerland

Type of Financing
Equity Financing, Loans, Minority Equity, Mezzanine

Short Company Profile

SECA Yearbook 2014
capiton AG

Contact Information

Address
Bleibtreustrasse 33
D-10707 Berlin

Telephone No
+49 30 315945-0

Fax No
+49 30 315945-57

E-mail Address for General Enquiries
info@capiton.com

Format of Personal E-mail Addresses
surname@capiton.de

Web Address
www.capiton.com

Company Information

Key People
Mr. Stefan Theis
Dr. Andreas Kogler
Mr. Manuel Hertweck

Year of Establishment
1999

Number of Employees
20

Fund Names
capiton II, capiton III, capiton IV

Capital Under Management
EUR 820m

Number of Portfolio Companies
15

Examples of Portfolio Companies
Lahmeyer International, ZytoService, Nora Flooring, Schur Flexibles, Poli-Tape

Investment Preferences

Size of Investment
EUR 5-100m

Investment Stages
Buyout - Buyin, Expansion - Development Capital, Replacement Capital

Industrial Sectors
All

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing, Majority Equity, Minority Equity

Short Company Profile

capiton is an owner-managed financial investor that invests in large SMEs in Germany, Austria and Switzerland by means of MBOs and expansion financing. capiton’s roots go back to the late 1970s.
Capvis Equity Partners AG

Contact Information

Address
Grabenstrasse 17
6340 Baar

Telephone No
+41 43 300 58 58

Fax No
+41 43 300 58 59

E-mail Address for General Enquiries
info@capvis.com

Format of Personal E-mail Addresses
forename.surname@capvis.com

Web Address
www.capvis.com

Company Information

Key People
Mr. Rolf Friedli
Mr. Daniel Flaig

Year of Establishment
1999

Number of Employees
30

Fund Names
Capvis I, Capvis II, Capvis III, Capvis IV

Capital Under Management
EUR 1.2bn

Number of Portfolio Companies
10

Examples of Portfolio Companies
ACP, arena, Bartec, Benninger, ETAVIS, Kaffee Partner, KVT, Lista, Orior, Phonak, sia Abrasives, Soudronic, Stadler Rail Group, Ticketcorner, Wittur, VAT, WMF

Investment Preferences

Size of Investment
EUR 20-100m

Investment Stages
Buyout - Buyin

Industrial Sectors
All

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing

Short Company Profile

Capvis Equity Partners AG Baar, advising the Capvis Funds, is the leading private equity firm in Switzerland and a top player in German-speaking Europe. Capvis invests in well positioned medium-sized firms with headquarters in Switzerland, Germany or Austria, typically as part of succession solutions and corporate spin-offs. Capvis usually takes substantial ownership stakes in attractive firms with the aim to realize the identified potential of the company by supporting the management team to achieve its demanding objectives. Since 1990, the Capvis team has conducted 45 transactions with a volume of more than EUR 5 bn. Capvis Equity Partners was founded in 1999 as the successor organisation to SBC Equity Partners.
## Centralway Headquarters AG

### Contact Information

| Address       | Binzstrasse 18  
|              | 8045 Zürich   |
| Phone No      | ventures@centralway.com |
| Fax No        | www.centralway.com |
| E-mail Address for General Enquiries | ventures@centralway.com |
| Format of Personal E-mail Addresses | www.centralway.com |

### Company Information

| Key People          | Mr. Martin Saidler  |
|                    | Mr. Severin Jan Rüegger |
|                    | Mr. Nicolas Ruflin  |
|                    | Ms. Christina Rogge |
| Year of Establishment | 1999              |
| Number of Employees | 100                |
| Fund Names          | CENTRALWAY        |
| Capital Under Management |                  |
| Number of Portfolio Companies | 12       |
| Examples of Portfolio Companies | Numbrs, Sandbox, Buttercoin, Standard Treasury, Lending Club, SecureSafe |

### Investment Preferences

| Size of Investment | Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital |
| Investment Stages  | Seed Capital, Start-up Capital                                         |
| Industrial Sectors | Internet Technology, Finance                                      |
| Geographical Focus | Europe, United States of America                                  |
| Type of Financing  | Equity Financing, Loans, Majority Equity, Minority Equity         |

### Short Company Profile
## Centus Capital Ltd.

### Contact Information

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<tbody>
<tr>
<td>Address</td>
<td>Täfernstrasse 2a</td>
</tr>
<tr>
<td></td>
<td>5405 Baden-Dättwil</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 56 484 55 33</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 56 484 55 30</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@centuscapital.com">info@centuscapital.com</a></td>
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<tr>
<td>Web Address</td>
<td><a href="http://www.centuscapital.com">www.centuscapital.com</a></td>
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</table>

### Company Information

<table>
<thead>
<tr>
<th>Information</th>
<th>Details</th>
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<tbody>
<tr>
<td>Key People</td>
<td>Ms. Esther M. Deck</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2002</td>
</tr>
<tr>
<td>Number of Employees</td>
<td>2</td>
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<tr>
<td>Fund Names</td>
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<tr>
<td>Capital Under Management</td>
<td></td>
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<tr>
<td>Number of Portfolio Companies</td>
<td></td>
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<tr>
<td>Examples of Portfolio Companies</td>
<td></td>
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</tbody>
</table>

### Investment Preferences

<table>
<thead>
<tr>
<th>Information</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Size of Investment</td>
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<tr>
<td>Investment Stages</td>
<td>Early-Stage, Expansion - Development Capital,</td>
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<td>Start-up Capital</td>
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<tr>
<td>Industrial Sectors</td>
<td>Biotechnology, Internet Technology, Medical -</td>
</tr>
<tr>
<td></td>
<td>Life Sciences - Health Related, Communications other</td>
</tr>
<tr>
<td>Geographical Focus</td>
<td>Austria, Germany, Switzerland</td>
</tr>
<tr>
<td>Type of Financing</td>
<td>Equity Financing</td>
</tr>
</tbody>
</table>

### Short Company Profile

Centus Capital Ltd. is an independent investment company. We focus on innovative technology companies with a high growth potential in Switzerland, Germany and Austria. Innovative business models and a management team with expertise are important criteria when analyzing a company. We also offer advisory services in the field of corporate finance.
CGS Management
giesinger gloor lanz & co.

Contact Information

Address
Huobstrasse 14
8808 Pfäffikon

Telephone No
+41 55 416 16 40

Fax No
+41 55 416 16 41

E-mail Address for General Enquiries
info@cgs-management.com

Format of Personal E-mail Addresses
forename.surname@cgs-management.com

Web Address
www.cgs-management.com

Company Information

Key People
Dr. Rolf Lanz
Mr. Peter Giesinger
Mr. Peter Gloor

Year of Establishment
1995

Number of Employees
11

Fund Names
CGS II (Jersey) L.P., CGS III (Jersey) L.P.

Capital Under Management
CHF 300m

Number of Portfolio Companies
10

Examples of Portfolio Companies
Mould Technologies Holding AG, mdexx Holding GmbH, R&S International Holding AG, Top Werk GmbH

Investment Preferences

Size of Investment
EUR 10-80m

Investment Stages
Buyout - Buyin, Replacement Capital

Industrial Sectors
Construction - Building Products, Electronics, Industrial Automation, Industrial Products and Services, Machinery, Manufacturing, Plastic Industry

Geographical Focus
Europe

Type of Financing
Equity Financing, Majority Equity

Short Company Profile

Private Equity Partnership: investments in small and medium sized industrial companies
Club Valaisan des Business Angels

Contact Information

Address  
c/o CCF SA Pré-fleuri 6  
1951 Sion
Telephone No  
+41 27 327 35 50
Fax No  
+41 27 327 35 51
E-mail Address for General Enquiries  
bizangels@ccf-valais.ch
Format of Personal E-mail Addresses  
Web Address  
www.bizangels.ch

Company Information

Key People  
Mr. Christophe Beaud
Year of Establishment  
1999
Number of Employees  
2
Fund Names  
Individual investors + CCF SA (Centre de Compétences Financières) - Financial Institution of VS Economic Development
Capital Under Management  
Only direct investment of Angels, no pool
Number of Portfolio Companies  
20
Examples of Portfolio Companies  
Spiralps, Geroco, Augurix, Snowpulse

Investment Preferences

Size of Investment  
CHF 0.1-3m
Investment Stages  
Seed Capital, business succession, well established companies
Industrial Sectors  
All
Geographical Focus  
Switzerland, companies based in Valais only
Type of Financing  
Equity Financing

Short Company Profile

The „CVBA“ is a private investors club based in Sion. It was founded in 1999 under the impulse of CCF well established, financial arm of the association for Valais economic development. The main objective is to finance start-up, and early stage companies based in Valais. The club aims also to share the know-how and the network of its members. CBVA members meet three times a year through a dinner during which the entrepreneurs present their project. The club presents around 10 investment opportunities per year to its members.
**CO-INVESTOR AG**

**Contact Information**

- **Address**: Kreuzstrasse 26 8008 Zürich
- **Telephone No**: +41 43 521 61 11
- **Fax No**: +41 43 521 61 10
- **E-mail Address for General Enquiries**: office@co-investor.com
- **Format of Personal E-mail Addresses**: initial of forename.surname@co-investor.com
- **Web Address**: www.co-investor.com

**Company Information**

- **Key People**: Dr. Hans-Dieter Rompel, Mr. Nicolaiv von Engelhardt
- **Year of Establishment**: 2000
- **Number of Employees**: 9
- **Fund Names**: Mittelstand Co-Investment Portfolio, ESCF1, VRP I, VRP II, Co-Lux
- **Capital Under Management**: ≥ EUR 100m
- **Number of Portfolio Companies**: 16 direct Investments
- **Examples of Portfolio Companies**: CCG Cool Chain Group, Wiesmann, Euroimmun, WTravel

**Investment Preferences**

- **Size of Investment**: EUR 5–50m
- **Investment Stages**: Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Replacement Capital
- **Geographical Focus**: Austria, Germany, Switzerland
- **Type of Financing**: Equity Financing, Majority Equity, Minority Equity, Mezzanine

**Short Company Profile**

Co-Investor arranges exclusive direct investments, has a wealth of business expertise, offers privately funded equity financing, and is passionately committed to the small- and medium-sized business sector. Co-Investor is the right partner for companies seeking growth finance for their small or medium-sized business or for wealthy private investors wishing to invest directly in business enterprises in the German speaking region. The group also manages several funds for a more diversified investment approach, one of which in close cooperation with BHF-Bank, Germany.
Creathor Venture

Contact Information

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8001 Zürich

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+49 6172 139 72 29

E-mail Address for General Enquiries
businessplan@creathor.de

Format of Personal E-mail Addresses

Web Address
www.creathor.ch

Company Information

Key People
Mr. Cédric Köhler
Dr. Gert Köhler

Year of Establishment
2003

Number of Employees
14

Fund Names
Creathor Venture Fund

Capital Under Management
CHF 221m

Number of Portfolio Companies
26

Examples of Portfolio Companies
Joiz, Viewster, Iconicfuture, Kigo, Stylefruits, netbiscuits, Mobiles Republic, Phenex Pharmaceuticals, caprotec bioanalytics, SIRION Biotech, Accovion

Investment Preferences

Size of Investment
CHF 0.1-10m

Investment Stages
Expansion - Development Capital, Seed Capital, Start-up Capital

Industrial Sectors
Biotechnology, Consumer Related, Industrial Products and Services, Internet Technology, Medical - Life Sciences - Health Related, Telecommunications, Communications other

Geographical Focus
Austria, France, Germany, Scandinavia, Switzerland

Type of Financing
Equity Financing, Minority Equity

Short Company Profile

As a leading European Venture Capital firm, Creathor Venture invests in technology-oriented companies and entrepreneurs. The focus is particularly on mobile, e-, m-, s-commerce, media, cloud, life science, mobile health and diagnostics. Regional focus is on Germany, Switzerland, Austria and Scandinavia. The current portfolio of more than 30 companies is actively supported in development, growth and internationalization by our team of 15 staff. The management team of Creathor Venture consists of the founder of the former Technologieholding VC GmbH, Dr Gert Köhler as well as Ingo Franz, Cédric Köhler and Karlheinz Schmelig. The team has built more than 200 technology companies successfully, conducted more than 20 international IPOs and has achieved exceptional returns for fund investors and the financed entrepreneurs in the past. Creathor Venture manages funds of more than CHF 221 million (USD 240 mio.) and currently has four Offices in Germany (near Frankfurt & Munich), in Zurich and in Stockholm.
Credit Suisse
Private Banking & Wealth Management

Contact Information

| Address          | Paradeplatz 8  
|                 | 8070 Zürich  |
| Telephone No    | +41 44 333 11 11 |
| Fax No          |               |
| E-mail Address for General Enquiries |                |
| Format of Personal E-mail Addresses |                   |
| Web Address     | www.credit-suisse.com |

Company Information

| Key People       | Mr. Sven-Christian Kindt  
|                 | Mr. Manuel Keiser  |
| Year of Establishment |                     |
| Number of Employees |                        |
| Fund Names       |                          |
| Capital Under Management |                      |
| Number of Portfolio Companies |                  |
| Examples of Portfolio Companies |                |

Investment Preferences

| Size of Investment | All                      |
| Investment Stages  | All                      |
| Industrial Sectors | All                      |
| Geographical Focus | All                      |
| Type of Financing  | All                      |

Short Company Profile

Credit Suisse Private Banking & Wealth Management offers comprehensive advice and a broad range of financial solutions to private, corporate and institutional clients – from simple investment funds to multi-asset class solutions, including equities, fixed income products or alternative investments. In Switzerland, Credit Suisse also offers products and solutions for retail and business clients. Credit Suisse Private Banking & Wealth Management has a dedicated private equity team, which covers non-US private clients, ranging from (U)HNWIs and family offices to small Swiss institutions and asset managers. The team accesses the private equity asset class through fund of funds, basket of funds and single manager funds. It is interested in a broad range of private equity strategies, such as venture, growth capital, buyouts and distressed private equity. It will also consider real estate and infrastructure investments. Geographically speaking, Credit Suisse will invest in all regions, including emerging markets.
Cross Equity Partners AG

Contact Information

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8008 Zürich

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Fax No
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E-mail Address for General Enquiries
info@crossequity.ch

Format of Personal E-mail Addresses
initial of forename.surname@crossequity.ch

Web Address
www.crossequity.ch

Company Information

Key People
Mr. Markus Reich
Dr. Michael Petersen

Year of Establishment
2008

Number of Employees
7

Fund Names
Cross L.P.

Capital Under Management
CHF 100m

Number of Portfolio Companies
3

Examples of Portfolio Companies
Spirella SA, Micro-Macinazione SA, Bühler
Thermal Processes AG

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Expansion - Development Capital

Industrial Sectors
All

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing, Majority Equity, Minority Equity

Short Company Profile

Cross is a private equity firm with the mission to support the sustainable development of mid-sized companies in Switzerland, Germany and Austria through equity capital, industrial expertise and an extensive relationship network – amongst others in the context of succession of family-owned businesses and corporate spin-offs.
Debiopharm Investment SA

Contact Information

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1002 Lausanne

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Fax No
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private-equity@debiopharm.com

Format of Personal E-mail Addresses

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www.debiopharm.com

Company Information

Key People
Ms. Alexandra Le Coz Sanchez
Mr. Alexandre Peyraud
Mr. Axel Favre

Year of Establishment

Number of Employees
20

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
Depending on investment stages

Investment Stages
All

Industrial Sectors
All

Geographical Focus
France, Switzerland, Western Europe

Type of Financing
Equity Financing, Minority Equity

Short Company Profile

The financial arm of Debiopharm Group, Debiopharm Investment SA (formerly Debiomanagement SA) main objectives are the preservation of capital of Debiopharm Group, and the generation of regular financial incomes for Debiopharm Group’s companies (https://www.debiopharm.com/about-us/debiopharm-investment-s-a.html).
Deloitte AG

Contact Information

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initial of forenameSurname@deloitte.ch

Web Address
www.deloitte.ch

Company Information

Key People
Mr. Konstantin von Radowitz
Mr. Jan-Dominik Remmen
Dr. Jürg Glesti

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

Deloitte is a leading accounting and consulting company in Switzerland and provides industry-specific services in the areas of audit, tax, consulting and corporate finance. With approximately 1’100 employees at six locations in Basel, Bern, Geneva, Lausanne, Lugano and Zurich (headquarters), Deloitte serves companies and institutions of all legal forms and sizes in all industry sectors. Deloitte’s Corporate Finance practice works with leading private equity investors, funds and portfolio companies delivering value across the entire private equity life cycle.
DR VEDULA GOPINATH

Contact Information

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H501 APARNA SAROVAR  
50046 hyderabad ap India

Telephone No  
0091 404 007 1614

Fax No  

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vgopinath2@yahoo.com

Format of Personal E-mail Addresses

Company Information

Key People  
Dr. Vedula Gopinath

Year of Establishment  
2011

Number of Employees  
3

Fund Names  
loan funds from malysia

Capital Under Management  
USD 13m

Number of Portfolio Companies  
3

Examples of Portfolio Companies  
loan for a pharma co. equity for a power project

Investment Preferences

Size of Investment  
USD 50m

Investment Stages  
Start-up Capital

Industrial Sectors  
All

Geographical Focus  
Asia

Type of Financing  
Equity Financing, Loans

Short Company Profile

Indian born male corporate attorney and chartered company secretary researcher in CG working for over three decades with various companies including associating with an international law firm and overseas contracts. Held board level jobs including CEO for 5 years Experience with proven results in law, and governance. I have personal and professional integrity and work to achieve targets and objectives and often bettering targets and deadlines. Board expertise: strategic planning; constitutional matters; dispute resolution; CSR matters; statutory and regulatory compliance; h.r. policies, and systems laying, ; audit and risk management. Dr Vedula Gopinath vgopinath2@yahoo.com M-00919848227926
ECM Equity Capital Management GmbH

Contact Information

Address
Taunusanlage 18
D-60325 Frankfurt am Main

Telephone No
+49 69 971020

Fax No
+49 69 9710224

E-mail Address for General Enquiries
info@ecm-pe.de

Format of Personal E-mail Addresses

Web Address
www.ecm-pe.de

Company Information

Key People
Mr. Axel Eichmeyer
Mr. Florian Kähler
Mr. Christopher Peisch

Year of Establishment
1994

Number of Employees

Fund Names
German Equity Partners I-IV

Capital Under Management
EUR 680m

Number of Portfolio Companies
21

Examples of Portfolio Companies
Bergmann Automotive, MediFox, Maredo, Kamps; for further information please refer to the ECM website

Investment Preferences

Size of Investment
EUR 10-100m

Investment Stages
Buyout - Buyin, Delisting, Expansion -
Development Capital, Privatisation, Replacement Capital

Industrial Sectors
All

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing, Majority Equity

Short Company Profile

ECM Equity Capital Management GmbH is an independent private equity firm headquartered in Frankfurt am Main, Germany. ECM served or serves as the manager of/advisor to respectively the private equity funds German Equity Partners I-IV with aggregate capital under management in excess of EUR 680m. The investment focus is on midsized companies in the manufacturing and services sectors in addition to the wholesale and retailing industries. Preferred acquisition targets are companies with revenues of EUR 20m to EUR 250m. The funds typically structure their investments as management buyouts.
# Emerald Technology Ventures AG

**Contact Information**

| Address                  | Seefeldstrasse 215  
|                         | 8008 Zürich          |
| Telephone No            | +41 44 269 61 00     |
| Fax No                  | +41 44 269 61 01     |
| E-mail Address for General Enquiries | info@emerald-ventures.com |
| Format of Personal E-mail Addresses | forename.surname@emerald-ventures.com |
| Web Address             | www.emerald-ventures.com |

**Company Information**

| Key People            | Ms. Gina Domanig  
|                      | Mr. Hans Dellenbach |
| Year of Establishment | 2000                |
| Number of Employees   | 12                  |
| Fund Names            | Emerald Cleantech Fund I LP, Emerald Energy Fund I LP, Emerald Cleantech Fund II LP |
| Capital Under Management | EUR 300m          |
| Number of Portfolio Companies | 44          |
| Examples of Portfolio Companies | EnOcean, Synapsense, TaKaDu, River Basin Energy |

**Investment Preferences**

| Size of Investment   | EUR 2-7m |
| Investment Stages    | Early-Stage, Expansion - Development Capital, Start-up Capital |
| Industrial Sectors   | Chemical - Materials, Construction - Building Products, Energy, Environment, Industrial Automation, Industrial Products and Services, Other, Transportation |
| Geographical Focus   | Europe, Israel, North America |
| Type of Financing    | Equity Financing |

**Short Company Profile**

Launched in 2000, Emerald Technology Ventures today manages three funds as well as two mandates all focusing on venture capital in the Cleantech sectors, namely, energy, materials, water and agricultural technologies. Emerald was a pioneer in Europe and today, with EUR 300 mio under management, is the largest player in Europe and one of the key players in North America. Emerald has offices in Zurich and Toronto.
# ENEX Group Management SA

## Contact Information

| Address          | Hufgasse 11  
|                 | 8008 Zürich |
| Telephone No    | +41 79 756 22 14 |
| Fax No          |               |
| E-mail Address for General Enquiries | info@enexgroup.ch |
| Format of Personal E-mail Addresses | www.enexgroup.ch |

## Company Information

| Key People       | Mr. Sebastien Koechli  
|                 | Mr. Arthur Davis |
| Year of Establishment | 2005 |
| Number of Employees | 8 |
| Fund Names       | Capital Under Management |
|                  | Number of Portfolio Companies |
|                  | Examples of Portfolio Companies |

## Investment Preferences

| Size of Investment | Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance, Turnaround - Restructuring |
| Investment Stages  |                                |
| Industrial Sectors | Consumer Related, Energy, Industrial Products and Services, Internet Technology, Manufacturing, Telecommunications |
| Geographical Focus | Canada, Denmark, Germany, Italy, Netherlands, North America, Spain, Sweden, Switzerland, United Kingdom, United States of America |
| Type of Financing  | All |

## Short Company Profile

ENEX Group is a private investment company and corporate advisory firm based in Switzerland. We make investments in high impact entrepreneurial companies to fund growth strategies, acquisitions and consolidations. We also provide corporate advisory services related to corporate finance and management.
Equistone Partners Europe (Schweiz) AG

Contact Information

Address: General-Guisan-Quai 34
8027 Zürich

Telephone No: +41 44 289 80 90
Fax No: +41 44 289 80 91

E-mail Address for General Enquiries: forename.surname@equistone.ch
Web Address: www.equistone.de

Company Information

Key People: Mr. Philippe Stüdi
Mr. Marc Erni
Ms. Daniela Herger

Year of Establishment: 1979
Number of Employees: 3

Fund Names: Equistone Partners Europe Fund I, II, III and IV

Capital Under Management: Equistone Partners Europe Fund I (EUR 1.25bn), Fund II (EUR 1.65bn), Fund III (EUR 2.45bn), Fund IV (EUR 1.5bn)

Number of Portfolio Companies: over 50
Examples of Portfolio Companies: avocis, Jack Wolfskin, Schild, CU Chemie Uetikon, Global blue

Investment Preferences

Size of Investment: CHF 25-125m
Investment Stages: Buyout - Buyin
Industrial Sectors: All
Geographical Focus: France, Germany, Switzerland, United Kingdom, Austria
Type of Financing: Majority Equity

Short Company Profile

# ErfindungsVerwertung AG EVA

## Contact Information

<table>
<thead>
<tr>
<th>Details</th>
<th>Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>Hochbergerstrasse 60c 4057 Basel</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 61 283 84 85</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 61 283 84 86</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@eva-basel.ch">info@eva-basel.ch</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:Forename.Surname@eva-basel.ch">Forename.Surname@eva-basel.ch</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.eva-basel.ch">www.eva-basel.ch</a></td>
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## Company Information

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<thead>
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<th>Information</th>
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<tbody>
<tr>
<td>Key People</td>
<td>Dr. Peter E. Burckhardt</td>
</tr>
<tr>
<td></td>
<td>Dr. Fritz Wittwer</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>1996</td>
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<tr>
<td>Number of Employees</td>
<td>2</td>
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<tr>
<td>Fund Names</td>
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<tr>
<td>Capital Under Management</td>
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<tr>
<td>Number of Portfolio Companies</td>
<td>11</td>
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<tr>
<td>Examples of Portfolio Companies</td>
<td>BioVersys, Cardiolynx, AOT, Inofea, mininavident, Cellec Biotek</td>
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## Investment Preferences

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>Size of Investment</td>
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<tr>
<td>Investment Stages</td>
<td>Seed Capital, Start-up Capital</td>
</tr>
<tr>
<td>Industrial Sectors</td>
<td>Biotechnology, Medical - Life Sciences - Health Related</td>
</tr>
<tr>
<td>Geographical Focus</td>
<td>Switzerland</td>
</tr>
<tr>
<td>Type of Financing</td>
<td>Loans, Minority Equity</td>
</tr>
</tbody>
</table>

## Short Company Profile

EVA – the Basel Life-Sciences start-up agency bridges research, business ideas and markets.
EVA provides start-up support for the first phase of establishing innovative Life Science companies.
EVA provides investors with contacts to audited projects and to verifiably good, implementable business ideas.
Ernst & Young AG

Contact Information

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Web Address
www.ey.com/ch

Company Information

Key People
Mr. Joel Coelho
Mr. Hannes Schobinger
Mr. Marc Reinhardt

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

With its local team of 150 people in Transaction Advisory Services, Transaction Tax and Transaction Legal and a global network of 175'000 professionals, EY provides expert and interdisciplinary assistance in corporate transactions and M&A lead advisory. Our people understand the assurance, tax, transaction and advisory needs of businesses across 150 countries worldwide. We are able to offer seamless and consistent quality support in all phases of a transaction including Due Diligence, Valuation & Business Modeling, Mergers and Acquisitions Lead Advisory, Corporate Restructuring, Real Estate as well as corresponding accounting, tax and legal advice.
ESO Advisors AG

Contact Information

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8024 Zürich

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+41 44 200 38 20

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info@esocapital.com

Format of Personal E-mail Addresses
initials@esocapital.com

Web Address
www.esocapital.com

Company Information

Key People
Ms. Olya Klüppel

Year of Establishment
2006

Number of Employees
17

Fund Names
European Special Opportunities Fund V FCP SIF

Capital Under Management
EUR 350m

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
EUR 5-40m

Investment Stages
Expansion - Development Capital, Mezzanine - Bridge Finance, Turnaround - Restructuring

Industrial Sectors
All

Geographical Focus
Europe

Type of Financing
Loans, Minority Equity, Mezzanine, Senior Debt

Short Company Profile

ESO is a European special situations investment management group focused on privately-originated, off-the-run situations backed by the assets of European small and medium enterprises (SMEs), financial assets and real estate.
EUROFIN CAPITAL SA

Contact Information

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Web Address
www.eurofincapital.com

Company Information

Key People
Mr. Alexandre Cadosch
Mr. Bruno Ramos

Year of Establishment
2004

Number of Employees
14

Fund Names
Capital Under Management
CHF 1.7bn

Examples of Portfolio Companies

Investment Preferences

Size of Investment
All

Investment Stages
Agriculture - Forestry - Fishing, Construction - Building Products, Finance - Insurance - Real Estate, Internet Technology, Medical - Life Sciences - Health Related, Services

Industrial Sectors

Geographical Focus
United States of America, Western Europe

Type of Financing
Equity Financing, Loans

Short Company Profile

Eurofin Capital is an investment office based in Lausanne and London. We combine our Swiss heritage with the financial market expertise of our international executive team to help clients grow and protect their wealth. Eurofin Capital covers the full spectrum of asset classes, including Equities, Bonds, Private Equity, Real Estate and Venture Capital.
Fabrel Lotos AG

Contact Information

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Web Address
www.fabrellotos.ch

Company Information

Key People
Mr. Marc Müller
Mr. Urs Pfyffer

Year of Establishment
1999

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies
3

Examples of Portfolio Companies
RONDO Group, Utz Group, Buss Group

Investment Preferences

Size of Investment
CHF 25-100m

Investment Stages
Buyout - Buyin

Industrial Sectors
Manufacturing

Geographical Focus
Switzerland

Type of Financing
Majority Equity, Minority Equity

Short Company Profile

Fabrel Lotos participates on a long-term basis with equity holdings and entrepreneurial know-how in medium sized companies with sound economics and good growth prospects. As a Swiss long-term equity partner, Fabrel Lotos enables these companies to pursue their ambitious goals at the same time as retaining their independence.
FIDES Business Partner AG

Contact Information

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office@fidesbusinesspartner.ch

Format of Personal E-mail Addresses
initial of forenameSurname@
fidesbusinesspartner.ch

Web Address
www.fidesbusinesspartner.ch

Company Information

Key People
Mr. Johannes Gugl
Mr. René Steiner
Mr. Giulio Deluca

Year of Establishment
2011

Number of Employees
12

Fund Names
Fides Capital I

Capital Under Management
5

Examples of Portfolio Companies
Blattmann Schweiz AG, Invertag AG, Typotron, Extremprint

Investment Preferences

Size of Investment
CHF 3-10m

Investment Stages
Buyout - Buyin, Turnaround - Restructuring

Industrial Sectors
Chemical - Materials, Computer Related,
Consumer Related, Electronics, Energy,
Environment, Food - Beverages, Industrial
Automation, Industrial Products and Services,
Manufacturing, Medical - Life Sciences - Health
Related, Other, Services, Telecommunication

Geographical Focus
Austria, Germany, Italy, Switzerland

Type of Financing
Equity Financing, Majority Equity

Short Company Profile

FIDES Business Partner invests in underperforming companies and unlock upside potentials with hands-on operational experience, market know-how and specific competences in restructuring and turnaround management. Our core investment strategy is the active turnaround of companies in special situations which demonstrate viable market opportunities, a stable and committed client base with tangible and realizable operational improvement potentials. Our main regional investment focus is Switzerland. We only invest in mature companies with liquidity, operational or balance sheet problems but which have sound core business fundamentals.
FONDIA

Contact Information

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info@fondiagroup.com

Format of Personal E-mail Addresses

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www.fondiagroup.com

Company Information

Key People
Mr. Umberto Ronsisvalle
Mrs. Sunita Shah

Year of Establishment

Number of Employees
5

Fund Names

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
All

Investment Stages
All

Industrial Sectors
Finance - Insurance - Real Estate

Geographical Focus
Austria, Benelux, Canada, Europe, Luxembourg, Middle East, Switzerland, United States of America

Type of Financing
All

Short Company Profile

FONDIA is an investment management platform that provides advices and solutions to private investors and families to enhance their wealth-management process with special focus on real estate. Typically working alongside accountants, lawyers and tax advisers FONDIA finds cross-border ways to protect and grow the investor or family wealth through intelligent and tax-efficient strategies across jurisdiction, safety conveying assets into the hands of future generations.
gcp gamma capital partners - The VenturePreneurs

Contact Information

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Fax No: +43 1 513 10 72 - 200
E-mail Address for General Enquiries: office@gamma-capital.com
Format of Personal E-mail Addresses: initial of forename.surname@gamma-capital.com
Web Address: www.gamma-capital.com

Company Information

Key People: Dr. Burkhard Feurstein
Year of Establishment: 2002
Number of Employees: 10
Fund Names: GXT, iLab24, gamma II, gamma III, GMP
Capital Under Management: approx. EUR 36.3m
Number of Portfolio Companies: 16
Examples of Portfolio Companies: 42matters, CNSystems, Henn, Opvizor, Organica, Sensix, Wikitude, Inode, 123people, fatfoogoo

Investment Preferences

Size of Investment: EUR 0.5-5m
Investment Stages: Early-Stage, Expansion - Development Capital, Seed Capital, Start-up Capital
Industrial Sectors: New Media, Software & IT Services, Electronics, Medtech, Cleantech
Geographical Focus: Austria, Czech Republic, Germany, Hungary, Poland, Slovakia, Slovenia, Switzerland
Type of Financing: Equity Financing, Minority Equity

Short Company Profile

GCP – Group - gamma capital partners – the VenturePreneurs is a dynamic venture capital player in the D-A-CH & CEE-region. GCP currently advises three high-tech vc-funds as well as one mezzanine funds. All funds are backed by leading Austrian institutional and private investors and invest in tech- as well as growth oriented firms in Austria, Germany, Switzerland and neighbouring CEE countries. The VenturePreneurial approach is active advice for managing growth. GCP focuses in Venture capital on dynamic growth firms in emerging technology sectors such as ICT, life sciences medical equipment, electronics and new materials.
### Contact Information

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|                         | 8008 Zürich        |
| Telephone No            | +41 43 268 20 30   |
| Fax No                  | +41 43 268 20 35   |
| E-mail Address for General Enquiries | surname@gilde.com |
| Web Address             | www.gilde.com      |

### Company Information

| Key People               | Mr. Ralph Wyss  
|                         | Mr. Rogier Engelsma  
|                         | Dr. Alexander Friedrich |
| Year of Establishment    | 1982            |
| Number of Employees      | 9               |
| Fund Names               | Gilde Buy Out Fund I-IV |
| Capital Under Management | CHF 2.5bn       |
| Number of Portfolio Companies | 18           |
| Examples of Portfolio Companies | Eismann, Spandex, Powerlines, TMC, Roompot, Teleplan, Nedschroef, Plukon Food Group, Gamma Holding, CID Lines |

### Investment Preferences

| Size of Investment       | Buyout - Buyin, Delisting |
| Investment Stages        | All                       |
| Industrial Sectors       | Austria, Belgium, Germany, Luxembourg, Netherlands, Switzerland |
| Geographical Focus       | Equity Financing, Majority Equity |

### Short Company Profile

Gilde Buy Out Partners is one of Europe’s foremost mid-market private equity investors, with managed funds in excess of CHF 2.5bn. Gilde operates in the Benelux as well as Germany, Switzerland and Austria. With offices in Zurich, Frankfurt, Utrecht and Brussels as well as its multinational team, Gilde is truly entrenched in these markets and can offer local expertise coupled with European reach and execution skills. Since its inception in 1982, Gilde has invested in over 250 companies across a diverse range of sectors. At CHF 1’000m (EUR 800m) its current investment fund Gilde Buy Out Fund IV is among the largest dedicated mid-market buyout investment funds in Europe.
GLEX AG

Contact Information

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5737 Menziken

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info@glexenergy.ch

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Web Address
www.glexenergy.ch

Company Information

Key People
Mr. Percy Oliver Mueller
Mr. Simon Lacy Hicks
Mr. Michael Horwarth

Year of Establishment
2013

Number of Employees
8

Fund Names
Capital Under Management
CHF 250m

Number of Portfolio Companies
Examples of Portfolio Companies
3

Investment Preferences

Size of Investment
CHF 85m

Investment Stages
Mezzanine - Bridge Finance

Industrial Sectors
Energy, Industrial Products and Services, Transportation

Geographical Focus
Worldwide

Type of Financing
Equity Financing, Loans, Mezzanine, Senior Debt

Short Company Profile

GLEX™ AG is a member of a globally operating energy group, with trading positions and stockholdings in the conventional energy, green energy and downstream markets. GLEX™ proposes energy and commodity related services and is a conductor of structured finance transactions in the energy and commodity markets. Mostly managing a diversified basket in COMEX, ICE, LME and NYMEX as well as NYSE-EURONEXT traded titles. GLEX™ is holding stakes in strategic, energy and infrastructure related businesses, and is an issuer of securities and syndicated loan structures in joint venture with regulated institutions. The GLEX group focus lays not only in the EMEA and ASEAN Markets but also on emerging countries and semi-emerging African markets with promising growths perspectives. GLEX™ also provides certified business intelligence and settlement systems to the Swiss market represented by the GEB (Glex Energy Benchmark). Institutions, private investors and analysts can rely on the GLEX™ expertise with a 10 year heritage of the whole group in the global energy markets featuring an alternative benchmark compared to established indexes. GLEX™ AG is operating in accordance with the SECA standards such as corporate governance guidelines and the SECA code of conduct.
Global Equity Associates AG

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www.gea-ag.ch

Company Information

Key People
Mr. Christian Walliker
Mr. Andreas Burkhardt
Mr. Oliver Lars Dombrowsky

Year of Establishment

Number of Employees
5

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
All

Investment Stages
All

Industrial Sectors
All

Geographical Focus
Switzerland

Type of Financing
All

Short Company Profile
Go Beyond Investing

Contact Information

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Web Address
www.go-beyond.biz

Company Information

Key People
Ms. Brigitte Baumann
Mr. Balz Roth

Year of Establishment
2005 started as an Angel Investing Academy;
Launched our Business Angel Network in 2007

Number of Employees
5

Fund Names
We are a European Business Angel Network
working with individual investors, family offices,
professional groups and corporations.

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies
Poken, Generation Plume, Barefoot Power,
Lemoptix, Gramitech, Ecospeed, The Faction
Collective, Sensimed, Arktis, Sensima,
Eyeducation, Trinnov Audio, Geroco

Investment Preferences

Size of Investment
EUR 0.2-5m

Investment Stages
Seed Capital, Start-up Capital

Industrial Sectors
All

Geographical Focus
France, Italy, Poland, Switzerland, United
Kingdom, United States of America

Type of Financing
Equity Financing

Short Company Profile
GCM Grosvenor

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Web Address
www.grosvenorcapitalmanagement.com

Company Information

Key People
Ms. Eliane Riner (Switzerland)
Mr. Arnaud Lipkowicz (London)
Mr. Roger Ammoun (London)

Year of Establishment
1971, 2000 (private equity)

Number of Employees
1 (CH), 380 (Global)

Fund Names
Capital Under Management
USD 44bn (USD 20bn private markets)

Number of Portfolio Companies
Examples of Portfolio Companies
Co-Investment Programs

Investment Preferences

Size of Investment
Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance

Investment Stages
Buyout - Buyin, Expansion - Development Capital, Mezzanine - Bridge Finance

Industrial Sectors
Consumer Related, Energy, Environment, Finance - Insurance - Real Estate, Industrial Automation, Industrial Products and Services, Infrastructure, Medical - Life Sciences - Health Related, Telecommunications, Communications other, Transportation

Geographical Focus
Europe, Germany, Japan, North America, Switzerland, United Kingdom, United States of America, Western Europe

Type of Financing
All

Short Company Profile

GCM is a leading manager of private markets fund investment and co-investment programs. GCM specializes in developing customized private markets programs that address the specific needs of investors. GCM has a strong, comprehensive and proactive deal sourcing process that relies on several different channels to help generate quality deal flow across the private equity spectrum. GCM sources approximately 500 managers a year, which are benchmarked against the funds in the proprietary database and other relevant private markets indices. GCM has a large, dedicated private markets investment team, with approximately 45 experienced professionals.
GRP Gloor Ruggli Partner

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www.grplegal.ch

Company Information

Key People
Mr. Marcel Gloor
Mr. Sandro Ruggli

Year of Establishment
1999

Number of Employees
8

Investment Preferences

Size of Investment
All

Industrial Sectors
All

Geographical Focus
Worldwide

Type of Financing
All

Short Company Profile

Mid-sized commercial law firm specializing in private mergers & acquisitions and private equity transactions with locations in Zurich and Rapperswil-Jona; our office in Rapperswil-Jona provides notarial services in accordance with local practice.
### Contact Information

<table>
<thead>
<tr>
<th><strong>Address</strong></th>
<th>Lavaterstrasse 45</th>
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</thead>
<tbody>
<tr>
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<td>8002 Zürich</td>
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<tr>
<td><strong>Fax No</strong></td>
<td>+41 43 222 62 11</td>
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<tr>
<td><strong>E-mail Address for General Enquiries</strong></td>
<td><a href="mailto:info@gutcf.ch">info@gutcf.ch</a></td>
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<td><strong>Format of Personal E-mail Addresses</strong></td>
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<tr>
<td><strong>Web Address</strong></td>
<td><a href="http://www.gutcf.ch">www.gutcf.ch</a></td>
</tr>
</tbody>
</table>

### Company Information

| **Key People**      | Dr. Alexander Gut                                  |
|                     | Mr. Marc Berger                                    |
| **Year of Establishment** | 2007                                             |
| **Number of Employees** | 7                                                |

### Investment Preferences

| **Size of Investment** |                                      |
| **Industrial Sectors**  | All                                    |
| **Geographical Focus**  |                                      |
| **Type of Financing**   |                                      |

### Short Company Profile

Corporate finance advisory covering the focusing areas: M&A, Finance, Valuations, Restructuring.
# HBM Partners AG

## Contact Information

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|-----------------------|---------------
|                       | 6300 Zug      
| Telephone No          | +41 43 888 71 71 
| Fax No                | +41 43 888 71 72 
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| Web Address           | www.hbmpartners.com |

## Company Information

| Key People                  | Dr. Ulrich W. Geilinger  
|                           | Dr. Andreas Wicki        
| Year of Establishment      | 2001                    
| Number of Employees        | 20                      
| Fund Names                 | HBM Healthcare Investments,  
|                           | HBM BioCapital I / II LP 
| Capital Under Management   | USD 1bn                 
| Number of Portfolio Companies | over 20              
| Examples of Portfolio Companies | Basilea, Brahms, Esbatech, PTC, PharmaSwiss, MTM |

## Investment Preferences

- **Size of Investment**
- **Investment Stages**
  - Buyout - Buyin, Expansion - Development Capital, Replacement Capital
- **Industrial Sectors**
  - Medical - Life Sciences - Health Related
- **Geographical Focus**
  - United States of America, Western Europe, India
- **Type of Financing**
  - Equity Financing, Loans

## Short Company Profile

HBM Partners is a globally active and independent healthcare-focused investment advisor with approximately USD 1 billion in assets under management. HBM focuses on developments-stage, growth and buy-out financings of private companies as well as investments in public companies. Core target sectors are pharma & biotech, medical devices and diagnostics. HBM partners advises HBM Healthcare Investments AG, HBM BioCapital I & II and further public-equity funds.
Helbling Business Advisors AG

Contact Information

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E-mail Address for General Enquiries: hba@helbling.ch
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Web Address: www.helbling.ch

Company Information

Key People: Mr. Michael Weindl, Mr. Harry Leonhardt
Year of Establishment: 1993
Number of Employees: over 80
Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile

The M&A Practice of Helbling Business Advisors is part of Helbling group, which employs over 450 professionals in Switzerland, Germany, USA and China. Our M&A Practice provides its clients with professional advisory services in the areas of Mergers & Acquisitions and Corporate Finance. Our offices are located in Zürich, Düsseldorf, München and Stuttgart. Helbling Business Advisors is member of Corporate Finance International (thecfigroup.com), a leading international group of M&A investment banking boutiques for midmarket transactions.
HELVESTA AG

Contact Information

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Web Address
www.helvesta.ch

Company Information

Key People
Mr. A. Boulad

Year of Establishment
2011

Number of Employees
8

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
All

Investment Stages
All

Industrial Sectors

Geographical Focus
Europe

Type of Financing
Equity Financing

Short Company Profile

Helvesta is a Swiss community of entrepreneurs and business leaders. We support businesses by providing capital, mentoring skills and sharing commercial know-how, in order to provide a point of access to the best new investment opportunities that other entrepreneurs can share in.
Hitz & Partner Corporate Finance AG

Contact Information

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www.hitzpartner.ch

Company Information

Key People  
Mr. Stephan Hitz  
Mr. Cédric Vollmar  
Mr. Adrian Hodel

Year of Establishment  
2003

Number of Employees  
7

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment  
None

Investment Stages  
None

Industrial Sectors  
None

Geographical Focus  
None

Type of Financing

Short Company Profile

Founded in 2003, Hitz & Partner Corporate Finance AG is an independent Corporate Finance advisory specialist based in Lucerne, Switzerland. The scope of services includes a wide range of mergers, acquisitions and corporate finance advisory services including succession planning. With an industry focus on leisure, in particular travel (on- and offline), consumer goods, e-commerce, media and transportation, Hitz & Partner has a global network to key decision makers and longstanding expertise within the industry. Personalized and outstanding services and a high level of confidentiality are the key characteristics of Hitz & Partner. For further information go to www.hitzpartner.ch or write us an eMail to info@hitzpartner.ch.
ICS Partners GmbH

Contact Information

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Web Address
www.icspartners.net

Company Information

Key People
Mr. Carsten Paris
Mr. Ingo Zemke

Year of Establishment
2005

Number of Employees
5

Investment Preferences

Size of Investment
EUR 10-50m equity

Investment Stages
Buyout - Buyin, Mezzanine - Bridge Finance, Replacement Capital

Industrial Sectors

Geographical Focus
Austria, Germany, Switzerland, Western Europe

Type of Financing
Equity Financing, Majority Equity, Mezzanine

Short Company Profile
IMC Investment & Management Consultants AG

Contact Information

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Format of Personal E-mail Addresses

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Company Information

Key People
Mr. Markus P. Stebler

Year of Establishment
1979

Number of Employees
2

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
CHF 2-30m

Investment Stages
Buyout - Buyin, Expansion - Development Capital, Turnaround - Restructuring

Industrial Sectors
Consumer Related, Electronics, Energy, Environment, Industrial Products and Services, Manufacturing, Medical - Life Sciences - Health Related

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing, Minority Equity, Mezzanine

Short Company Profile

IMC AG was founded in 1979 and is a privately financed investment advising & consulting firm. We focus on identifying investment opportunities for private equity investors as well as on advising companies with high growth potential in finding their expansion financing.
IntraLinks

Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Bahnhofstrasse 52</th>
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<tbody>
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</tr>
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<td>Format of Personal E-mail Addresses</td>
<td>initial of <a href="mailto:forenameSurname@intralinks.com">forenameSurname@intralinks.com</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.intralinks.com">www.intralinks.com</a></td>
</tr>
</tbody>
</table>

Company Information

| Key People         | Mr. Oliver Manojlovic                               |
|                   | Mr. Carsten Kilz                                    |
|                   | Mr. Roland Gabriel                                   |
| Year of Establishment |                                               |
| Number of Employees | 31                                               |
| Fund Names         |                                                    |
| Capital Under Management |                                      |
| Number of Portfolio Companies |                                      |
| Examples of Portfolio Companies |                                      |

Investment Preferences

<table>
<thead>
<tr>
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<td>Europe</td>
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<tr>
<td>Type of Financing</td>
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</table>

Short Company Profile

For more than a decade, IntraLinks’ enterprise-wide solutions have been facilitating the secure, compliant and auditable exchange of critical information, collaboration and workflow management inside and outside the enterprise. For simplifying business processes such as board of director communications, post-merger integration, acquisition management, corporate finance and fundraising, the IntraLinks platform can help improve operational efficiency and reduce time and costs while adding increased security and control to processes. More than 2,000,000 users across 50,000 organizations around the world rely on IntraLinks, including 50 of the 50 top global banks, 10 of the top 10 life sciences companies, 25 of the top 25 law firms, and 14 of the 15 largest private equity firms.
Invision Private Equity AG

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E-mail Address for General Enquiries info@invision.ch
Format of Personal E-mail Addresses surname@invision.ch
Web Address www.invision.ch

Company Information

Key People Mr. Frank Becker
Mr. Martin Staub
Mr. Marco Martelli
Mr. Gerhard Weisschädel
Year of Establishment 1997
Number of Employees 13
Fund Names Invision I - V
Capital Under Management EUR 500m
Number of Portfolio Companies 6
Examples of Portfolio Companies Swiss Education Group, Pfeifer, HSO Wirtschaftsschule, Kraft & Bauer, RSD, Boost Group, Feintechnik, César Ritz

Investment Preferences

Size of Investment EUR 10-50m
Investment Stages Growth Buyout - Buyin, Replacement Capital
Industrial Sectors All
Geographical Focus Austria, Europe, Germany, Switzerland
Type of Financing Equity Financing

Short Company Profile

Invision is a leading Swiss-based private equity firm focusing on small and medium-sized businesses across Europe, particularly in the DACH region. Since 1997, Invision has invested in more than 50 businesses, helping companies realize their growth potential and positioning them for long-term success. We offer customized financing and succession solutions to companies and entrepreneurs. We strive to further develop these businesses by putting our investment know-how, personal network, and operational expertise actively to work. In 2013, Invision closed its latest fund, Invision V, with commitments of EUR 285m.
Jones Lang LaSalle AG

Contact Information

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Web Address
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Company Information

Key People
Mr. Jan Eckert
Mr. Patrik Stillhart
Mr. Lukas Nebehay

Year of Establishment

Number of Employees
20

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors
None

Geographical Focus
None

Type of Financing

Short Company Profile

Jones Lang LaSalle AG in Zurich is the Swiss subsidiary of the Jones Lang LaSalle group. Its experienced real estate corporate finance team provides specialist services for property companies, property owners and investors. Such services include the management of complex property and corporate transactions (share and asset deals), the evaluation of real estate assets and companies, as well as strategic and organisational consultancy services relating to the ownership, development and management of property.
# Kepler Corporate Finance

## Contact Information

| Address          | Route de Crassier 11  
<table>
<thead>
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<th></th>
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</thead>
<tbody>
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<td>Format of Personal E-mail Addresses</td>
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</tr>
</tbody>
</table>

## Company Information

| Key People       | Mr. Dominik Belloin  
<table>
<thead>
<tr>
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<tbody>
<tr>
<td></td>
<td>Mr. Dan Dysli</td>
</tr>
<tr>
<td></td>
<td>Mr. Patrick Grandfils</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2009</td>
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<tr>
<td>Number of Employees</td>
<td>30</td>
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## Investment Preferences

<table>
<thead>
<tr>
<th>Size of Investment</th>
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<tbody>
<tr>
<td>Investment Stages</td>
<td>All</td>
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<td>Industrial Sectors</td>
<td>All</td>
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<tr>
<td>Geographical Focus</td>
<td>All</td>
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<tr>
<td>Type of Financing</td>
<td>All</td>
</tr>
</tbody>
</table>

## Short Company Profile
## Korn Ferry International

### Contact Information

| Address          | Hardstrasse 201  
|                 | 8005 Zürich      |
| Telephone No    | +41 43 366 77 12 |
| Fax No          |                  |
| E-mail Address for General Enquiries |                  |
| Format of Personal E-mail Addresses |                  |
| Web Address     | www.kornferry.com |

### Company Information

| Key People      | Mr. Yannick Binvel   
|                 | Mr. Wolfgang Schmidt-Soelch |

| Year of Establishment |                  |
| Number of Employees  |                  |
| Fund Names           |                  |
| Capital Under Management |              |
| Number of Portfolio Companies |          |
| Examples of Portfolio Companies |          |

### Investment Preferences

| Size of Investment | All |
| Investment Stages  | All |
| Industrial Sectors | All |
| Geographical Focus | All |
| Type of Financing  | All |

### Short Company Profile

executive recruitment, leadership development programs, enterprise learning, succession planning and recruitment outsourcing
KPMG AG

Contact Information

Address
Badenerstrasse 172
8004 Zürich

Telephone No
+41 58 249 34 81

Fax No
+41 58 249 44 28

E-mail Address for General Enquiries
initial of forenameSurname@kpmg.com

Web Address
www.kpmg.ch

Company Information

Key People
Mr. Tobias Valk
Mr. Patrik Kerler
Mr. Timo Knak

Year of Establishment

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

Corporate Finance & Real Estate (M&A lead advisory, Debt advisory, Buyout modelling, Valuations) / Transaction Services (Financial due diligence, Strategic and commercial due diligence, Buyer and vendor due diligence) / Legal and Tax Advisory (Due diligence, Transaction structuring, Legal documentation) / Corporate Restructuring (Operational and financial restructuring, Postmerger integration) / Audit (Audit services, Fund performance audit services)
Leonardo & Co. AG

Contact Information

| Address          | Löwenstrasse 3  
|                 | 8022 Zürich     |
| Telephone No    | +41 44 200 48 20 |
| Fax No          | +41 44 200 48 90 |
| E-mail Address for General Enquiries | forename.surname@leonardo-co.com |
| Format of Personal E-mail Addresses | forename.surname@leonardo-co.com |
| Web Address     | www.leonardo-co.com |

Company Information

| Key People       | Mr. Leonid Baur  
|                 | Mr. Stephan Brücher |
| Year of Establishment | 1789 |
| Number of Employees | |
| Fund Names       | |
| Capital Under Management | |
| Number of Portfolio Companies | |
| Examples of Portfolio Companies | |

Investment Preferences

| Size of Investment | All |
| Investment Stages  | All |
| Industrial Sectors | All |
| Geographical Focus | All |
| Type of Financing  | All |

Short Company Profile

Leonardo & Co. is a European Investment banking firm focusing exclusively on independent and objective advice in mergers & acquisitions, equity, debt financing and restructuring.
## LFPE S.A.

### Contact Information

| **Address** | Rue de Rive 1  
| | 1204 Geneve |
| **Telephone No** | +41 22 319 79 00 |
| **Fax No** | +41 22 319 79 19 |
| **E-mail Address for General Enquiries** | contact@lfpesa.ch |
| **Format of Personal E-mail Addresses** | forename.surname@lfpesa.ch |

### Company Information

| **Key People** | Mr. Marc Brugger |
| **Year of Establishment** | 2006 |
| **Number of Employees** | 7 |
| **Fund Names** | LFPE SCA, SICAR and others |
| **Capital Under Management** | EUR 300m |
| **Number of Portfolio Companies** | over 100 |

### Investment Preferences

| **Size of Investment** | EUR 2-50m |
| **Investment Stages** | Buyout - Buyin, Mezzanine - Bridge Finance |
| **Industrial Sectors** | Chemical - Materials, Construction - Building Products, Consumer Related, Electronics, Energy, Environment, Food - Beverages, Industrial Automation, Industrial Products and Services, Manufacturing, Services, Telecommunications, Transportation |
| **Geographical Focus** | Austria, Belgium, Benelux, Continental Europe, Europe, Finland, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Poland, Portugal, Scandinavia, Spain, Sweden, Switzerland |
| **Type of Financing** | Equity Financing, Majority Equity, Minority Equity, Mezzanine, Senior Debt |

### Short Company Profile

LFPE S.A. is an investment advisory company for dedicated investment vehicles which target small and midsize buy-out funds and direct investments in Europe. LFPE S.A. is part of the French LFPI Group, Paris.
## LGT Capital Partners Ltd.

### Contact Information

| Address | Schützenstrasse 6  
|         | 8808 Pfäffikon |
| Telephone No | +41 55 415 96 00 |
| Fax No | +41 55 415 96 99 |
| E-mail Address for General Enquiries | lgt.cp@lgt.com |
| Format of Personal E-mail Addresses | forename.surname@lgt.com |
| Web Address | www.lgt-capital-partners.com |

### Company Information

| Key People | Dr. Roberto Paganoni  
|           | Dr. Hans Markvoort  
|           | Mr. Ivan Vercoutere |
| Year of Establishment | 1997 |
| Number of Employees | 300* |
| Fund Names | Crown family of funds, Castle family of funds |
| Capital Under Management | USD 45bn* |
| Number of Portfolio Companies | |
| Examples of Portfolio Companies | |

### Investment Preferences

| Size of Investment | USD 5-200m |
| Investment Stages | All |
| Industrial Sectors | All |
| Geographical Focus | All |
| Type of Financing | Equity Financing |

### Short Company Profile

LGT Capital Partners is a leading institutional alternative asset manager, currently managing over USD 45 billion* in private equity and other alternative investments on a global basis. LGT Capital Partners’ team of over 300 professionals* with 35 nationalities is responsible a.o. for the investment management of the Crown and Castle alternative investment programs. The firm is headquartered in Pfäffikon SZ in Switzerland and has affiliated offices in New York, Beijing, Dubai, Dublin, Hong Kong, London and Tokyo.

* After the planned merger with LGT Capital Management
Leading the way in alternative investing

- **Outstanding track record**
  16 years experience in generating attractive risk-adjusted returns

- **Significant asset base**
  USD 45 billion in alternative assets under management

- **Strong alignment of interests**
  Team and shareholder invest in own programs

- **Large, international team**
  300 people representing 32 nationalities, speaking 28 languages

- **Global client base**
  400 sophisticated institutional investors in over 30 countries

Pfaeffikon | New York | Dublin | London | Dubai | Beijing | Hong Kong | Tokyo
lgt.cp@lgt.com | www.lgtcp.com

Note: Numbers reflect the status of the firm after the planned merger with LGT Capital Management
Lincoln Hills Capital SA

Contact Information

Address: Rue du Marché 18
1204 Geneva

Telephone No: +41 22 311 50 00
Fax No: +41 22 311 50 01
E-mail Address for General Enquiries: info@lincolnhillscapital.ch
Format of Personal E-mail Addresses: www.lincolnhillscapital.ch

Company Information

Key People: Mr. Enrico Gaspare
Mr. Giuliano Polidori

Year of Establishment: 2012
Number of Employees: 2
Fund Names: Vista Equity Partners Funds
Capital Under Management

Examples of Portfolio Companies

Investment Preferences

Size of Investment: USD 10m
Investment Stages: Buyout - Buyin, Expansion - Development Capital
Industrial Sectors: Computer Related, Internet Technology
Geographical Focus: Europe, United States of America
Type of Financing: Equity Financing, Majority Equity, Senior Debt

Short Company Profile

We are acting as introducers and Swiss distributors to Regulated Qualified Investors of Vista Equity Partners’ funds. Vista Equity Partners is a leading US-based Private Equity investment firm which focuses exclusively on the enterprise software sector across many industries.
LN Consulting Sagl

Contact Information

Address  Via Lucino 3
          6932 Lugano-Breganzona
Telephone No +41 91 220 70 00
Fax No +41 91 980 39 25
E-mail Address for General Enquiries info@lombardnetwork.com
Web Address www.lombardnetwork.com

Company Information

Key People
Dr. Federico Foscale
Ms. Carole Gonzalez Foscale
Mr. Francesco Rizzo

Year of Establishment
7

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Early-Stage, Expansion -
Development Capital, Mezzanine - Bridge Finance,
Replacement Capital, Seed Capital, Start-up
Capital, Turnaround - Restructuring

Industrial Sectors
All

Geographical Focus
Europe, Italy, Switzerland, United States of America

Type of Financing
All

Short Company Profile

Lombard Network Group is an independent holding operating mainly as corporate finance advisor (debt and equity) in favour of SMEs, and also as investor in public and private equities. It operates since 2006, dealing with the analysis of the financial needs of companies seeking equity capital (private equity, venture capital, strategic Investors / Industrial) and debt (banks, leasing, factors); it also advises its clients to operations such as IPOs, M&A, restructuring and debt consolidation. It invests in minority stake in private and public equities. The main companies of the Group are LN Consulting Sagl and LN Marchant SA, based in Lugano, with representative offices in London and Milan.
Mazars

Contact Information

Address
Mühlebachstrasse 20
8008 Zürich

Telephone No
+41 44 384 84 44

Fax No
+41 44 384 84 45

E-mail Address for General Enquiries
office.zurich@mazars.ch

Format of Personal E-mail Addresses
forename.surname@mazars.ch

Web Address
www.mazars.ch

Company Information

Key People
Mr. Vincenzo Braiotta

Year of Establishment
1975

Number of Employees
85

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
All

Investment Stages
All

Industrial Sectors
All

Geographical Focus
All

Type of Financing
All

Short Company Profile
Merieux développemment

Contact Information

Address
Rue Bourgelat, 17
F-69002 Lyon

Telephone No
+33 4 78 87 37 00

Fax No
+33 4 78 87 37 02

E-mail Address for General Enquiries
info@merieux-developpement.com

Format of Personal E-mail Addresses
forename.surname@merieux-developpement.com

Web Address
www.merieux-developpement.com

Company Information

Key People
Dr. Valérie Calenda

Year of Establishment
2009

Number of Employees
5

Fund Names
Capital Under Management EUR 70m

Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Early-Stage, Expansion - Development Capital, Start-up Capital

Industrial Sectors
Biotechnology, Medical - Life Sciences - Health Related

Geographical Focus
Canada, Europe, Israel, North America, United Kingdom, United States of America

Type of Financing
Equity Financing, Majority Equity, Minority Equity

Short Company Profile

Mérieux Développement is a healthcare investment company. Identifying scientific and technological innovation, supporting entrepreneurs and companies which, given their potential, will contribute to the medicine of the future, and providing them with the means and time to carry out their projects – these are the objectives of Mérieux Développement.
Milestone Investisseurs GP Sàrl

Contact Information

Address 14 Floral Street
           GB‐WC2E 9DHL London
Telephone No +44 207 420 8800
Fax No +44 207 420 8827
E‐mail Address for General Enquiries erick.rinner@milestone‐capital.com
Format of Personal E‐mail Addresses www.milestone‐capital.com

Company Information

Key People Mr. Erick Rinner
           Mr. Olivier Antomarchi
           Ms. Claire Gomard
Year of Establishment 1989
Number of Employees 3
Fund Names Milestone 2012 LP
Capital Under Management EUR 250m
Number of Portfolio Companies 6
Examples of Portfolio Companies

Investment Preferences

Size of Investment EUR 10‐30m
Investment Stages Buyout ‐ Buyin, Expansion ‐ Development Capital, Replacement Capital
Industrial Sectors Computer Related, Consumer Related, Electronics, Energy, Environment, Finance ‐ Insurance ‐ Real Estate, Food ‐ Beverages, Industrial Products and Services, Internet Technology, Manufacturing, Medical ‐ Life Sciences ‐ Health Related, Services, Telecommun
Geographical Focus Belgium, Benelux, France, Ireland, Luxembourg, Switzerland, United Kingdom
Type of Financing Majority Equity

Short Company Profile

Milestone is a private equity firm based in London with presence in Geneva, Paris and Bruxelles, investing in management buy‐outs and buy‐ins valued between €20m and €100m with strong growth potential through organic growth and/or add‐on acquisitions.
MilleniumAssociates AG

Contact Information

Address: Kreuzstrasse 54
8008 Zürich
Telephone No: +41 58 710 47 00
Fax No: +41 58 710 47 20
E-mail Address for General Enquiries: info@milleniumassociates.com
Format of Personal E-mail Addresses: forename.surname@milleniumassociates.com
Web Address: www.milleniumassociates.com

Company Information

Key People: Mr. Ray Soudah
Mr. Jan-Arne Farstad
Mr. Andreas Bünter
Year of Establishment: 2000
Number of Employees: over 10

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages: Buyout - Buyin, Delisting, Privatisation, Replacement Capital
Industrial Sectors: All
Geographical Focus: Africa, Asia, Europe, North America
Type of Financing: Equity Financing

Short Company Profile

MilleniumAssociates AG is an international M&A and Corporate Finance Advisory firm based in Switzerland and the UK. Founded in 2000 and owned by its partners and management, it is uniquely positioned to offer independent and non-conflicting advice to its clients. Its Corporates & Entrepreneurs Practice specialises in supporting corporates and entrepreneurial business owners all over the world in their expansion or divestment strategies, as well as consulting for organisations seeking to determine their ideal strategic options including partnerships, alliances and IPO plans, while its Financial Services Practice focuses on transactions for the global financial services industry, in particular the global wealth, asset management, private banking and private equity sectors.
MMP Mück Management Partners AG

Contact Information

Address: Sägereistrasse 7
8834 Schindellegi

Telephone No: +41 44 787 02 82
Fax No: +41 44 787 02 81

E-mail Address for General Enquiries: surname@mueck-partners.com

Web Address: www.mueck-partners.com

Company Information

Key People: Mr. Rainer Mück

Year of Establishment: 2007
Number of Employees: 8

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors: All
Geographical Focus: Europe
Type of Financing: All

Short Company Profile

We are a highly solution oriented management consultancy well-versed in developing personal and tailor-made solutions for our customers. Our goal is to provide you with the key to optimize your financial and administrative activities on a long-term basis. Due to our long-standing involvement in international companies and consultancies we have an inside knowledge of our customers needs and can present you with the perfect answer to your problems. Our major business areas are Mergers & Acquisitions and Governance & Compliance Advisory.
MSM Investorenvereinigung

Contact Information

Address
Stadthausstrasse 12
8400 Winterthur

Telephone No
+41 52 269 21 12

Fax No

E-mail Address for General Enquiries
name@msmgroup.ch

Format of Personal E-mail Addresses
name@msmgroup.ch

Web Address
www.msmgroup.ch

Company Information

Key People
Dr. Jürg Martin

Year of Establishment
2001

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus
Switzerland

Type of Financing

Short Company Profile

MSM Investorenvereinigung is an association (a "business angel's club") with its seat in Winterthur. Its purpose is to inform and support its members in investing in non quoted companies, particularly in such who create jobs in Switzerland. The club promotes common investing by its members and third parties and offers appropriate legal tools when needed. Die MSM Investorenvereinigung ist ein Verein (ein "Business Angels Club") mit Sitz in Winterthur. Er bezweckt die Information und Unterstützung der Mitglieder bei der Anlage von Geldern in nicht börsenotierte Gesellschaften, namentlich solche, die in der Schweiz neue Arbeitsplätze schaffen. Der Verein fördert das gemeinsame Investieren durch Vereinsmitglieder und Dritte in solche Unternehmen und stellt bei Bedarf geeignete rechtliche Strukturen zur Verfügung.
Neuberger Berman

Contact Information

Address
Börsenstrasse 26
8001 Zürich

Telephone No
+41 44 219 77 50

Fax No
+41 44 219 77 67

E-mail Address for General Enquiries
info.swissteam@nb.com

Format of Personal E-mail Addresses
forename.surname@nb.com

Web Address
www.nb.com

Company Information

Key People
Mr. Fabio Castrovillari
Ms. Melina Tan
Mr. Peter Von Lehe

Year of Establishment
1987 (private equity), 1939 (Neuberger Berman)

Number of Employees
200 (PE), over 2'000 globally

Fund Names
Private Investment Portfolios, Secondaries, Co-Investments, Healthcare Credit, Hedge Fund
Minority Stakes, Private Debt, Custom Separate Accounts

Capital Under Management
USD 20bn (private equity), USD 242bn (Neuberger Berman)

Number of Portfolio Companies
Over 300 private equity funds, over 115 direct investments

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
All

Industrial Sectors
All

Geographical Focus
Worldwide

Type of Financing
All

Short Company Profile

NB Private Equity, the private equity group of Neuberger Berman, has been an active and successful private equity investor since 1987, and has served sophisticated institutional investors globally. NB Private Equity manages approximately $20 billion of investor commitments across primary fund of funds, secondary, co-investments and direct-yielding investments through commingled funds as well as custom separate accounts. NB Private Equity has a global presence with approximately 70 investment professionals, and is supported by approximately 130 investor services professionals, including our back office services affiliate, Capital Analytics. Established in 1939, Neuberger Berman is one of the world’s largest private, independent, employee-controlled asset management companies. Neuberger Berman is a leader in a broad range of global investment solutions - equity, fixed income and alternatives - to institutions and individuals through customized separately managed accounts, mutual funds and alternative investment products. The Firm has more than 2000 employees in 28 cities worldwide and, as of December 31, 2013, managed $242 billion of assets. The senior members of the Zurich based client-team, have been working together at the firm for over 14 years and have been servicing Swiss investors for over 20 years.
Neue Helvetische Bank AG

Contact Information

Address: Seefeldstrasse 215
8008 Zürich
Telephone No: +41 44 204 56 19
Fax No: +41 44 204 57 77
E-mail Address for General Enquiries: corporatefinance@nhbag.ch
Format of Personal E-mail Addresses: www.nhbag.ch

Company Information

Key People: Dr. Rolf Weilenmann
Mr. Martin Spiess
Year of Establishment: 2010
Number of Employees: 30
Fund Names:
Capital Under Management:
Number of Portfolio Companies:
Examples of Portfolio Companies:

Investment Preferences

Size of Investment:
Investment Stages: Buyout - Buyin, Expansion - Development Capital, Replacement Capital
Industrial Sectors: All
Geographical Focus: Austria, France, Germany, Italy, Switzerland
Type of Financing: Equity Financing, Senior Debt

Short Company Profile

Neue Helvetische Bank AG is a politically & financially independent bank, offering services in the areas of corporate finance, investment advisory services, asset management and research. Neue Helvetische Bank aims primarily to cater to the needs of entrepreneurs, former entrepreneurs and qualified private investors. In corporate finance, the services of Neue Helvetische Bank focus on medium-sized listed and non-listed companies in Switzerland and neighbouring countries. The competent team covers a broad range of services, including equity, debt, M&A and other corporate finance services and is market leader in smaller bond issues listed on SIX Swiss Exchange.
Nextech Invest Ltd.

Contact Information

Address: Scheuchzerstrasse 35
8006 Zürich
Telephone No: +41 44 366 66 11
Fax No: +41 44 366 66 10
E-mail Address for General Enquiries: info@nextechinvest.com
Format of Personal E-mail Addresses: surname@nextechinvest.com
Web Address: www.nextechinvest.com

Company Information

Key People: Dr. Alfred Scheidegger
Dr. Thilo Schroeder
Year of Establishment: 1998
Number of Employees: 7
Fund Names: Nextech I Emerging Technologies, Nextech II Oncology, Nextech III Oncology
Capital Under Management: CHF 160m
Number of Portfolio Companies: 8
Examples of Portfolio Companies: MacroGenics (IPO), Sunesis Pharmaceuticals (NASDAQ), Palyon, Tracon Pharmaceuticals, TetraLogic Pharmaceuticals (IPO), ImaginAb, MolecularMD, Blueprint Medicines

Investment Preferences

Size of Investment: EUR 2-7m
Investment Stages: Late Stage
Industrial Sectors: Biotechnology, Oncology
Geographical Focus: North America, Europe, Asia
Type of Financing: Equity Financing

Short Company Profile

Global investment management firm based in Zurich, Switzerland. Nextech Invest Ltd. currently advises two world-wide oncology Funds, launched in late 2006 and 2010, fully dedicated to foster leading cancer companies including therapeutics, diagnostics and medical devices. The fund is supported by a committed 6 member scientific advisory board led by Prof. David Livingston, Director of the Dana-Farber/Harvard Cancer Center.
# Novartis International AG

## Contact Information

| Address               | Novartis Campus  
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>4002 Basel</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 61 324 78 96</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 61 324 86 79</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:forename.surname@novartis.com">forename.surname@novartis.com</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:forename.surname@novartis.com">forename.surname@novartis.com</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.venturefund.novartis.com">www.venturefund.novartis.com</a></td>
</tr>
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</table>

## Company Information

| Key People            | Dr. Reinhard J. Ambros  
<table>
<thead>
<tr>
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</tr>
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<tbody>
<tr>
<td></td>
<td>Ms. Martina Blank</td>
</tr>
<tr>
<td></td>
<td>Dr. Anja König</td>
</tr>
<tr>
<td>Year of Establishment</td>
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<td>Number of Employees</td>
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<tr>
<td>Fund Names</td>
<td>NOVARTIS VENTURE FUNDS</td>
</tr>
<tr>
<td>Capital Under Management</td>
<td>USD 800m</td>
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<td>Number of Portfolio Companies</td>
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<td>Examples of Portfolio Companies</td>
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## Investment Preferences

<table>
<thead>
<tr>
<th>Size of Investment</th>
<th>USD 15-20m</th>
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<tr>
<td>Investment Stages</td>
<td>Early-Stage, Seed Capital, Start-up Capital</td>
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<td>Industrial Sectors</td>
<td>Medical - Life Sciences - Health Related</td>
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<td>Geographical Focus</td>
<td>Europe, Switzerland, United States of America</td>
</tr>
<tr>
<td>Type of Financing</td>
<td>Equity Financing, Minority Equity</td>
</tr>
</tbody>
</table>

## Short Company Profile
NYGT Group

Contact Information

Address
3/F Pacific Place 3, 1 Queen’s Road East, Admiralty, Hong Kong

Telephone No
+85 225 119 056

Fax No

E-mail Address for General Enquiries

Format of Personal E-mail Addresses

Web Address

Company Information

Key People
Dr. Kenneth Cheung
Ms. Carol Mok

Year of Establishment

Number of Employees
9

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
All

Industrial Sectors
All

Geographical Focus
All

Type of Financing
Equity Financing, Loans, Majority Equity

Short Company Profile

NYGT Group is an international investment management firm, with offices in New York, London, Hong Kong and Tokyo.
## Office Dr. Uli Sigg

### Contact Information

<table>
<thead>
<tr>
<th>Address</th>
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</tr>
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<tbody>
<tr>
<td></td>
<td>6216 Mauensee</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 41 921 30 18</td>
</tr>
<tr>
<td>Fax No</td>
<td></td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@abraxcap.com">info@abraxcap.com</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
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<tr>
<td>Web Address</td>
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### Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Mr. Manuel Salvisberg</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>Ms. Yvonne Jaggi</td>
</tr>
<tr>
<td>Year of Establishment</td>
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<tr>
<td>Number of Employees</td>
<td>5</td>
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<td>Fund Names</td>
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<td>Examples of Portfolio Companies</td>
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### Investment Preferences

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<td>Geographical Focus</td>
<td>Asia, Continental Europe</td>
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</table>

### Short Company Profile

Single family office focused on hands-on investing in growth companies in Europe and Asia.
ONELIFE ADVISORS SA

Contact Information

Address: Via Cantonale 1
Telephone No: +41 91 924 24 00
Fax No: +41 91 924 24 01
E-mail Address for General Enquiries: info@onelife.ch
Web Address: www.onelife.ch

Company Information

Key People: Dr. Enrico Braglia
Dr. Massimo Colnago
Year of Establishment: 2007
Number of Employees: 5
Fund Names: Prospera Senectute Fund Sicav-SIF, Bright Technology Fund
Capital Under Management: USD 90m + EUR 30m

Investment Preferences

Size of Investment: up to EUR 10m
Investment Stages: Buyout - Buyin, Delisting, Early-Stage, Expansion - Development Capital, Privatisation, Start-up Capital, Turnaround - Restructuring
Geographical Focus: Continental Europe, Europe, Israel, Switzerland, Western Europe
Type of Financing: Equity Financing, Minority Equity, Majority Equity

Short Company Profile

Onelife pursues a new approach to Life Science and is becoming a recognized thought leader in the field of conjugating profit and sustainable growth for its clients, investors and partners. Onelife wants to make a real contribution to the manifold challenges in the Life Science sector capitalizing on its unique industry insights and expertise in three distinct areas: FUND Prospera Senectute Fund is an ethical Life Science sector fund focusing on healthy aging. The Fund benefits from Onelife unique view and expertise regarding science, demographic changes and in-depth knowledge of the industry. ADVISORY Onelife virtual network and sound experience in the Life Science sector provides clients such as financial institutions, Life Science companies and family offices with advices for improving evaluation and complex handling of pharmaceutical and biotechnology projects, bio-finance data or sophisticated cost controlling and investment decision processes. LICENSES Onelife supports investors and companies in strategic ventures including in/out license of Life Science projects, acquisition of new technologies and M&As.
Orlando Management Schweiz AG

Contact Information

Address
Hardturmstrasse 133
8005 Zürich

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Fax No +41 44 271 55 54
E-mail Address for General Enquiries info@orlandofund.ch
Format of Personal E-mail Addresses surname@orlandofund.ch
Web Address www.orlandofund.ch

Company Information

Key People Dr. Karl Spielberger
Mr. Fabrice Nava

Year of Establishment 2001
Number of Employees 40
Fund Names Special Situation Venture Partners
Capital Under Management CHF 500m
Number of Portfolio Companies over 10
Examples of Portfolio Companies Toga Food, solvadis, Pallhuber, Flensburger Schiffbau, Staud

Investment Preferences

Size of Investment CHF 5-100m
Investment Stages Buyout - Buyin, Replacement Capital,
Turnaround - Restructuring
Industrial Sectors All
Geographical Focus Austria, Germany, Italy, Switzerland
Type of Financing Majority Equity

Short Company Profile

Orlando is the exclusive advisor to the leading PE investor in continental Europe focussed on special situations.
paprico ag
partners for private capital & companies

Contact Information

Address
Zugerstrasse 8a
6340 Baar

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+41 43 344 38 00

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info@paprico.ch

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forename.surname@paprico.ch

Web Address
www.paprico.ch

Company Information

Key People
Mr. Peter Letter
Mr. Marco Fantelli

Year of Establishment
2012

Number of Employees
3

Fund Names

Examples of Portfolio Companies
Sensimed SA, Swiss Diagnostic Solutions AG,
Bogar AG, Silentsoft SA

Investment Preferences

Size of Investment
EUR 1.0-20.0m

Investment Stages
Buyout - Buyin, Early-Stage, Expansion -
Development Capital, Turnaround - Restructuring

Industrial Sectors
All

Geographical Focus
Austria, Germany, Switzerland

Type of Financing
Equity Financing, Mezzanine

Short Company Profile

paprico ag – partners for private capital & companies ist eine Spezialistin für unternehmerische Direktbeteiligungen im Private Equity und Venture Capital Markt der Schweiz und dem deutschsprachigen Raum mit einem fokussierten Leistungsangebot für Unternehmer sowie für Family Offices, private und institutionelle Investoren.


Partners Group

Contact Information

Address
Zugerstrasse 57
6341 Baar-Zug

Telephone No
+41 41 784 60 00

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+41 41 784 60 01

E-mail Address for General Enquiries
partnersgroup@partnersgroup.com

Format of Personal E-mail Addresses
forename.surname@partnersgroup.com

Web Address
www.partnersgroup.com

Company Information

Key People
Mr. André Frei
Mr. Christoph Rubeli
Dr. Cyrill Wipfli
Mr. Jürg Wenger

Year of Establishment
1996

Number of Employees
over 700

Fund Names
Capital Under Management
over EUR 30bn in private equity, private debt, private real estate and private infrastructure

Number of Portfolio Companies
6000

Examples of Portfolio Companies
Fermaca, Universal Services of America, CSS Corp, Hofmann Menü Manufaktur, VAT Vakuumventile

Investment Preferences

Size of Investment
All

Investment Stages
All

Industrial Sectors
All

Geographical Focus
All

Type of Financing
All

Short Company Profile

Partners Group is a global private markets investment management firm with over EUR 30 billion in investment programs under management in private equity, private real estate, private infrastructure and private debt. The firm manages a broad range of customized portfolios for an international clientele of institutional investors. Partners Group is headquartered in Zug, Switzerland and has offices in San Francisco, New York, São Paulo, London, Guernsey, Paris, Luxembourg, Milan, Munich, Dubai, Singapore, Shanghai, Seoul, Tokyo and Sydney. The firm employs over 700 people, is listed on the SIX Swiss Exchange (symbol: PGHN) with a market capitalization of CHF 6 billion and a major ownership by its partners and employees.
Portfolio Advisors LLC

Contact Information

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8008 Zurich
Telephone No: +41 44 200 35 00
Fax No: +41 44 200 35 01
E-mail Address for General Enquiries: jlandis@portad.com
Web Address: www.portad.com

Company Information

Key People: Mr. Nicolas Von der Schulenburg
Mr. Peter Schwanitz

Year of Establishment: 1994
Number of Employees: 76
Fund Names: PAPEF, PASF, PAREF, PACSF
Capital Under Management: USD 33bn

Investment Preferences

Size of Investment: All
Investment Stages: All
Industrial Sectors: All
Geographical Focus: All
Type of Financing: Equity Financing

Short Company Profile

Portfolio Advisors, LLC is an independent, employee-owned firm that provides tailored private equity and real estate investment solutions through separately managed accounts and through commingled fund-of-funds programs. We invest on behalf of our clients and funds across the private equity spectrum and real estate landscape, through primary partnerships, secondary purchases and co-investments. More than 600 limited partners have invested in various Portfolio Advisors sponsored funds. Portfolio Advisors has been an Investment Advisor registered with the U.S. Securities and Exchange Commission since 1994, and is also a Qualified Professional Asset Manager. With offices in the U.S., Europe and Asia, Portfolio Advisors has established a global footprint in a global asset class.
Private Equity Holding AG

Contact Information

Address
Innere Güterstrasse 4
6300 Zug

Telephone No
+41 41 726 79 80

Fax No
+41 41 726 79 81

E-mail Address for General Enquiries
info@peh.ch

Format of Personal E-mail Addresses
forename.surname@peh.ch

Web Address
www.peh.ch

Company Information

Key People
Dr. Hans Baumgartner
Mr. Peter Wolfers
Mr. Peter Derendinger

Year of Establishment
1997

Number of Employees

Capital Under Management

Fund Names
ABRY Partners VI, Bridgepoint Europe IV,
Institutional Venture Partners XII

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus
Europe, United States of America, Western Europe

Type of Financing
Equity Financing

Short Company Profile

Private Equity Holding Ltd. offers institutional and private investors the opportunity to invest in a broadly diversified private equity portfolio. The objective of Private Equity Holding Ltd. is to generate long term capital growth for its shareholders, and to deliver consistent net asset value growth. Private Equity Holding focuses on primary fund investments, acquisition of fund interests on the secondary market and direct co-investments. The company is managed by Alpha Associates, an independent private equity fund-of-funds manager and advisor, building and managing globally diversified private equity fund portfolios for institutional and private investors.
PwC

Contact Information

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8050 Zürich

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+41 58 792 44 10

E-mail Address for General Enquiries  
forename.surname@ch.pwc.com

Format of Personal E-mail Addresses  
forename.surname@ch.pwc.com

Web Address  
www.pwc.com/ch

Company Information

Key People  
Mr. Adrian Keller  
Dr. Philipp Hofstetter  
Dr. Markus Neuhaus

Year of Establishment

Number of Employees  
184'000 in 157 countries

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

PricewaterhouseCoopers provides clients with industry-focused assurance, tax & legal and advisory services. Transaction experts focus across the deal continuum to capture maximal value from mergers, acquisitions and capital market transactions while drawing on our broad range of skills for specific client needs in a flexible, fast and tailor-made manner. More than 184’000 people in 157 countries across our network connect their thinking, experience and solutions to develop fresh perspectives and practical advice that truly enhance value for clients.
Redalpine Venture Partners AG

Contact Information

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8005 Zürich

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forename.surname@redalpine.com

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forename.surname@redalpine.com

Web Address
www.redalpine.com

Company Information

Key People
Mr. Peter Niederhauser
Dr. Michael Sidler

Year of Establishment
2007

Number of Employees
7

Fund Names
Redalpine Capital I

Capital Under Management
CHF 45m

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Early-Stage, Seed Capital, Start-up Capital

Industrial Sectors
Internet Technology, Medical - Life Sciences - Health Related, Communications other

Geographical Focus
Europe

Type of Financing
Equity Financing

Short Company Profile
Redwood Capital Group

Contact Information

Address 17 rue du Cendrier
1201 Geneva
Telephone No +41 22 518 07 83
Fax No
E-mail Address for General Enquiries mchaillet@redcapgroup.com
Format of Personal E-mail Addresses Initial of forenameSurname@redcapgroup.com
Web Address www.redcapgroup.com

Company Information

Key People Mr. Martial Chaillet
Year of Establishment 2003
Number of Employees 25

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment over USD 5m
Investment Stages Expansion - Development Capital
Industrial Sectors Telecommunications, Digital Media and Technology
Geographical Focus All
Type of Financing Equity Financing, M&A

Short Company Profile

Redwood Capital Group, with offices in New York, Los Angeles, London and Geneva, is an investment banking firm serving the technology, communications, media, business services and other growth industries. The firm focuses on mergers & acquisitions, corporate finance, restructuring and valuation advisory services for its clients worldwide. Taking a relationship driven approach, the firm has a strong track record of delivering superior results for clients by leveraging a deep sector knowledge and transaction experience. Additional information can be found at www.redcapgroup.com.
Remaco AG

Contact Information

Address
Hirzbodenweg 103
4020 Basel

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+41 61 319 51 51

Fax No
+41 61 319 52 52

E-mail Address for General Enquiries
remaco@remaco.com

Format of Personal E-mail Addresses
forename.surname@remaco.com

Web Address
www.remaco.com

Company Information

Key People
Mr. Pascal Böni

Year of Establishment
1947

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

The Corporate Finance experts of Remaco AG advice small and medium-sized enterprises (SME). Their focus lies on family businesses and financial investors in national and international transactions such as mergers & acquisitions, succession plannings & company sales, management buy-outs (MBOs) & management buy-ins (MBIs), growth financings, company valuations, due diligence services, valuation services and private equity management. For further information go to www.remaco.com.
## Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Dufourstrasse 25</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>4010 Basel</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 61 225 96 58</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 61 225 96 30</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td>initial of <a href="mailto:forenameSurname@sallfort.com">forenameSurname@sallfort.com</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.sallfort.com">www.sallfort.com</a></td>
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## Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Mr. Michael Bornhäusser</th>
</tr>
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<tbody>
<tr>
<td>Year of Establishment</td>
<td>1991 / PE 2012</td>
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<tr>
<td>Number of Employees</td>
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## Investment Preferences

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<tr>
<th>Size of Investment</th>
<th>USD 3-20m</th>
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<td>Investment Stages</td>
<td>Expansion - Development Capital, Mezzanine - Bridge Finance, Start-up Capital</td>
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<td>Industrial Sectors</td>
<td>Computer Related, Electronics, Internet Technology, Telecommunications, Communications other</td>
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<td>Type of Financing</td>
<td>Equity Financing, Minority Equity, Mezzanine, Senior Debt</td>
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## Short Company Profile

Please see www.sallfort.com / Private Equity
traditionell innovativ

Nur wer die richtigen Erkenntnisse aus der Vergangenheit ziehen kann, ist in der Lage, die Zukunft erfolgreich zu gestalten. Innovationen zum Vorteil unserer Kunden einzusetzen hat bei uns Tradition.
# SauterRentsch Investment Services

## Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Olivova 2096/4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>CS-110 00 Prague 1</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+420 222 233 323</td>
</tr>
<tr>
<td>Fax No</td>
<td></td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:info@sauter-rentschi.com">info@sauter-rentschi.com</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="http://www.sauter-rentschi.com">www.sauter-rentschi.com</a></td>
</tr>
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<td>Web Address</td>
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## Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Dr. Rudolf Rentsch</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mr. Joachim Sauter</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2010</td>
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<tr>
<td>Number of Employees</td>
<td>5 (overall)</td>
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## Investment Preferences

<table>
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<tr>
<td>Geographical Focus</td>
<td>Austria, Central and Eastern Europe, Germany, Switzerland, Turkey</td>
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<tr>
<td>Type of Financing</td>
<td>All</td>
</tr>
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</table>

## Short Company Profile

SauterRentsch provides M&A advisory services for both buyers and sellers of businesses with a particular focus on mid-sized businesses. We thus serve as your single point of contact for investment projects in Central and Eastern Europe.
**Schweizerische Mobiliar Asset Management AG**

### Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Bundesgasse 35 3001 Bern</th>
</tr>
</thead>
<tbody>
<tr>
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<td>+41 31 389 61 11</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 31 389 68 44</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:forename.surname@mobi.ch">forename.surname@mobi.ch</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.mobi.ch">www.mobi.ch</a></td>
</tr>
</tbody>
</table>

### Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Ms. Gisela Jaeggi</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mr. Stefan Mächler</td>
</tr>
<tr>
<td></td>
<td>Mr. Beat Kunz</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>1999</td>
</tr>
<tr>
<td>Number of Employees</td>
<td></td>
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<tr>
<td>Fund Names</td>
<td>CHF 17bn</td>
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<td>Capital Under Management</td>
<td></td>
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<td>Number of Portfolio Companies</td>
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</table>

### Investment Preferences

- Size of Investment
- Investment Stages
- Industrial Sectors
- Geographical Focus
- Type of Financing

### Short Company Profile
SCM Strategic Capital Management AG

Contact Information

Address | Kasernenstrasse 77b  
8004 Zürich
Telephone No | +41 43 499 49 49  
Fax No | +41 43 499 49 50
E-mail Address for General Enquiries | scm@scmag.com  
Format of Personal E-mail Addresses | surname@scmag.com
Web Address | www.scmag.com

Company Information

Key People | Dr. Stefan Hepp  
Mr. Ralph Aerni  
Mr. Sascha Zeitz
Year of Establishment | 1996
Number of Employees | 21
Fund Names | Segregated Institutional Client Accounts &  
Dedicated Fund-of-Funds
Capital Under Management | USD 3.7bn
Examples of Portfolio Companies | 

Investment Preferences

Size of Investment  
Investment Stages | All
Industrial Sectors | All
Geographical Focus | All
Type of Financing | All

Short Company Profile

SCM Strategic Capital Management is an independent Swiss institutional investment advisor focusing on private equity, real estate, and infrastructure. The company offers customized advisory solutions and commingled pools, as well as investment controlling and reporting services. Since its foundation in 1996, SCM has advised on aggregate commitments of approximately USD 10 billion, and today ranks among the most important private market investors. Unbiased investment competence, tailored services, a global network, and international operations are key characteristics of the company. SCM is headquartered in Zurich, Switzerland, and has offices in Hong Kong, Seoul and Luxembourg.
shaPE Capital AG

Contact Information

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forename.surname@shape-capital.com

Web Address
www.shape-capital.com

Company Information

Key People
Dr. Simon Lamprecht

Year of Establishment
2001

Number of Employees
2

Fund Names

Capital Under Management
CHF 80m

Number of Portfolio Companies
24

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Early-Stage, Expansion - Development Capital

Industrial Sectors
All

Geographical Focus
All

Type of Financing
All

Short Company Profile

shaPE is a private equity investment company listed on the SWX Swiss Exchange that follows the principle of a Fund of Funds.
SJ Berwin LLP

Contact Information

Address: Karlstraße 12, Karolinen Karree, D - 80333 München
Telephone No: +49 (0) 89 89081-310
Fax No: +49 (0) 89 89081-100
E-mail Address for General Enquiries: sonya.pauls@sjberwin.com
Web Address: www.sjberwin.com

Company Information

Key People: Ms. Sonya Pauls

Investment Preferences

Size of Investment: All
Investment Stages: All
Industrial Sectors: All
Geographical Focus: All
Type of Financing: None

Short Company Profile

SJ Berwin’s ‘excellent’ team has a first class reputation for private equity, where it is considered ‘the best in the market’ especially in pushing boundaries and structuring more innovative solutions” Legal 500 SJ Berwin is at the core of international private equity. Operating from 11 offices across Europe, East Asia and the Middle East, we have one of the largest integrated global teams, with over 150 specialist lawyers advising leading players across the full private equity spectrum. The depth and breadth of our knowledge is unrivalled. We offer the full range of private equity services including Buyouts, Fund Formation, Secondaries, Spin-outs and Venture Capital, together with specialist advisers in our Acquisition Finance, Competition, Regulatory and Tax practices. We are recognised in the private equity world as being the ‘go to’ firm for the most complex and groundbreaking transactions. It is the combination of this innovation, technical expertise, depth, geographic coverage and our commitment to the private equity industry that differentiates SJ Berwin as the true market leader. We have never been satisfied just to advise - our lawyers place themselves at the centre of the industry through our lobbying and support of the sector as a whole.
Social Commerce AG

Contact Information

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                              6300 Zug
Telephone No                 +41 91 791 09 85
Fax No                       
E-mail Address for General Enquiries
Format of Personal E-mail Addresses
Web Address                  www.social-commerce.ag

Company Information

Key People                   Mr. Christian Daudert

Year of Establishment        2011
Number of Employees          4
Fund Names                   
Capital Under Management     CHF 1.5m
Number of Portfolio Companies 6
Examples of Portfolio Companies yoyo ag

Investment Preferences

Size of Investment
Investment Stages            All
Industrial Sectors           Internet Technology
Geographical Focus           Europe
Type of Financing             Equity Financing

Short Company Profile

## Solventa Management AG

### Contact Information

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|              | 6330 Cham       |
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| Fax No        |                  |
| E-mail Address for General Enquiries | info@solventa.ch |
| Format of Personal E-mail Addresses |                  |
| Web Address   | www.solventa.ch  |

### Company Information

| Key People     | Mr. Dieter Lair  
|               | Mr. Peter Loehmann |
| Year of Establishment | 2013            |
| Number of Employees | 3               |
| Capital Under Management | 11m             |
| Number of Portfolio Companies | 8              |

### Investment Preferences

| Size of Investment | 15m |
| Investment Stages  | Buyout - Buyin, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Seed Capital, Start-up Capital, Turnaround - Restructuring |
| Industrial Sectors | All             |
| Geographical Focus | Asia, Europe, Switzerland |
| Type of Financing  | All             |

### Short Company Profile

Solventa Management AG is a Member of the Solventa Capital Group. The Focus lays in Early Stage Investments, and IPO candidates. Solventa also conducts pre-ipo price findings and placememnts.
## StartAngels Network

### Contact Information

| Address           | Zürichbergstrasse 150  
|                  | 8044 Zürich           |
| Telephone No     | info@startangels.ch   |
| Fax No           | www.startangels.ch    |

### Company Information

| Key People        | Mr. Peter H. Müller  
|                  | Mr. Laurenz Hüsler   |
| Year of Establishment | 2000               |
| Number of Employees | approx 75 Members   |
| Fund Names        | members invest      |
| Capital Under Management |                   |
| Number of Portfolio Companies |               |
| Examples of Portfolio Companies | Newscron, Creoptx, Leman Microdevices, 
|                                  | YouRehab, Attolight (B round), Bcomp, AOT, 
|                                  | Nanotion, Viroblock (C round), Malcisbo, 
|                                  | Credentis, Attolight (A round), Pearltec, Green tec, 
|                                  | Procedural, Virtamed, Arktis, Koaba, CovalX, 
|                                  | Viroblock, Idencom, Selexis, Newave (IPO 2007), 
|                                  | Xeltis, Colorant, Chromatic |

### Investment Preferences

| Size of Investment      | CHF 0.5–2m per company  |
| Investment Stages       | Early-Stage             |
| Industrial Sectors      | Medtech, life sciences, industrial high tech, ICT and electronics, micro and nanotech, cleantech |
| Geographical Focus      | Switzerland             |
| Type of Financing       | Equity Financing        |

### Short Company Profile

StartAngels Network is a platform for business angel investors. Our mission is to provide our members with investment opportunities in early-stage Swiss companies. Our network consists of ca. 70 members, who are or were active in various functions and industries. Our angel investors participate directly in the respective start-ups and assist them with coaching and contacts. StartAngels Network is a non profit organisation and does not make any investments.
STS Swiss Treuhand Services AG

Contact Information

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Fax No

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Forename.surname@swisstreuhandservices.ch

Web Address
www.swisstreuhandservices.ch

Company Information

Key People
Mr. Bryan Cook
Mr. Manish Oza

Year of Establishment
2010

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Development

Industrial Sectors
All

Geographical Focus
Europe, Greater East Asia, India, Middle East

Type of Financing
Equity, Corporate Bonds

Short Company Profile

STS Swiss Treuhand Services (STS) is a “One-source and Multi-Resource” corporate boutique based in Switzerland and also having a global network of partners around the world. STS provides business consulting in Switzerland, Europe, the Middle East, India and Asia.

STS provides its client’s access to service’s such as, International Finance and M & A advisory, Company formation, Cross Border Business Development, Asset Management, Trust and Fiduciary, in addition to Listing SME’s on various European exchanges (via our global network).

STS also supports international business activities with its Global Network possessing a wide range of expertise and the ability to draw on combined resources to service clients in all matters.
SVC-AG für KMU Risikokapital

Contact Information

Address
Tessinerplatz 7
8070 Zürich

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Fax No

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info@svc-risikokapital.ch

Format of Personal E-mail Addresses
firstname.lastname@svc-risikokapital.ch

Web Address
www.svc-risikokapital.ch

Company Information

Key People
Mr. Johannes Suter
Mr. Eric Gisiger

Year of Establishment
2010

Number of Employees
5

Fund Names

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Buyout - Buyin, Early-Stage, Expansion - Development Capital

Industrial Sectors
All

Geographical Focus
Switzerland

Type of Financing
Equity Financing, Loans, Minority Equity

Short Company Profile

SVC-AG für KMU Risikokapital [SVC-AG] ist eine 100% Tochtergesellschaft der Credit Suisse und hat eine strategische Kooperation mit dem Swiss Venture Club (SVC). Es stehen bis zu CHF 100 Mio. für Investitionen in Schweizer KMUs und Jungunternehmen, welche damit neue Arbeitsplätze schaffen bzw. sichern, als Risikokapital in Form von Eigenkapital oder Darlehen mit Erfolgsbeteiligung zur Verfügung. SVC-AG verfolgt langfristige Engagements mit einem Investitionshorizont von 4-7 Jahren im Regelfall mit Finanzierungen bis zu CHF 5 Mio. pro Unternehmen und Re-Investitionen der realisierten Gewinne.
Swiss Capital Corporate Finance AG

Contact Information

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Web Address
www.swisscap.com

Company Information

Key People
Mr. Martin Menzi
Mr. Kurt Rüegg

Year of Establishment
2008

Number of Employees
10

Fund Names
Capital Under Management

Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile

M&A, Debt and Equity Advisory
TECHNOPARK® Zürich

Contact Information

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8005 Zürich

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Fax No
+41 44 445 10 01

E-mail Address for General Enquiries
stiftung@technopark.ch

Format of Personal E-mail Addresses

Web Address
www.technopark.ch

Company Information

Key People
Mr. Michael A. Meyer

Year of Establishment
1993

Number of Employees
8

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages
Early-Stage, Expansion - Development Capital,
Mezzanine - Bridge Finance, Seed Capital, Start-up
Capital, Turnaround - Restructuring

Industrial Sectors

Geographical Focus

Type of Financing

Short Company Profile

TECHNOPARK® Zürich creates the ideal environment for knowledge and technology transfer from scientific research to the business market. This generates market innovations. The visible consequences of these efforts are newly created jobs and a boost to the economic cycle. It is Switzerland’s leading address and contact point for innovative and technology-oriented start-up businesses. The figures speak for themselves: on 47,000 m2, there are 290 companies and organisations providing 2000 jobs. TECHNOPARK® Zürich was opened in 1993 and is financed through private funds only. TECHNOPARK® Real Estate AG – funded by its shareholders SwissLife and Zürcher Kantonalbank, is the proprietor, operator and lessor. The TECHNOPARK® Zürich foundation selects and supports start-up businesses in their build-up process and pursues diverse projects geared towards implementing TECHNOPARK® Zürich’s concept of technology transfer together with their world class partners ETH Zurich, University Zurich, CSEM and ZHaW.
The Corporate Finance Group

Contact Information

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forename.surname@tcfg.ch

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www.tcfg.ch

Company Information

Key People
Mr. Beat Unternährer
Mr. Peter Bohnenblust

Year of Establishment
2000

Number of Employees
20

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages
Industrial Sectors
Geographical Focus
Type of Financing

Short Company Profile

TCFG was formed 14 years ago by 5 ex Partners of Ernst & Young with many years of experience in M&A / Corporate Finance. It is a leading international M&A firm with a strong focus on entrepreneur succession.
The Riverside Company

Contact Information

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D-80331 München

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+49 892 422 48 90

Fax No
+49 892 422 48 99

E-mail Address for General Enquiries
hed@riversideeurope.com

Format of Personal E-mail Addresses

Web Address
www.riversideeurope.com

Company Information

Key People
Mr. Dominik Heer
Mr. Tony Cabral
Mr. Peter Parmentier

Year of Establishment
1988

Number of Employees
40

Fund Names
Riverside Europe Fund (REF)

Capital Under Management
CHF 760m / EUR 509m (in Europa)

Number of Portfolio Companies
17 (in Europa)

Examples of Portfolio Companies
SIGG Switzerland, Rameder, Keymile, Transporeon

Investment Preferences

Size of Investment
CHF 30-150m / EUR 20-120m (Entreprise Value)

Investment Stages
Buyout - Buyin, Expansion - Development Capital

Industrial Sectors
All

Geographical Focus
Continental Europe

Type of Financing
Majority Equity

Short Company Profile

The Smart Cube (Switzerland) GmbH

Contact Information

Address: Kornhausstrasse 3
         9000 St. Gallen
Telephone No: +41 71 394 03 20
Fax No:
E-mail Address for General Enquiries: info@thesmartcube.com
Format of Personal E-mail Addresses:
Web Address: www.thesmartcube.com

Company Information

Key People: Mr. Gautam Singh
Year of Establishment: 2003
Number of Employees: 3
Fund Names:
Capital Under Management:
Number of Portfolio Companies:
Examples of Portfolio Companies:

Investment Preferences

Size of Investment: All
Investment Stages: All
Industrial Sectors: All
Geographical Focus: All
Type of Financing: All

Short Company Profile

The Smart Cube is a global professional services firm that specializes in delivering customized, high value Business and Investment Research as well as Marketing and Data Analytics Solutions to leading corporations and professional services firms worldwide.


# UBS Global Asset Management

## Contact Information

| **Address** | Talacker 24  
8098 Zürich |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Telephone No</strong></td>
<td>+41 44 237 21 01</td>
</tr>
<tr>
<td><strong>Fax No</strong></td>
<td>+41 44 237 21 21</td>
</tr>
<tr>
<td><strong>E-mail Address for General Enquiries</strong></td>
<td><a href="mailto:forename.surname@ubs.com">forename.surname@ubs.com</a></td>
</tr>
<tr>
<td><strong>Format of Personal E-mail Addresses</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Web Address</strong></td>
<td><a href="http://www.ubs.com">www.ubs.com</a></td>
</tr>
</tbody>
</table>

## Company Information

| **Key People** | Mr. Iwan Heiz  
Mr. Roland Hantke  
Dr. Oliver Mueller-Kaenel |
<table>
<thead>
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<tbody>
<tr>
<td><strong>Year of Establishment</strong></td>
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<tr>
<td><strong>Number of Employees</strong></td>
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<td><strong>Fund Names</strong></td>
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<td><strong>Capital Under Management</strong></td>
<td>CHF 3.7bn</td>
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<td><strong>Number of Portfolio Companies</strong></td>
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<td><strong>Examples of Portfolio Companies</strong></td>
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## Investment Preferences

| **Size of Investment** | |
| **Investment Stages** | |
| **Industrial Sectors** | All |
| **Geographical Focus** | Europe, North America, Asia |
| **Type of Financing** | |

## Short Company Profile

Alternative Funds Advisory is a leading investor in private equity and infrastructure funds.
Unigestion

Contact Information

Address 8c, Av. de Champel
1211 Genève 12
Telephone No +41 22 704 42 31
Fax No +41 22 704 42 50
E-mail Address for General Enquiries ecarlsson@unigestion.com
Format of Personal E-mail Addresses initial of forenameSurname@unigestion.com
Web Address www.unigestion.com

Company Information

Key People Dr. Hanspeter Bader
Mr. Christophe de Dardel
Mr. Christian Dujardin
Year of Establishment 1971
Number of Employees 175
Capital Under Management EUR 10.9bn
Number of Portfolio Companies Examples of Portfolio Companies

Investment Preferences

Size of Investment All
Investment Stages All
Industrial Sectors All
Geographical Focus All
Type of Financing

Short Company Profile

At Unigestion, our single minded focus is to offer robust, tailor-made investment solutions to a limited number of sophisticated institutions and families. We have EUR 10.9 billion of assets under management, 93% managed on behalf of around 250 institutional investors and 7% on behalf of a few high net worth families. The stability of this client base allows for long-term vision. At Unigestion, we firmly believe downside protection and return asymmetry are the drivers of long-term performance for our clients. This philosophy is embedded into all our investment strategies: Equities, Hedge Funds, Private Assets and Cross Asset Solutions.
Established more than 40 years ago, Unigestion is a time tested organisation. We align our interests with those of our clients by investing our capital in the strategies we manage for them, thereby developing partnerships with them. We have a single focus on asset management and 72% of our equity belongs to our senior management, which protects us from potential conflicts of interests. The financial strength brought by more than EUR 150 million of shareholder equity is a guarantee of lasting solidity.
With 175 employees from 17 nationalities, Unigestion is headquartered in Geneva and has offices in major financial centers around the world: Zurich, London, Paris, New York, Toronto, Singapore and Guernsey. This international presence ensures both comprehensive local market research and good understanding of the local dynamics which govern our clients' activities and requirements.
ValleyRoad Capital SA

Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>Route de Cité-Ouest 2</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1196 Gland</td>
</tr>
<tr>
<td>Telephone No</td>
<td>+41 22 906 10 20</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 22 906 10 21</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:forename.surname@valleyroadcapital.com">forename.surname@valleyroadcapital.com</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="http://www.valleyroadcapital.com">www.valleyroadcapital.com</a></td>
</tr>
<tr>
<td>Web Address</td>
<td></td>
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</tbody>
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Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Mr. Pierre Kladny</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mr. Patrick de Heney</td>
</tr>
<tr>
<td></td>
<td>Mr. Staffan Ahlgren</td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2006</td>
</tr>
<tr>
<td>Number of Employees</td>
<td>8</td>
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<tr>
<td>Fund Names</td>
<td>CapD Private Equity Partners L.P.</td>
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<tr>
<td>Capital Under Management</td>
<td>CHF 60m</td>
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<tr>
<td>Number of Portfolio Companies</td>
<td>3</td>
</tr>
<tr>
<td>Examples of Portfolio Companies</td>
<td>Reuge SA</td>
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Investment Preferences

<table>
<thead>
<tr>
<th>Size of Investment</th>
<th>CHF 5m</th>
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<tr>
<td>Investment Stages</td>
<td>Buyout - Buyin, Expansion - Development Capital, Replacement Capital, Turnaround - Restructuring</td>
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<tr>
<td>Industrial Sectors</td>
<td>All</td>
</tr>
<tr>
<td>Geographical Focus</td>
<td>Central and Eastern Europe, Continental Europe, Far East, Switzerland</td>
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<tr>
<td>Type of Financing</td>
<td>All</td>
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Short Company Profile
**VI Partners AG**

**Contact Information**

<table>
<thead>
<tr>
<th></th>
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<th>Telephone No</th>
<th>Fax No</th>
<th>E-mail Address for General Enquiries</th>
<th>Format of Personal E-mail Addresses</th>
<th>Web Address</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>Baarerstrasse 86, 6302 Zug</td>
<td>+41 41 729 00 00</td>
<td>+41 41 729 00 01</td>
<td><a href="mailto:forename@vipartners.ch">forename@vipartners.ch</a></td>
<td><a href="mailto:forename@vipartners.ch">forename@vipartners.ch</a></td>
<td><a href="http://www.vipartners.ch">www.vipartners.ch</a></td>
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</table>

**Company Information**

<table>
<thead>
<tr>
<th></th>
<th>Mr. Alain Nicod</th>
<th>Mr. Arnd Kaltofen</th>
</tr>
</thead>
<tbody>
<tr>
<td>Key People</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Year of Establishment</td>
<td>2001</td>
<td></td>
</tr>
<tr>
<td>Number of Employees</td>
<td>6</td>
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</tr>
<tr>
<td>Fund Names</td>
<td>Venture Incubator AG</td>
<td></td>
</tr>
<tr>
<td>Capital Under Management</td>
<td>EUR 83m</td>
<td></td>
</tr>
<tr>
<td>Number of Portfolio Companies</td>
<td>19</td>
<td></td>
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<tr>
<td>Examples of Portfolio Companies</td>
<td>Activaero, CeQur, Nexthink, Sequana, SonicEmotion</td>
<td></td>
</tr>
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</table>

**Investment Preferences**

- **Size of Investment**
- **Investment Stages**
- **Industrial Sectors** Biotechnology, Computer Related, Industrial Automation, Internet Technology, Medical - Life Sciences - Health Related
- **Geographical Focus** Switzerland
- **Type of Financing** Equity Financing

**Short Company Profile**

Venture Incubator is a Swiss venture capital firm that supports university spin-offs as well as other promising start-up companies with capital, coaching, consulting and networks. We invest “Smart Money” to develop promising technology-based ideas for products and services into successful businesses. Venture Incubator started operations in 2001 and manages an investment fund of CHF 101 million. Our investors represent 10 blue-chip enterprises from industry and finance. In addition we have strategic partnerships with McKinsey & Company and the Swiss Federal Institute of Technology in Zürich (ETHZ).
# Vinci Capital SA

## Contact Information

<table>
<thead>
<tr>
<th>Address</th>
<th>EPFL Innovation Park, Bâtiment C 1015 Lausanne</th>
</tr>
</thead>
<tbody>
<tr>
<td>Telephone No</td>
<td>+41 21 693 92 34</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 21 693 92 30</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:sa@vincicapital.ch">sa@vincicapital.ch</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td>initial of <a href="mailto:ForenameSurname@vincicapital.ch">ForenameSurname@vincicapital.ch</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.vincicapital.ch">www.vincicapital.ch</a></td>
</tr>
</tbody>
</table>

## Company Information

<table>
<thead>
<tr>
<th>Key People</th>
<th>Dr. Christian Waldvogel, Mr. Xavier Paternot, Mr. Claude Suard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year of Establishment</td>
<td>2004</td>
</tr>
<tr>
<td>Number of Employees</td>
<td>7</td>
</tr>
<tr>
<td>Fund Names</td>
<td>Renaissance Technologies 2, 3, 4</td>
</tr>
<tr>
<td>Capital Under Management</td>
<td>over CHF 200m</td>
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<tr>
<td>Number of Portfolio Companies</td>
<td>9 as of December 2013</td>
</tr>
<tr>
<td>Examples of Portfolio Companies</td>
<td>Asic Robotics, CES, RSD, Datamars, Symetis</td>
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## Investment Preferences

<table>
<thead>
<tr>
<th>Size of Investment</th>
<th>EV up to CHF 100m</th>
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<tr>
<td>Investment Stages</td>
<td>Buyout - Buyin, Expansion - Development Capital</td>
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## Geographical Focus

| Switzerland |

## Type of Financing

| Equity Financing |

## Short Company Profile

Vinci Capital is a leading Swiss private equity firm with over MCHF 200 under management. Since 1998 we have provided equity to over 30 Swiss technology and industrial companies to finance innovation, growth and succession-buyouts.
Vorndran Mannheims Capital AG

Contact Information

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9000 St. Gallen

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Web Address: www.vmcap.com

Company Information

Key People: Dr. Helmut Vorndran
Mr. Willi Mannheims
Mr. Andreas Marty

Year of Establishment: 2000
Number of Employees: 20
Fund Names: Ventizz Capital Fund I-IV
Capital Under Management: EUR 675m
Number of Portfolio Companies: 10

Investment Preferences

Size of Investment: EUR 10-100m
Investment Stages: Buyout - Buyin, Delisting, Expansion - Development Capital
Industrial Sectors: Computer Related, Electronics, Energy, Environment, Industrial Automation, Industrial Products and Services, Manufacturing, Medical - Life Sciences - Health Related, Telecommunications, Communications other

Geographical Focus: Germany, Switzerland, Austria
Type of Financing: Equity Financing

Short Company Profile

Vorndran Mannheims Capital (formerly known as Ventizz Capital Partners) was formed in 2000 and has offices in St. Gallen, Zurich, Dusseldorf, and Vienna. The company exclusively advises private equity funds which invest in buy-out transactions and high-growth opportunities in small and medium-sized high-tech companies in the german-speaking region. VMCap has 4 partners: Dr. Helmut Vorndran, Willi Mannheims, Dr. Björn Söndgerath and Andreas Marty. Together with a team of 16 professionals they currently advise four funds with capital totalling EUR 675 million. To date, VMCap has invested in 46 companies focusing on renewable energy, medical technology, information and communication technology and on other branches of industry offering high value-added. In addition to a large number of trade sales, VMCap has successfully placed four of its participating interests (Ersol, SAF, PV Crystalox Solar, exceet Group) on stock.
WAI Alternative Investments AG

Contact Information

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Format of Personal E-mail Addresses  
forename.surname@wai-investments.com

Web Address  
www.wai-investments.com

Company Information

Key People  
Mr. Siegmar Thakur-Weigold

Year of Establishment  
2005

Number of Employees

Fund Names

Capital Under Management

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment

Investment Stages

Industrial Sectors

Geographical Focus  
Austria, Germany, Switzerland

Type of Financing

Short Company Profile
Waterland Private Equity GmbH

Contact Information

Address
Neuer Zollhof 1
D-40221 Düsseldorf
Theatinerstrasse 14
D-80333 München

Telephone No
+49 211 6878 400
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Fax No
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+49 892 4443 0420

E-mail Address for General Enquiries
info@waterland.de

Format of Personal E-mail Addresses

Web Address
www.waterland.de

Company Information

Key People
Mr. Joerg Dreisow
Dr. Carsten Rahlfs

Year of Establishment
1999

Number of Employees

Fund Names
Waterland Private Equity Fund II - V

Capital Under Management
EUR 2.5bn

Number of Portfolio Companies
26

Examples of Portfolio Companies
SENIOcare AG, FleetPro Passenger Ship Management AG, RHM Kliniken, A-Rosa Flussschiff

Investment Preferences

Size of Investment
EUR 5-275m

Investment Stages
Buyout - Buyin

Industrial Sectors
Environment, Medical - Life Sciences - Health Related, Other

Geographical Focus
Austria, Belgium, Benelux, Central and Eastern Europe, Germany, Netherlands, Poland, Switzerland

Type of Financing
Majority Equity

Short Company Profile

Waterland is an independent private equity investment group that supports entrepreneurs in realizing their growth ambitions. With substantial financial resources and committed industry expertise, Waterland enables its portfolio companies to achieve accelerated growth both organically and through acquisitions. Waterland is an active investor in the financial, strategic and operational sense. In the current dynamic business environment Waterland is a partner that provides entrepreneurs with a powerful position in the increasingly competitive international arena. In the meantime Waterland has made investments in over 250 companies. Waterland currently has € 2.5 billion of equity. In addition, Waterland has access to extensive leveraged finance funding.
**Wenger & Vieli AG**

**Contact Information**

| Address          | Dufourstrasse 56  
|                 | 8034 Zürich        |
| Telephone No     | +41 58 958 58 58   |
| Fax No           | +41 58 958 59 59   |
| E-mail Address for General Enquiries | mail@wengervieli.ch|
| Format of Personal E-mail Addresses    | initial of forename.surname@wengervieli.ch |
| Web Address      | www.wengervieli.ch |

**Company Information**

| Key People     | Dr. Christian Wenger |
|               | Dr. Wolfgang Zürcher |
|               | Dr. Beat D. Speck    |

| Year of Establishment |                      |
| Number of Employees  | 90                    |

| Fund Names        |                      |
| Capital Under Management |                   |
| Number of Portfolio Companies |             |
| Examples of Portfolio Companies |         |

**Investment Preferences**

| Size of Investment |
| Investment Stages |
| Industrial Sectors |
| Geographical Focus |
| Type of Financing |

**Short Company Profile**

We are a leading Swiss law firm with about 40 lawyers located in Zurich and Zug. One of our particular strengths is in the area of Venture Capital and Private Equity. We advise investors through the whole life cycle of venture capital: on tax efficient offshore and domestic fund structures, acquisition of portfolio companies, exit routes and more. As a one-stop-shop we take care of tax structuring, intellectual property, regulatory, employment, general contract and corporate law. On top we offer the full range of all notarial services needed in the venture capital business.
WP Wohlthat & Partner AG

Contact Information

Address  Hauptstrasse 67  8274 Tägerwil
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Fax No
E-mail Address for General Enquiries anna@wohlthat.ag
Format of Personal E-mail Addresses
Web Address www.wohlthat.ag

Company Information

Key People Ms. Anna Wohlthat

Year of Establishment
Number of Employees 3

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment
Investment Stages Buyout - Buyin, Early-Stage, Mezzanine - Bridge Finance, Seed Capital, Start-up Capital
Industrial Sectors Biotechnology, Environment, Finance - Insurance - Real Estate, Manufacturing, Medical - Life Sciences - Health Related
Geographical Focus Central and Eastern Europe, Germany, Russia, Switzerland, Ukraine, Western Europe
Type of Financing Equity Financing

Short Company Profile

www.wohlthat.ag
ZETRA International AG

Contact Information
Address: Gottfried Keller-Strasse 5
8001 Zürich
Telephone No: +41 44 755 59 99
Fax No: +41 44 755 59 00
E-mail Address for General Enquiries: forename.surname@zetra-international.com
Web Address: www.zetra-international.com

Company Information
Key People: Mr. Frédéric de Boer, Dr. Ralf Hermann, Mr. Karel Strub, Mr. Lukas G. Raschle
Year of Establishment: 2001
Number of Employees: 16
Fund Names: Capital Under Management
Number of Portfolio Companies: Examples of Portfolio Companies

Investment Preferences
Size of Investment
Investment Stages: Buyout - Buyin, Early-Stage, Expansion - Development Capital, Mezzanine - Bridge Finance, Privatisation, Replacement Capital, Seed Capital, Start-up Capital, Turnaround - Restructuring
Industrial Sectors: All
Geographical Focus
Type of Financing

Short Company Profile
ZETRA International is an established and independent advisory company for Mergers & Acquisitions (M&A), Restructuring, and Real Estate, based in Zurich and Basel.
ZETRA International is the Swiss Partner and shareholder of Global M&A Partners, one of the world’s leading partnerships of independent M&A firms.
Since 2008, ZETRA International has always been listed among the Top 4 and since 2013 among the Top 2 M&A-advisors in Switzerland.
ZETRA International professionals align a wide background of complementary skills (M&A, corporate finance, corporate restructuring, debt advisory, real estate, succession planning, strategy and business advisory, board membership etc.).
The team of ZETRA International has accumulated a strong track record (M&A, Restructuring and Real Estate) of value creation for clients.
Zühlke Ventures AG

Contact Information

Address
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8952 Schlieren

Telephone No
+41 44 733 66 11

Fax No

E-mail Address for General Enquiries
ventures@zuehlke.com

Format of Personal E-mail Addresses
initial of ForenameSurname@zuehlke.com

Web Address
www.zuehlke.com/ventures

Company Information

Key People
Dr. Martin Rutishauser
Dr. Walter Hürsch
Dr. Patrick Griss

Year of Establishment
2010

Number of Employees
3

Fund Names

Number of Portfolio Companies

Examples of Portfolio Companies

Investment Preferences

Size of Investment
CHF 0.2-1m

Investment Stages
Early-Stage

Industrial Sectors
Computer Related, Consumer Related, Electronics, Industrial Products and Services, Internet Technology, Medical - Life Sciences - Health Related, Telecommunications, Communications other

Geographical Focus
Austria, Germany, Switzerland, United Kingdom

Type of Financing
Equity Financing

Short Company Profile

Zühlke Ventures is a specialist in the financing and acceleration of technology start-up companies. With strong commitment to the business success of the venture we work as equals with the entrepreneurial team and offer complementary skills and expertise in the initial start-up phase. As a wholly owned subsidiary of the Zühlke Group, we have access to a network of partners with comprehensive technology and management skills.
Zürcher Kantonalbank

Contact Information

Address  Corporate Finance / Structured Finance  8010 Zürich
Telephone No  +41 44 292 36 49
Fax No  +41 44 292 26 71
E-mail Address for General Enquiries  corporate.finance@zkb.ch
Format of Personal E-mail Addresses  forename.surname@zkb.ch
Web Address  www.zkb.ch

Company Information

Key People  Mr. Marcel Rütsche
            Mr. Adrian Schmidlin
Year of Establishment  1870
Number of Employees  4800

Fund Names
Capital Under Management
Number of Portfolio Companies
Examples of Portfolio Companies

Investment Preferences

Size of Investment  All (Seed and Start-up)
Investment Stages  All
Industrial Sectors  All
Geographical Focus  Switzerland
Type of Financing  Minority Equity, Mezzanine

Short Company Profile

ZKB offers all services of a large universal bank. The range of financial services includes conventional corporate banking, start-up financing, structured and syndicated loans, as well as succession consulting.
Zurmont Madison Management AG

Contact Information

| Address | Eisengasse 15  
8034 Zürich |
<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Telephone No</td>
<td>+41 44 267 50 00</td>
</tr>
<tr>
<td>Fax No</td>
<td>+41 44 267 50 01</td>
</tr>
<tr>
<td>E-mail Address for General Enquiries</td>
<td><a href="mailto:sekretariat@zurmontmadison.ch">sekretariat@zurmontmadison.ch</a></td>
</tr>
<tr>
<td>Format of Personal E-mail Addresses</td>
<td><a href="mailto:surname@zurmontmadison.ch">surname@zurmontmadison.ch</a></td>
</tr>
<tr>
<td>Web Address</td>
<td><a href="http://www.zurmontmadison.ch">www.zurmontmadison.ch</a></td>
</tr>
</tbody>
</table>

Company Information

| Key People | Dr. Björn Böckenförde  
Mr. Werner Rudolf Schnorf  
Mr. Andreas Ziegler |
| Year of Establishment | 2006 |
| Number of Employees | 8 |
| Fund Names | Zurmont Madison Private Equity L.P. |
| Capital Under Management | CHF 250m |
| Number of Portfolio Companies | 6 |
| Examples of Portfolio Companies | Röder AG, SMB SA, CLS Communication AG,  
Bauwerk Parkett AG, CCS Customer Care & Solutions Holding AG, AKAtech Produktions- und Handels GmbH |

Investment Preferences

| Size of Investment | CHF 10-50m (equity) |
| Investment Stages | Buyout - Buyin |
| Industrial Sectors | Industrial Products and Services |
| Geographical Focus | Austria, Germany, Switzerland |
| Type of Financing | |

Short Company Profile

Zurmont Madison Management AG was founded in 2006 based on the initiative of Zurmont Management AG and Madison Management AG, both private equity companies based in Zurich and active since 1986 and 2001 respectively. Zurmont Madison is managing Zurmont Madison Private Equity L.P. which is focused exclusively on controlling investments in the context of succession solutions and management buyouts or buyins of established mid-size companies in Switzerland, Germany and Austria. The current fund held its final close with commitments of CHF 250m in December 2008.
Nachfolgefragen sind Vertrauenssache.


Auswahl unserer Referenzen:

Zurmont Madison Management AG
Eisengasse 15, CH-8008 Zürich
Telefon: +41 (0)44 267 5000
www.zurmontmadison.ch
Associate Members
AAA - Corporate Finance Advisers AG

Contact Information
Address: Europastrasse 18, 8152 Glattbrugg
Telephone No: +41 44 828 18 36
Web Address / Format of E-mail: www.aaa-cfa.com / forename.surname@aaa.cfa.com
Key People: Mr. Silvio Campestrini / Mr. Arturo Giovanoli
Year of establishment / Employees: 2003 / 5

Short Company Profile
Mergers & Acquisitions, Due Diligence, Corporate Finance, Arranging Finances also for properties

ABELS Avocats

Contact Information
Address: 1, r. Michel-Roset, 1201 Geneva
Telephone No: +41 22 715 07 00
Web Address / Format of E-mail: www.abels.pro / surname@abels.pro
Key People: Dr. Sébastien Bettschart / Dr. Stefan Eberhard
Year of establishment / Employees: 2009 / 8

Short Company Profile
ABELS is a Swiss boutique law firm focusing on business law whose lawyers have accumulated years of experience with top rated business law firms. ABELS' lawyers remain true to their core values: focus on their clients' needs and objectives, commitment to excellence and innovation, and adherence to the highest standards of professionalism. ABELS' corporate and M&A team advises clients on all types of acquisitions, mergers, private equity investments, restructuring and financing.

ABT Revisionsgesellschaft AG

Contact Information
Address: Alte Steinhauserstrasse 1, 6330 Cham
Telephone No: +41 41 748 62 30
Web Address / Format of E-mail: www.abtcham.ch / -
Key People: Mr. Arthur Exer
Year of establishment / Employees: 1983 / 7

Short Company Profile
Adinvest AG

Contact Information
Address: Rigistrasse 25, 8126 Zumikon
Telephone No: +41 44 202 21 55
Web Address / Format of E-mail: www.adinvest.ch / initial of forename.surname@adinvest.ch
Key People: Dr. Neil V. Sunderland
Year of establishment / Employees: 1986 / -

Short Company Profile
Corporate Finance and Investment Company active in: Europe through private syndications, Adinvest II (Luxembourg) SCS, SICAR; West Coast USA through Montreux Equity Partners (life sciences only) and in Australia through private syndications.

Advanta Capital Ltd

Contact Information
Address: Europaallee 41, 8021 Zürich
Telephone No:
Web Address / Format of E-mail: www.advanta-capital.com / -
Key People: Dr. Christoph Künzle / Mr. Marcel Kaufmann
Year of establishment / Employees: 2011 / approx. 10

Short Company Profile
Advanta Capital is a specialized private equity and corporate finance firm exclusively focused on Natural Resources. Advanta Capital offers direct investments, asset management & advisory services targeting superior risk-adjusted returns, as well as tailored corporate finance solutions. Advanta Capital’s investment solutions benefit from a strong secular growth trend in Natural Resources while preserving investors’ capital. Advanta Capital maintains an extensive global network of investors, industry leaders, and companies seeking capital. Advanta Capital, headquartered in Zurich (Switzerland), serves a select group of sophisticated investors such as insurance companies, banks, corporations, endowments, pension funds, as well as family offices and high net worth individuals.

ALTENBURGER LTD legal + tax

Contact Information
Address: Seestrass 39, 8700 Küsnacht
Telephone No: +41 58 810 22 22
Web Address / Format of E-mail: www.altenburger.ch / surname@altenburger.ch
Key People: Mr. Riccardo Geiser / Mr. Massimo Calderan
Year of establishment / Employees: 1978 / 40

Short Company Profile
ALTENBURGER is a Swiss law firm with offices located in Zurich, Geneva and Lugano. For over 30 years, our firm has continuously built up authority and recognition as an international business law practice. We have the required expertise and experience to provide professional advice in all areas of international business law. As a full service firm, we offer a comprehensive range of services that constitute our practice areas. On the other hand, we have over many years of practice acquired specialist know-how in selected areas of law that now represent our key strengths such as Corporate / M&A, Banking & Financial Services, Tax, Insurance and Dispute Resolution.
Alternative Capital Management AG

**Contact Information**
Address: Dreikönigstrasse 45, 8002 Zürich  
Telephone No: +41 44 787 33 33  
Web Address / Format of E-mail: www.acmanagement.ch / forename.surname@acmanagement.ch  
Key People: Mr. David Guggenheim / Mr. Markus Huber  
Year of establishment / Employees: 2005 / 12

**Short Company Profile**

Aon M&A Solutions

**Contact Information**
Address: Vulkanstrasse 106, 8048 Zürich Altstetten  
Telephone No: +41 58 266 82 35  
Web Address / Format of E-mail: www.aon.com / forename.surname@aon.ch  
Key People: Mr. Roger Wirth / Mr. Hansjörg Pezzei  
Year of establishment / Employees: 1997 / 380

**Short Company Profile**

Aon M&A Solutions is a global practice group providing innovative risk management, human capital and insurance solutions to clients undertaking acquisitions, mergers, divestures or organic growth strategies into new markets or territories. Our areas of expertise include risk management and investigative intelligence; due diligence services for private equity and corporate M&A; lender due diligence and advisory; tailored products for specific risks arising from a transaction; transaction strategy, planning and execution.

ARALON AG

**Contact Information**
Address: Churer Strasse 135, 8808 Pfäffikon, SZ  
Telephone No: +41 55 416 26 30  
Web Address / Format of E-mail: www.aralon.ch / surname@aralon.ch  
Key People: Dr. Werner Hane  
Year of establishment / Employees: 2005 / 5

**Short Company Profile**

ARALON is a management consulting firm with following main fields of expertise: commercial due diligence, post merger integration, growth strategies, business modelling, turnaround and change management.
Badertscher Rechtsanwälte AG

Contact Information
Address Grafenauweg 6, 6300 Zug
Telephone No +41 41 726 60 60
Web Address / Format of E-mail www.b-legal.ch / surname@b-legal.ch
Key People Dr. Thomas M. Rinderknecht
Year of establishment / Employees 1995 / 49

Short Company Profile
As a medium-sized law firm, Badertscher Rechtsanwälte AG concentrates on selected business sectors and core competences in international and commercial law, such as tax-optimised international holding, trading and investment structures, M&A, IPOs, international business transactions, private equity and venture capital, advertising and marketing concepts, business successions and the management of international disputes.

Baker & McKenzie

Contact Information
Address Holbeinstrasse 30, 8034 Zürich
Telephone No +41 44 384 14 14
Web Address / Format of E-mail www.bakermckenzie.com / forename.surname@bakermckenzie.com
Key People Mr. Martin Frey / Dr. Urs Schenker
Year of establishment / Employees 1949 / 180

Short Company Profile
With offices in Zurich and Geneva, Baker & McKenzie is one of the largest law firms in Switzerland. Our team of more than 90 lawyers provides a full range of legal services in the Private Equity and M&A area.
WE MAKE COMPLEX ISSUES SIMPLE

You need PE advisors who understand more than legal requirements and investment options. They need to appreciate the diverse interests of the parties, the dynamics of the investment life cycle and other intangibles of value creation. They also need top quality project management skills and the ability to integrate cross-border teams.

At Baker & McKenzie Zurich, we make complex issues simple and deliver service that is pragmatic and matches business need. It’s a value our clients notice and appreciate.

In particular, we can provide you with legal and tax advice with regard to the following PE transactions:

- Acquisitions and Divestitures
- Management Buy-outs
- Acquisition Finance
- Financial Restructurings and Reorganisations
- Venture Investments
- IPO’s and Takeover Bids
- Funds Structuring
- Private Equity Real Estate Transactions

To learn more, please visit us at www.bakermckenzie.com.

Primary contact for private equity and related transactions:

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Martin Frey and Dr. Urs Schenker
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Geneva
Martin Anderson and Denis Berdoz
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Tel: +41 22 707 98 00
martin.anderson@bakermckenzie.com

Banca dello Stato del Cantone Ticino

Contact Information
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Telephone No
+41 91 803 71 11
Web Address / Format of E-mail
www.bancastato.ch / forename.surname@bancastato.ch
Key People
Dr. Curzio De Gottardi
Year of establishment / Employees
- / -

Short Company Profile
Universal bank
Baumgartner Mächler Rechtsanwälte

Contact Information
Address: Löwenstrasse 2, 8001 Zürich
Telephone No: +41 44 215 44 77
Web Address / Format of E-mail: www.bmlaw.ch / initials@bmlaw.ch
Key People: Dr. Dirk Hartmann / Mr. Philipp Mächler
Year of establishment / Employees: 1999 / 20

Short Company Profile
Baumgartner Mächler is specialised in legal advice to companies in complex business transactions. The firm provides legal advice to Swiss and international industrial and commercial companies, private equity companies, banks, insurances and other financial service providers as well as private individuals. Our focus is on creating solutions tailored to the requirements of our clients.

Bax Capital Advisors AG

Contact Information
Address: Lindenstrasse 41, 8008 Zürich
Telephone No: +41 43 268 49 52
Web Address / Format of E-mail: www.baxcapital.com / surname@baxcapital.com
Key People: Mr. Alexander Pfeifer / Mr. Felix Rübel
Year of establishment / Employees: 2003 / -

Short Company Profile
Bax Capital is a Swiss private equity firm investing in established midsized companies (Sales 20 - 200m) in Switzerland and Germany, in the context of succession solutions, management buyouts or buyins, spin-outs and special situations.

BE Bio Energy Group AG

Contact Information
Address: Zollikerstrasse 226, 8008 Zürich
Telephone No: +41 43 430 37 00
Web Address / Format of E-mail: - / forename.surname@bebioenergygroup.com
Key People: Mr. Martinus Brandal / Mr. Ola Ström
Year of establishment / Employees: 2010 / 180

Short Company Profile
BE Bio Energy Group AG is a privately owned partnership located in Switzerland. We focus on buying, operating and developing high quality, sustainable bioenergy assets in Europe. Our current portfolio is comprised of Nordic companies with long-term contracts to produce wood-based energy for municipalities, private industry and governmental agencies. Our unique skill set combines industrial knowledge, financial expertise, value-chain management, and sourcing capabilities with a hands-on management approach. This drives operational excellence along the entire value chain and creates long-term value for all stakeholders.
Beck Group Ventures

Contact Information
Address 2nd Floor, Berkeley Square House, GB – W1J 6BD London
Telephone No +44 (0) 20 7887 1591
Web Address / Format of E-mail www.the-beck-group.com / initial of forename.surname@the-beck-group.com
Key People Ms. Madeleine Beck-Wagner / Mr. Lawrence Wragg
Year of establishment / Employees - / -

Short Company Profile
Independent placement advisors based in London with a strong network in the UK and Continental Europe. Raising private equity and venture capital for funds and fund of funds of between EUR 100 - 500 million, EUR 5-50 million for direct investments. Have multilingual team with strong industry and finance background and expertise.

Binder Corporate Finance AG

Contact Information
Address Spitalgasse 32, 3001 Bern / Tödistrasse 46, 8002 Zürich
Telephone No +41 31 326 18 18 / +41 44 268 45 35
Web Address / Format of E-mail www.binder.ch / forename.surname@binder.ch
Key People Dr. Peter M. Binder / Mr. Konrad Althaus / Dr. Roberto Tracia
Year of establishment / Employees 1995 / 10

Short Company Profile
Mergers & Acquisitions, Corporate Finance, Management Coaching

Blum&Grob Rechtsanwälte AG

Contact Information
Address Neumühlequai 6, 8021 Zürich
Telephone No +41 58 320 00 00
Web Address / Format of E-mail www.blumgrob.ch / initial of forename.surname@blumgrob.ch
Key People Mr. Ralf Rosenow / Mr. Rolf Schilling
Year of establishment / Employees 2008 / 32

Short Company Profile
Legal and tax advise in M&A-, MBO-, START-UP- restructuring and similar transactions, IPOs and subsequent rights issues.


Borel & Barbey

Contact Information
Address: rue de Jargonnant 2, 1211 Genève 6
Telephone No: +41 22 707 18 00
Web Address / Format of E-mail: www.borel-barbey.ch / forename.surname@borel.barbey.ch
Key People: Mr. Nicolas Killen
Year of establishment / Employees: 1907 / 80

Short Company Profile
The Firm was established in 1907 in Geneva. It provides advice notably in banking and finance law, corporate law, mergers & acquisitions as well as tax laws in domestic and cross-border transactions. It advises private equity funds and promoters.

Bruppacher Hug & Partner, Attorneys at Law

Contact Information
Address: Zollikerstrasse 58, PO Box 173, 8702 Zollikon
Telephone No: +41 44 396 31 31
Web Address / Format of E-mail: www.bhp.ch / surname@bhp.ch
Key People: Dr. C. Mark Bruppacher / Mr. Emanuel Shiwow
Year of establishment / Employees: 1993 / -

Short Company Profile
Company and Financial law

Bünter Management AG

Contact Information
Address: Gewerbepark / Hintermättlistrasse 1-5, 5506 Mägenwil
Telephone No: +41 58 206 08 50
Web Address / Format of E-mail: www.buenter.ch / initials@buenter.ch
Key People: Mr. Andreas Bünter
Year of establishment / Employees: 2004 / 10

Short Company Profile
Bünter Management AG helps companies improving their profit by lowering non-strategic costs, improving processes, sales management and guides through restructurings. As a member of Expense Reduction Analyst, it has direct access to more than 700 experts in 29 countries.
Capvent AG

Contact Information
Address: Dufourstrasse 24, 8008 Zürich
Telephone No: +41 43 500 50 70
Web Address / Format of E-mail: www.capvent.com / initials@capvent.com
Key People: Mr. Tom Clausen / Mr. Varun Sood
Year of establishment / Employees: 2000 / 25

Short Company Profile
Capvent is an exclusive Private Equity fund-of-funds and Co-investor in companies in emerging Asia. We have offices in Zurich, India and China.

Carey AG

Contact Information
Address: Alderstrasse 49, 8034 Zürich
Telephone No: +41 43 499 11 44
Web Address / Format of E-mail: www.careygroup.ch / forename.surname@careygroup.ch
Key People: Mr. Rudolf Kaufmann
Year of establishment / Employees: 2003 / 7

Short Company Profile
As a part of Carey Group, Carey AG is an independent financial services firm focusing primarily on funds solutions and administration services. For any structuring requirements of formation of entities please make Carey AG your first stop.

CFP Business Consulting AG

Contact Information
Address: Zollstrasse 16, FL – 9494 Schaan
Telephone No: +423 373 87 22
Web Address / Format of E-mail: www.cfp-ag.com / forename.surname@cfp-ag.com
Key People: Mr. Wolfgang Schmid
Year of establishment / Employees: - / -

Short Company Profile
CFP Corporate Finance, Controlling & Accounting Services is focused on continuous controlling and valuation services (shared service center for accounting and controlling), specifically for investment companies and private investors. CFP is assisting companies in organising their reporting based on international standard, assisting them in their planning process, to achieve their controlling objectives, in accordance with the investors requirements. CFP is offering clients “ready to use” solutions to speed up their management reporting and valuation of a reporting unit (value management & cockpit). Permanently updated figures and additionally (manually) entered value drivers allow the simulation of various scenarios on the spot, e.g. during meetings. STC Assurance & Advisory Services, our partner company, offers additional transaction and auditing services regarding process- and riskmanagement.
CMS von Erlach Henrici AG

Contact Information
Address: Dreikönigstrasse 7, 8022 Zürich
Telephone No: +41 44 285 11 11
Web Address / Format of E-mail: www.cms-vep.com / forename.surname@cms-vep.com
Key People: Mr. Stefan Brunnschweiler
Year of establishment / Employees: 1936 / 160

Short Company Profile
CMS Switzerland is a member of CMS, which provides clients with specialist, business-focused advice in law and tax matters. Our 2800 legal professionals across the world, working in sector-based teams and trained in project management, are focused on our clients and fulfilling their objectives. CMS lawyers operate in 56 offices worldwide, offering real-world advice to clients operating in a challenging business climate and a fast-changing regulatory environment. All attorneys complete postgraduate studies and gain practical experience abroad, mostly in the United States or England. The lawyers offer advice in German, English, French, Italian and Spanish.

Confortis AG

Contact Information
Address: Schaffhauserstrasse 432, 8050 Zürich
Telephone No: +41 44 300 15 88
Web Address / Format of E-mail: www.confortis.ch / forename.surname@confortis.ch
Key People: Mr. Georges Ruchti / Mr. Alfred Rüedi
Year of establishment / Employees: 2005 / 11

Short Company Profile
Confortis is a young, successful and independent consulting company with 11 full-time employees and a selection of about 10 freelancers located in Zurich. Our practical experience in the financial industry allows a very efficient and focused project execution with a minimum of client strain. Quality assurance is of utmost importance to Confortis. Confirmed client satisfaction has been at outstanding levels throughout.

Constellation Capital AG

Contact Information
Address: Huobstrasse 3, 8808 Pfäffikon
Telephone No: +41 44 482 66 66
Web Address / Format of E-mail: www.constellation.ch / initial of forename.surname@constellation.ch
Key People: Mr. Marinus Schmitt
Year of establishment / Employees: 1992 / 6

Short Company Profile
Established in 1992, Constellation is a Swiss investor group advising private investors, family offices and institutional investors. Constellation is focused on majority investments in profitable service companies in German speaking Europe (“Asset Light”). Through a renowned group of experienced Industry Partners Constellation pursues an active value-adding investment approach at eye level with the entrepreneurs and managers. Thereby organic growth is supplemented by a Buy-&-Build strategy.
**CPV Partners**

**Contact Information**
- **Address**: 12, Avenue Industrielle, 1227 Carouge/Genève
- **Telephone No**: +41 22 918 11 00
- **Web Address / Format of E-mail**: www.cpvpartners.com / -
- **Key People**: Mr. Damien Conus / Mr. Karim Piguet
- **Year of establishment / Employees**: 2011 / 10

**Short Company Profile**
CPV Partners is a multi-awarded Swiss boutique law firm, active in the Lake of Geneva area and in the Western Switzerland, specializing in business and commercial law, as well as in sports law. CPV Partners has a wide breadth of experience and knowledge of entrepreneurs, equity investors, lenders, companies raising equity, shareholders and management teams, and provides them with cost-effective and high-impact advice on all types of corporate finance issues (acquisitions, mergers, private equity investments, restructuring and financing).

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**CTI Invest**

**Contact Information**
- **Address**: Seehofstrasse 6, 8008 Zürich
- **Telephone No**: +41 79 251 32 09
- **Web Address / Format of E-mail**: www.cti-invest.ch / -
- **Key People**: Mr. Jean-Pierre Vuilleumier / Dr. Christian Wenger
- **Year of establishment / Employees**: 2003 / 4

**Short Company Profile**
Founded in 2003, CTI Invest is today the leading financing platform for High Tech Start-up companies in Switzerland. CTI Invest is closely linked to the Commission for Technology and Innovation CTI and has a mandate to organize events for the CTI Start-up companies. CTI Invest is organizing the following events:
- Match Making events (Swiss Venture Days in Zurich and Lausanne and also abroad)
- Networking events (CEO Day with 600 participants, Innovation Roundtables)
CTI Invest has about 80 members and is offering the following membership categories: Institutional Investors, Industrial Partners, Business Angel Clubs, Family Offices and Business angels.
CTI Invest is a non-profit organization that is financing all its activities with annual membership fees and with sponsoring. CTI Invest is also involved in the annual publication of the Swiss Venture Guide (now available online: www.swissventureguide.ch) and the newsportal www.startupticker.ch.

---

**CTI Start-up**

**Contact Information**
- **Address**: Effingerstrasse 27, 3003 Bern
- **Telephone No**: +41 31 324 04 35
- **Web Address / Format of E-mail**: www.ctistartup.ch / forename.surname@ctistartup.ch
- **Key People**: Ms. Karin Zingg / Mr. Lutz Nolte
- **Year of establishment / Employees**: 1996 / 58

**Short Company Profile**
CTI Start-up promotes immediate benefit of the scientific and technologies worked out by researchers and entrepreneurs. Accompanies start-up companies in the domain of innovative high-technologies. Provides networking and multiple corporation.
DealMarket

Contact Information
Address: Schipfe 2, 8001 Zürich
Telephone No: +41 43 888 75 30
Web Address / Format of E-mail: www.dealmarket.com / forename@dealmarket.com
Key People: Mr. Urs Haeusler / Mr. Andri Gunnarsson
Year of establishment / Employees: 2011 / 10

Short Company Profile
DealMarket is an online platform enabling private equity buyers, sellers and advisors to maximize opportunities around the world – a one-stop shop for PE professionals. Our offering includes DealMarketPLACE, an unfiltered view of the global deal and advice marketplace, where searching is free and postings are the price of a cappuccino a day (with no commission). DealMarketSTORE offers affordable access to industry leading third party information and services on demand; and DealMarketOFFICE is our state of the art deal flow management tool, helping you organise and share your deals more efficiently. Competition for deals is intensifying, raising money is becoming tougher and the industry is becoming more global. Market participants are demanding greater transparency and connectivity - and DealMarket is the solution. Designed by PE professionals for PE professionals, the platform is easy to use, cost effective and secure, providing access, choice and control across the investment cycle.

Dr. Bjørn Johansson Associates Inc.

Contact Information
Address: Utoquai 29, 8008 Zürich
Telephone No: +41 44 262 02 20
Web Address / Format of E-mail: www.johansson.ch / forename.surname@johansson.ch
Key People: Dr. Bjørn Johansson / Dr. Sylke Steiner
Year of establishment / Employees: 1993 / 10

Short Company Profile
Dr. Bjorn Johansson Associates is a global executive search firm that concentrates exclusively on recruiting CEOs, Presidents, Managing Directors and Board Members for select top-tier corporations and institutions.

Drooms (DRSdigital AG)

Contact Information
Address: Industriestrasse 13c, 6300 Zug
Telephone No: +41 41 767 44 10 oder +41 41 767 44 14
Web Address / Format of E-mail: www.drooms.com / initial of forename.surname@drooms.com
Key People: Mr. Jan Hoffmeister / Mr. Roberto Mastrandrea
Year of establishment / Employees: 2001 / 50

Short Company Profile
**Dynamics Group AG**

**Contact Information**
- Address: Utoquai 43, 8008 Zürich
- Telephone No: +41 43 268 32 32
- Web Address / Format of E-mail: www.dynamicsgroup.ch
- Key People: Mr. Edwin van der Geest / Mr. Philippe Blangey
- Year of establishment / Employees: 2006 / 25

**Short Company Profile**
Dynamics Group AG combines strategic (communication) advisory, communication management and research & analysis. We are a partner for private equity and public firms, private companies and Corporate Finance teams and boutiques. Team size as of today: 25 in Switzerland and > 25 in India, offices in Zurich, Bern and Geneva.

---

**Edgar Brandt Advisory SA**

**Contact Information**
- Address: Route de Pré-Bois 20, 1215 Genève 15
- Telephone No: +41 22 799 42 80
- Web Address / Format of E-mail: www.eb-advisory.com / forename.surname@eb-advisory.com
- Key People: Mr. Edgar Brandt / Mr. Christian Mustad
- Year of establishment / Employees: 2005 / 8

**Short Company Profile**
Edgar Brandt Advisory provides consulting services tailored to the specific needs of private equity investors. We support investors through all phases of their investments life-cycle.

---

**Effinance Private Equity AG**

**Contact Information**
- Address: Theaterstrasse 4, 8001 Zürich
- Telephone No: +41 44 396 10 00
- Web Address / Format of E-mail: www.effinance.ch / initial of forename.surname@effinance.ch
- Key People: Mr. Simon Schrämli
- Year of establishment / Employees: 2007 / 5

**Short Company Profile**
Euro-Private Equity S.A.

Contact Information
Address Chemin du Pavillon 5, 1218 Geneva
Telephone No +41 22 788 53 75
Web Address / Format of E-mail www.euro-pe.com / initial of forenameSurname@euro-pe.com
Key People Mr. Eric Deram / Mr. Marc-Antoine Voisard
Year of establishment / Employees 2005 / 15

Short Company Profile
Euro Private Equity is a specialist in private equity, mezzanine and infrastructure investments. We deploy and manage tailored-made portfolio secondary funds as well as co-investments on a global basis. Our service is flexible, transparent and cost-efficient. We only select “active” fund managers who invest primarily in growing SMEs.

Executive Wealth Management

Contact Information
Address Seestrasse 19, 8700 Küsnacht
Telephone No +41 44 913 19 00
Web Address / Format of E-mail www.ewmglobal.com / forename.surname@ewmglobal.com
Key People Dr. Wolfgang Schroter / Mr. Björn Wunderlich
Year of establishment / Employees 2001 / 43

Short Company Profile
Executive Wealth Management (EWM) is a leading specialist in administering Carried Interest and Co-Investment plans for private equity companies. We have extensive experience in plan design, implementation, and administration of virtually any type of compensation plan (cash, equity, etc.). We serve local and global clients across the financial industry and are not restricted or influenced by pre-defined products or services. Our goal is to take over the administration challenges, allowing our clients to focus on their core business and competencies.

FRC Unternehmensberatung GmbH

Contact Information
Address Schladstrasse 12, 5425 Schneisingen
Telephone No +41 56 241 24 38
Web Address / Format of E-mail www.ruhier.ch / -
Key People Mr. Felix Ruhier
Year of establishment / Employees 1993 / 1

Short Company Profile
Beratung von KMU in finanziellen Belangen sowie Support bei Kauf, Verkauf, Sanierung, Finanzierungen und Nachfolgeregulierungen.
## FRORIEP Rechtsanwälte

### Contact Information
- **Address**: Bellerivestrasse 201, 8034 Zürich
- **Telephone No**: +41 44 386 60 00
- **Web Address / Format of E-mail**: www.froriep.com / initial of forenameSurname@froriep.ch
- **Key People**: Dr. Beat M. Barthold
- **Year of establishment / Employees**: 1966 / 83

### Short Company Profile
- Corporate & commercial law, intellectual property, litigation, arbitration

## FundStreet AG

### Contact Information
- **Address**: Löwenstrasse 11, 8001 Zürich
- **Telephone No**: +41 44 210 42 06 / 07
- **Web Address / Format of E-mail**: www.fundstreet.com / forename.surname@fundstreet.com
- **Key People**: Mr. Herbert Kahlich / Dr. Andreas Spahni
- **Year of establishment / Employees**: 1999 / 3

### Short Company Profile
- Development and partnership of Swiss General and Limited Partnerships. Business / Management Consultancy along the value chain.

## Gestassur SA

### Contact Information
- **Address**: Nüscherstrasse 32, 8001 Zürich
- **Telephone No**: +41 43 497 28 67
- **Web Address / Format of E-mail**: www.gestassur.com / initial of forenameSurname@gestassur.com
- **Key People**: Mr. Philip Waser
- **Year of establishment / Employees**: 1984 / 6

### Short Company Profile
- Founded in 1984, Gestassur SA specializes in the analysis of professional and financial risks for Financial Institutions and in the transfer of these risks to an insurance structure.
**GHR Rechtsanwälte AG**

**Contact Information**
- **Address**: Bahnhofstrasse 64, 8001 Zürich
- **Telephone No**: +41 58 356 50 00
- **Web Address / Format of E-mail**: www.ghr.ch / forenameSurname@ghr.ch
- **Key People**: Mr. Michael Walther / Mr. Gerhard Roth
- **Year of establishment / Employees**: 1992 / 22

**Short Company Profile**
GHR is a boutique law firm with offices in Zurich and Bern-Muri focussed exclusively on business law. Our fields of practices include Corporate, Mergers and Acquisitions, Private Equity, Banking, Capital Markets and Finance, Energy and Natural Resources, Tax, Intellectual Property and Technology, Insolvency and Restructuring, Litigation and Dispute Resolution. GHR is a recognized representative for the listing of securities at the SWX Swiss Exchange. In Addition, GHR is a member of LAW (Lawyers Associated Worldwide), an international network of independent commercial law firms, as well as of the Energy Law Group (ELG), an association of leading European law firms in the energy sector.

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**Contact Information**
- **Address**: Gottfried Keller-Strasse 7, 8024 Zürich
- **Telephone No**: +41 43 344 42 42 / +41 76 323 60 64
- **Web Address / Format of E-mail**: www.konsulenten.ch / forename.surname@konsulenten.ch
- **Key People**: Mr. Andreas S. Thommen / Mr. Aloys Hirzel
- **Year of establishment / Employees**: 1996 / 11

**Short Company Profile**
Strategy, corporate communications & investor relations consultants

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**Homburger AG**

**Contact Information**
- **Address**: Prime Tower, Hardstrasse 201, 8005 Zürich
- **Telephone No**: +41 43 222 10 00
- **Web Address / Format of E-mail**: www.homburger.ch / forename.surname@homburger.ch
- **Key People**: Dr. Dieter Gericke / Dr. Jürg Frick
- **Year of establishment / Employees**: 1957 / 130

**Short Company Profile**
Homburger has some 130 lawyers and tax experts experienced in m&a, private equity and financial transactions, fund structuring and regulations, banking, commercial projects, as well as dispute resolution. Homburger is dedicated to bringing its clients’ endeavors to a success. Our practice teams include Corporate and Transactions (m&a, private equity, capital markets, corporate and commercial), Banking and Finance, Tax, Intellectual Property and Technology, Competition, Litigation and Arbitration.
investiere / Verve Capital Partners AG

Contact Information
Address: Grafenaustrasse 9, 6300 Zug
Telephone No: +41 44 380 29 35
Web Address / Format of E-mail: www.investiere.ch
Key People: Mr. Steffen Wagner / Mr. Julien Pache
Year of establishment / Employees: 2010 / 9

Short Company Profile
investiere is a community of about 4’000 top investors and industry experts focused on startup companies, as well as being one of Europe’s leading online startup investment platforms. Since 2010, 20 outstanding startups have used investiere to raise funds from private investors who bring relevant industry expertise and networks. Using the platform, the community itself identifies the most promising investment opportunities which are then further reviewed by experts from the relevant industry. A final selection is made by the investiere team and its partners after a careful due diligence. Once approved by the investiere team, investors can directly choose a specific startup and become a shareholder with all voting and property rights provided by the law. investiere systematically combines private investors with selected lead investors as well as institutional co-investors. investiere is operated by Verve Capital Partners AG with domicile Switzerland.

IRF Communications AG

Contact Information
Address: Rämistrasse 4, 8024 Zürich
Telephone No: +41 43 244 81 44
Web Address / Format of E-mail: www.irfcom.ch / forename@irfcom.ch
Key People: Mr. Martin Meier-Pfister / Dr. Michael Düringer
Year of establishment / Employees: 2008 / 10

Short Company Profile
IRF Communications is a leading financial PR consultancy based in Zurich with an established international network. IRF Communications offers customized communications solutions. Its team of specialists provides clients with professional expertise and contacts as well as support with public and investor relations. IRF Communications analyzes situations and requirements and communicates its results openly and transparently. The team of IRF Communications has a broad experience in financial communications as well as in capital market transactions such as IPOs, M&A or private equity investments.

Kadmos Capital

Contact Information
Address: 1264 St-Cergue
Telephone No: +41 22 700 08 18
Web Address / Format of E-mail: www.kadmosgroup.org / director@kadmoscapital.ch
Key People: Mr. Michael Lupson
Year of establishment / Employees: 2008 / 2

Short Company Profile
Independent consulting, advising and research on international finance, private equity and wealth management.
Kehrli & Zehnder Family Office Services AG

Contact Information
Address: Gartenstrasse 33, 8002 Zürich
Telephone No: +41 44 222 18 18
Web Address/Format of E-mail: www.kehrliehnder.com/forename.surname@keze.biz
Key People: Mr. Stephan Kehrli
Year of establishment/Employees: -/-

Short Company Profile
Kehrli & Zehnder is an independent financial consulting company. Core competences: Comprehensive asset management and multi family office services.

Kellerhals Anwälte Bern

Contact Information
Address: Effingerstrasse 1, 3001 Bern
Telephone No: +41 58 200 35 00
Web Address/Format of E-mail: www.kellerhals.ch/forename.surname@kellerhals.ch
Key People: Dr. Beat Brechbühl / Dr. Christian Witschi
Year of establishment/Employees: 1975/150

Short Company Profile
Kellerhals, a full service law firm with about 90 attorneys in 3 offices. The firm offers a full range of legal services for national and international clients in all areas of corporate and commercial law, with particular emphasis on M&A, financial and tax services including capital markets, litigation and arbitration.

KESSLER & CO Inc.

Contact Information
Address: Forchstrasse 95, 8032 Zürich
Telephone No: +41 44 387 87 11
Web Address/Format of E-mail: www.kessler.ch/forename.surname@kessler.ch
Key People: Mr. Klaus Peretti / Dr. Martin Kessler
Year of establishment/Employees: 1915/250

Short Company Profile
Risk and insurance advice by our Private Equity and M&A Practice working closely with a client’s risk management or M&A team complementing the traditional financial and legal due diligence. Our advice enables clients to better understand the risks in any given transactions, factoring them into negotiations and the pricing of a transaction. Services include Insurance Due Diligence and bespoke M&A Insurance Solutions, such as Warranty & Indemnity, Tax, Litigation Buy Out and Environment.
Kurmann Partners AG

Contact Information
Address: Elisabethen­anlage 25, 4051 Basel
Telephone No: +41 58 261 90 50
Web Address / Format of E-mail: www.kurmannpartners.com /
forename.surname@kurmannpartners.com
Key People: Mr. Jürg Kurmann / Dr. Christoph Bieri
Year of establishment / Employees: 1982 / 8

Short Company Profile
Mergers & Acquisitions, Restructurings, International Acquisition Projects, Pre-IPO advisory and Strategy Reviews.

Lardi & Partners SA

Contact Information
Address: Via Cantonale 19, 6901 Lugano
Telephone No: +41 91 923 34 52
Web Address / Format of E-mail: www.lardipartners.ch / forename.surname@lardipartners.ch
Key People: Mr. Adelio Lardi / Mr. Daniele Lardi / Mr. Marco Ghiringhelli
Year of establishment / Employees: 1993 / -

Short Company Profile
corporate finance advisor, auditing, tax, legal and strategy consulting

Lenz & Staehelin

Contact Information
Address: 30, route de Chêne, 1211 Geneva 17
Telephone No: +41 58 450 70 00
Web Address / Format of E-mail: www.lenzstaehelin.com / forename.surname@lenzstaehelin.com
Key People: Mr. Andreas Roetheli / Mr. Shelby du Pasquier
Year of establishment / Employees: - / -

Short Company Profile
Lenz & Staehelin is Switzerland’s largest law firm with more than 180 lawyers in 3 offices. It provides a full service to its clients on all legal, regulatory and tax aspects of the private equity industry, from fundraising and structuring of private equity houses to private equity investments and buyout transactions.
LPX Group

Contact Information
Address Florastrasse 17, 8008 Zürich
Telephone No +41 44 382 90 22
Web Address / Format of E-mail www.lpx-group.com / -
Key People Mr. Michel Degosciu
Year of establishment / Employees - / -

Short Company Profile
LPX Group has pioneered Listed Private Equity Indices and is an innovative index sponsor in the field of listed alternatives. The LPX Group index series provide the basis for a broad array of innovative financial products. With a great depth of experience a team of highly experienced professionals stands for excellence and rigorous consistency for both index sponsoring and equity research in the field of listed alternatives.

Lufin Partners AG

Contact Information
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Telephone No +41 41 914 40 21
Web Address / Format of E-mail - / forename.surname@lufin.ch
Key People Mr. Urs Altorfer / Mr. Thomas Michel
Year of establishment / Employees - / -

Short Company Profile

Manager Assecuranz Compagnie AG

Contact Information
Address Neuhaus 190, 8762 Glarus Süd
Telephone No +41 44 515 23 02
Web Address / Format of E-mail www.managerassecuranz.ch / -
Key People Mr. Harald Schaaff / Ms. Chantal Jansen
Year of establishment / Employees 2007 / 7

Short Company Profile
MANAGER ASSECURANZ COMPAGNIE AG (MAC) is a Lloyds of London Coverholder (Assekuradeur in German) specialized on providing taylor made insurance cover for fundsmangers, asset managers and similar entities iro D&O and professional liability and Crime cover. MAC Group was founded in Germany 2005 entered Switzerland in 2011. MAC has an own capacity of 30 Million SFR (or €) and has arranged AIFM compliant limits of up to 100 M SFR so far. MAC can arrange EU + Switzerland coverages through its Munich based sister company admitted in the EU or worldwide cover through its London network. MAC offers a substantially broader than average cover including for example cover for claims by the fund vs. the fund manager or cover for rectifying mistrades/errors before a claim is being made when there is a legal obligation to do so or when the supervisors demands such action. Policies are issued in german with english translation. We welcome a contact by your insurance brokers.
Welcome to SECA
The representative body for Switzerland’s private equity, venture capital and corporate finance industries

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- Events & Conferences
- Research & Statistics
- Working Groups
- Publications
- eNewsletter
- Lobbying
- Website

SECA has the objective to promote private equity and corporate finance activities in Switzerland. Members of the SECA include equity investment companies, banks, corporate finance advisors, auditing companies, management consultants, Lawyers and private investors.

More information on www.seca.ch or info@seca.ch / T: +41 41 757 67 77

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MANAGER ASSECURANZ COMPAGNIE AG
Herr/Mr. Harald Schaaff
Neuhaus 190 8762 Glarus Süd
☎+4144 5152302 www.managerassecuranz.ch

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Web Address / Format of E-mail: www.mll-legal.com / forename.surname@ml-law.ch
Key People: Dr. Alexander Vogel / Dr. Christoph Heiz
Year of establishment / Employees: - / 84

Short Company Profile

Migros Bank

Contact Information
Address: Seidengasse 12, 8023 Zürich
Telephone No: +41 44 229 86 44
Web Address / Format of E-mail: www.migrosbank.ch / firstname.lastname@migrosbank.ch
Key People: Mr. Olivier Häner / Mr. Erich Fierz
Year of establishment / Employees: 1958 / 1354

Short Company Profile
Migros Bank operates with 64 branches in all cantons in Switzerland. Migros Bank is one of the largest mortgage lenders in Switzerland and is very active in retail and commercial banking.

Migros-Genossenschafts-Bund

Contact Information
Address: Limmatstrasse 152, 8031 Zürich
Telephone No: +41 44 277 25 55
Web Address / Format of E-mail: www.migros.ch / forename.surname@mgb.ch
Key People: Mr. Beat Arbenz
Year of establishment / Employees: - / -

Short Company Profile
Müller-Möhl Group

Contact Information
Address Weinplatz 10, 8022 Zürich
Telephone No +41 43 344 66 66
Web Address / Format of E-mail www.mm-grp.com / surname@mm-grp.com
Key People Mr. Christophe Rouvinez
Year of establishment / Employees 2000 / -

Short Company Profile
The Müller-Möhl Group manages the investment portfolio belonging to the community of Müller-Möhl heirs who are the 100% owners of the Group. The Müller-Möhl Group owns substantial minority stakes in selected companies. Besides these entrepreneurial direct investments, the Müller-Möhl Group manages a broadly diversified portfolio of securities.

Nellen & Partner

Contact Information
Address Redingstrasse 6, 9000 St. Gallen
Telephone No +41 71 228 33 66
Web Address / Format of E-mail www.nellen.ch / initial of forename.surname@nellen.ch
Key People Mr. Curt Baumgartner
Year of establishment / Employees 1992 / 8

Short Company Profile
Seeking and finding - a fine distinction! Our core business is the recruitment of senior management and specialists. Since 1992 we support our corporate clients with a comprehensive range of executive search services. We are exclusive Swiss partner of Glasford International www.glasford.com.

Next Generation Finance Invest AG

Contact Information
Address Baarerstrasse 79, 6300 Zug
Telephone No +41 41 712 23 53
Web Address / Format of E-mail www.nextgfi.com / surname@nextgfi.com
Key People Mr. Thomas Winkler / Mr. Marc P. Bernegger
Year of establishment / Employees 2009 / 4

Short Company Profile
Next Generation Finance Invest invests in companies which are well positioned to benefit from new megatrends in finance (Finance 2.0 / fintech)
Niederer Kraft & Frey AG

Contact Information
Address: Bahnhofstrasse 13, 8001 Zürich
Telephone No: +41 58 800 80 00
Web Address / Format of E-mail: www.nkf.ch / forename.surname@nkf.ch
Key People: Dr. Andreas Casutt / Dr. Ulysses von Salis
Year of establishment / Employees: 1936 / 90

Short Company Profile
Niederer Kraft & Frey is one of the largest law firms in Switzerland. Our dedicated professionals have specialized knowledge and extensive experience in particular in the fields of financing, private equity and venture capital, mergers and acquisitions, corporate and employment law, stock exchange law (initial public offerings), tax as well as capital market and financial services regulation. Our wide range of national and international clients includes investment funds, banks, large and medium sized corporations, start-ups and management teams. Several of our professionals also serve on the board of directors of companies active various fields, including private equity.

Octadim GmbH

Contact Information
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Telephone No: +41 79 444 07 37
Web Address / Format of E-mail: www.octadim.com / forename.surname@octadim.com
Key People: Mr. Harald Fichtl
Year of establishment / Employees: - / -

Short Company Profile
Octadim is a consulting company specialized in industrial production processes. Octadim’s target clients are small and mid-sized companies. In addition to consulting we also take care of project management and interim management tasks. - Business turnaround - restructuring - Improvements - Commercial representations in Europe

Office for Business and Economic Development of the Canton of Zurich

Contact Information
Address: Walchestrasse 19, 8090 Zürich
Telephone No: +41 43 259 49 92
Web Address / Format of E-mail: www.location.zh.ch / www.finanzplatz-zuerich.ch /
forename.surname@vd.zh.ch
Key People: Ms. Eva May / Mr. Beat Rhyner
Year of establishment / Employees: - / -

Short Company Profile
The Office for Business and Economic Development is the contact point for resident companies as well as those interested in setting up a business in the Canton of Zurich. We facilitate, accelerate and establish networks to serve as a bridge between business and public administration. Our core competencies and activities are: - Assist companies with setting up an office in Zurich - Management of cluster initiatives and networks - Work permits for non-EU/EFTA citizen - Facilitation of administrative procedures.
Paguasca Holding AG

Contact Information
Address 6340 Baar
Telephone No +41 41 766 05 35
Web Address / Format of E-mail - / -
Key People Mr. Daniel Guggenheim / Mr. Peter Guggenheim
Year of establishment / Employees - / 4

Short Company Profile
asset management, expansion financing

Pestalozzi Attorneys at Law

Contact Information
Address Löwenstrasse 1, 8001 Zürich
Telephone No +41 44 217 91 11
Web Address / Format of E-mail www.pestalozzilaw.com / forename.surname@pestalozzilaw.com
Key People Dr. Martin L. Müller / Mr. Franz Schubiger
Year of establishment / Employees 1911 / 160

Short Company Profile
Pestalozzi has about 100 specialized lawyers and tax experts in Zurich and Geneva. Pestalozzi advises founders, investors and target companies on all legal, regulatory and tax aspects of private equity and corporate finance, including incorporation, fundraising, structuring of private equity investments, refinancing and all EXIT scenarios.

Platinum Partners AG

Contact Information
Address Mühlegasse 29, 8001 Zürich
Telephone No +41 43 244 74 24
Web Address / Format of E-mail www.platinum‐partners.com / initial of forenamesurname@platinum‐partners.com
Key People Mr. Alessandro Parenti
Year of establishment / Employees 2001 / 6

Short Company Profile
See our Webpage
Private Equity Invest AG

Contact Information
Address Bahnhofstrasse 69, 8001 Zürich
Telephone No +41 44 215 70 00
Web Address / Format of E-mail www.pei.ch / forename.surname@pei.ch
Key People Mr. Markus Ritter
Year of establishment / Employees 2000 / 21

Short Company Profile
Private Equity Invest is a private equity firm focused on identifying high-growth, quality-driven and innovative Swiss technology companies. We actively support these companies to execute their business model and achieve their goals.

RCI Unternehmensberatung AG

Contact Information
Address Landenbergstrasse 35, 6002 Luzern
Telephone No +41 41 368 90 00
Web Address / Format of E-mail www.rciub.ch / -
Key People Mr. Simon Ottiger / Mr. Daniel Bühlmann
Year of establishment / Employees - / -

Short Company Profile
Mergers & Acquisitions Advisory Financial engineering Legal Advisory Tax Consulting Due Diligence

Remora Capital SA

Contact Information
Address Rue du Petit-Chêne 12, 1003 Lausanne
Telephone No +41 21 213 03 12
Web Address / Format of E-mail www.remora-partners.ch / -
Key People Mr. Jean-Marc Le Doussal
Year of establishment / Employees 2009 / 7

Short Company Profile
Remora Capital SA is a Lausanne-based holding company whose investment strategy consists in acting as lead investor in European innovative start-ups backed by robust intangible assets, in the healthcare, beauty care and nutrition industries.
RobecoSAM

Contact Information
Address: Josefstrasse 218, 8005 Zürich
Telephone No: +41 44 653 10 10
Web Address / Format of E-mail: www.robecosam.com / forename.surname@robecosam.com
Key People: Mr. Thierry Bertheau / Mr. Jeroen Afinck
Year of establishment / Employees: 1995 / 100

Short Company Profile

Rock Lake Associates

Contact Information
Address: Baarerstrasse 2, 6300 Zug
Telephone No: +41 41 723 10 16
Web Address / Format of E-mail: www.rock-lake.com / forename.surname@rock-lake.com
Key People: Mr. John C. Cook
Year of establishment / Employees: 2009 / 12

Short Company Profile

Schellenberg Wittmer AG, Rechtsanwälte

Contact Information
Address: Löwenstrasse 19, 8001 Zürich / 15 bis, rue des Alpes, 1201 Geneva
Telephone No: +41 44 215 52 52 / +41 22 707 80 00
Web Address / Format of E-mail: www.swlegal.ch / forename.surname@swlegal.ch
Key People: Dr. Oliver Triebold / Mr. Jean-Jacques Ah Choon
Year of establishment / Employees: - / 270

Short Company Profile
Schellenberg Wittmer is one of the leading business law firms in Switzerland. Over 140 lawyers in Zurich and Geneva advise domestic and international clients on all aspects of business law. Schellenberg Wittmer’s Mergers & Acquisitions, Private Equity and Venture Capital Group is one of the largest and most specialised practice groups in its field in Switzerland. The Team is seconded and actively supported by experienced lawyers from other practice groups of the firm, such as tax, IP/IT, real estate, competition/merger control, employment law/human resources, capital markets, banking and finance, and is complemented by the firm’s litigation and arbitration practice group with extensive expertise in corporate and M&A matters.
Getting the Deal Done

Über 40 spezialisierte Transaktionsanwälte in Zürich und Genf begleiten Sie umfassend und lösungsorientiert bei sämtlichen Unternehmenstransaktionen in der Schweiz.

Schellenberg Wittmer AG ist eine der führenden Wirtschaftsanwaltskanzleien der Schweiz. Über 140 spezialisierte Juristinnen und Juristen in Zürich und Genf beraten in- und ausländische Klienten umfassend im gesamten Wirtschaftsrecht.

www.swlegal.ch
Schneider Feldmann Ltd. – Patent and Trademark Attorneys

Contact Information
Address: Beethovenstrasse 49, 8002 Zürich
Telephone No: +41 43 430 32 32
Web Address / Format of E-mail: www.schneiderfeldmann.ch / forename.surname@schneiderfeldmann.ch
Key People: Dr. Martin Schneider
Year of establishment / Employees: 1960 / 23

Short Company Profile
Schneider Feldmann Ltd. - Patent and Trademark Attorneys is an established law firm specialising in protecting, dealing with and defending intellectual property rights (IPR’s), namely patents, trademarks, designs and copyrights. Schneider Feldmann Ltd. is experienced in advising in IPR transactions, including due diligence issues, and conducting valuations of patent or trademark portfolios.

SIX Swiss Exchange AG

Contact Information
Address: Selnaustrasse 30, 8021 Zürich
Telephone No: +41 58 399 22 45
Web Address / Format of E-mail: www.six-swiss-exchange.com / forename.surname@six-group.com
Key People: Mr. Marco Estermann
Year of establishment / Employees: 1995 / 266

Short Company Profile
SIX Swiss Exchange is one of the world’s leading regulated stock exchanges and the most important exchange in Europe for the life science area. It is an important pillar of the Swiss Financial Center and helps create the best possible trading conditions, connecting investors, issuers and participants from across the world. SIX Swiss Exchange forms the efficient and transparent reference market for world-leading companies. Thanks to its high-performance SWXess platform, its trading partners benefit from latency periods of just microseconds. SIX Swiss Exchange ensures round-the-clock trading that is independent, fair and supervised, covering the attractive segments of equities, bonds, ETFs and ETPs; this increases trust and stability in the Swiss financial center. SIX Swiss Exchange is a company of SIX, which provides first-rate services worldwide in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions.

Staiger, Schwald & Partner Rechtsanwälte

Contact Information
Address: Genferstrasse 24, 8002 Zürich
Telephone No: +41 58 387 80 00
Web Address / Format of E-mail: www.ssplaw.ch / forename.surname@ssplaw.ch
Key People: Dr. Mark-Oliver Baumgarten / Mr. Mark Metzger
Year of establishment / Employees: 1964 / 80

Short Company Profile
Staiger, Schwald & Partner is a respected Swiss law firm with strong Swiss client base and excellent international connections. Our professionals in our offices in Zurich advise companies, financial institutions and high net worth individuals in national and international M&A, venture capital, private equity, corporate and finance transactions, banking, capital markets, insurance and any type of commercial project. Driven by personality and commitment our professionals are dedicated to the client’s goals.
Startzentrum Zürich

Contact Information
Address: Luegislandstrasse 105, 8051 Zürich
Telephone No: +41 44 455 60 60
Web Address / Format of E-mail: www.startzentrum.ch / forename.surname@startzentrum.ch
Key People: Mr. Gert Christen
Year of establishment / Employees: 1999 / 5

Short Company Profile
Startzentrum Zurich is the business incubator of the city and canton of Zurich. We support startup companies with a wide range of services. Including coaching, flexible office space, complete infrastructure, office administration, networking, training, and in the search for funding. Initial coaching is free of charge for entrepreneurs wishing to create a company in the city or canton of Zurich. We organize annual events such as www.startupfair.ch and www.startupday.ch.

Steiger Engineering AG

Contact Information
Address: Alte Landstrasse 40, 8702 Zollikon
Telephone No: +41 44 383 46 85
Web Address / Format of E-mail: www.seag.ch /
Key People: Mr. Alex E. Gall
Year of establishment / Employees: 1967 / 2

Short Company Profile
Mergers & Acquisitions and Corporate Finance

SUSI Partners AG

Contact Information
Address: Feldeggstrasse 12, 8008 Zürich
Telephone No: +41 44 386 98 00
Web Address / Format of E-mail: www.susi-partners.ch / initial of forename.surname@susi-partners.ch
Key People: Mr. Tobias Reichmuth / Mr. Otto von Troschke
Year of establishment / Employees: 2009 / 12

Short Company Profile
SUSI Partners AG is a socially and ecologically responsible Swiss investment house offering quality products in the sustainable assets class intended for qualified investors seeking to diversify their portfolio. Each of our funds is guided by the following principles: - attractive returns - low or no correlation with the stock market - evident and measurable sustainable contribution (financial, ecological, social) SUSI seeks to deliver investment vehicles that minimize risk, provide complete transparency, and enable investors to achieve consistent, reliable returns throughout the long-term. As a responsible investment house, we believe that it is necessary to assess the possible future implications of each venture. We view sustainability not only as a best-in-class approach or as a negative screen, but also as a pledge to foster ecologically and socially responsible values in projects and assets. All of our investments contribute to the control of global warming and support sustainable economic growth.
SUVA

Contact Information
Address Rösslimattstrasse 39, 6005 Luzern
Telephone No +41 41 419 51 11
Web Address / Format of E-mail www.suva.ch / forename.surname@suva.ch
Key People Mr. Francesco Kälin
Year of establishment / Employees 1918 / 8

Short Company Profile
Suva (Swiss National Accident Insurance Institution) is Switzerland’s largest provider of accident insurance. Suva insures secondary business sector employees, unemployed persons and employees of the Swiss armed forces against occupational and non-occupational accidents and occupational diseases. Suva is a financially independent, non-profit company under Swiss public law with headquarters in Lucerne and 19 agencies throughout Switzerland.

Swisscom Ventures

Contact Information
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Telephone No +41 58 223 27 36
Web Address / Format of E-mail www.swisscom.com/ventures / forename.surname@swisscom.com
Key People Mr. Dominique Mégret / Mr. Carlo Grassi
Year of establishment / Employees 2005 / 4

Short Company Profile
Swisscom is Switzerland’s leading telecom provider, with 6.4 million mobile customers and around 1.9 million broadband connections. In 2013, the company’s 20108 employees generated revenue of CHF 11.4 billion. As a value-creating investor, Swisscom Ventures invests in innovative areas that are strategic for Swisscom.

Swissport International Ltd.

Contact Information
Address P.O. Box, 8058 Zurich-Airport
Telephone No +41 43 812 29 02
Web Address / Format of E-mail www.swissport.com / forename.surname@swissport.com
Key People Mr. Roman Hermann / Mr. Johannes C. Spindler
Year of establishment / Employees - / 35000

Short Company Profile
Swissport International Ltd. provides ground services for around 224 million passengers and 4.1 million tonnes of cargo a year on behalf of some 700 client-companies in the aviation sector. With its workforce of around 55,000 personnel, Swissport is active at 255 airports in 44 countries on five continents and generates consolidated operating revenue of CHF 3.0 billion.
Tavernier Tschanz

Contact Information
Address 11-bis, rue Toepffer, 1206 Geneva
Telephone No +41 22 704 37 00
Web Address / Format of E-mail www.tavernierschanz.com / surname@tavernierschanz.com
Key People Mr. Jacques Bonvin
Year of establishment / Employees - / -

Short Company Profile
Tavernier Tschanz is a leading Swiss law firm focusing on corporate and commercial practice. The firm’s services cover general corporate matters, corporate transactions, banking and finance, new technologies, competition and tax, as well as international arbitration. Tavernier Tschanz’s corporate transactions practise is built on the firm’s strong expertise in corporate finance and M&A, advising clients on all types of transactions such as acquisitions, takeovers, mergers, LBOs, MBOs/MBIs/IPOs, private equity and venture capital investments, restructuring, divestitures, spin-offs, as well as general domestic and international finance operations.

UBS AG, Executives & Entrepreneurs

Contact Information
Address Bahnhofstrasse 45, 8098 Zürich
Telephone No +41 44 234 90 21
Web Address / Format of E-mail www.ubs.com / forename.surname@ubs.com
Key People Dr. Jürg Muffler
Year of establishment / Employees - / 65000

Short Company Profile
UBS Executives & Entrepreneurs („E&E“) advises executives and entrepreneurs in all matters of their personal wealth. UBS E&E is the single point of contact, coordinates the engagement of different specialists and provides customized solutions: Succession planning, pension solutions, retirement solutions, tax planning, asset management, risk assessment, portfolio optimization, liability management, etc.

Vaccani, Zweig & Associates

Contact Information
Address Klausstrasse 43, 8034 Zürich
Telephone No +41 43 499 20 80
Web Address / Format of E-mail www.vzaconsultants.ch / initial of forename.surname@vzaconsultants.ch
Key People Mr. Andrés F. Zweig / Mr. Amedeo C. Vaccani
Year of establishment / Employees 1994 / 10

Short Company Profile
Active in Management Consulting, Mergers and Acquisitions, Technology Transfers and Management of Private Equity Investments
Valcor AG

Contact Information
Address: Scheuchzerstrasse 36, 8006 Zürich
Telephone No: +41 44 350 19 05
Web Address / Format of E-mail: www.valcor.ch / surname@valcor.ch
Key People: Dr. Bruno Weber / Mr. Etienne Bernath
Year of establishment / Employees: 1989 / 5

Short Company Profile
Corporate Finance, Value Creating Strategies, M & A

ValCrea AG

Contact Information
Address: Baarerstrasse 8, 6300 Zug
Telephone No: +41 41 712 25 15
Web Address / Format of E-mail: www.valcrea.com / forename.surname@valcrea.com
Key People: Mr. Juhani Anttila / Mr. Timo Vättö
Year of establishment / Employees: 2005 / 7

Short Company Profile
ValCrea is an advisory firm for corporate value creation. At the moment the company has 6 partners with over 100 years cumulated global strategic and execution know how at board, CEO and CFO level in industry and banking. The partners work for owners, Boards, executive management both in private and public companies as well as for private equity firms in improving the financial performance of their portfolio companies.

VISCHER AG

Contact Information
Address: Schützengasse 1, 8021 Zürich
Telephone No: +41 44 254 34 00
Web Address / Format of E-mail: www.vischer.com / initial of forenameSurname@vischer.com
Key People: Dr. Robert Bernet / Dr. Jürg Luginbühl
Year of establishment / Employees: 2000 / 100

Short Company Profile
VISCHER is one of the leading law firms in Switzerland. We particularly provide legal services in the context of M&A and private equity transactions and assist companies during their various development stages (seed financing, early stage financing, growth financing and sale to additional capital investors, including management buyouts, leveraged buyouts, trade sales and IPOs).
Walder Wyss Ltd.

Contact Information
Address: Seefeldstrasse 123, 8034 Zürich
Telephone No: +41 44 498 98 98
Web Address / Format of E-mail: www.walderwyss.ch / initial of forenameSurname@walderwyss.ch
Key People: Mr. Enrico Friz / Mr. Luc Defferrard
Year of establishment / Employees: 1972 / 150

Short Company Profile
Walder Wyss is a law firm specializing in corporate and commercial law, corporate finance and M&A, banking law, intellectual property and competition law, dispute resolution and tax law.

Walker Risk Solution AG

Contact Information
Address: An der Lorze 7, 6300 Zug
Telephone No: +41 41 511 78 88
Web Address / Format of E-mail: www.risksolution.ch / forename.surname@risksolution.ch
Key People: Mr. Gregory Walker
Year of establishment / Employees: 2010 / -

Short Company Profile
Walker Risk Solution Ltd is a specialised insurance broker for the advisor, manager and distributor of PE/VC- and other (alternative) investment funds, and of fund of funds. We provide D&O and professional liability insurance, as well as tailored solutions to protect from key man risk, IP, political and credit risk. Further, we provide 'warranty and indemnity'-insurance enabling a 'clean exit' to private equity investors. Walker Risk Solution has offices in Zug and Zurich.

Walter & Partner

Contact Information
Address: Seestrasse 86, 8803 Rüschlikon
Telephone No: +41 55 220 05 20
Web Address / Format of E-mail: www.walter-partner.ch / surname@walter-partner.ch
Key People: Mr. Dominik C. Walter
Year of establishment / Employees: 1994 / 3

Short Company Profile
Wincor Nixdorf AG

Contact Information
Address: Stationsstrasse 5, 8306 Brüttisellen
Telephone No: +41 44 835 34 50
Web Address / Format of E-mail: www.wincor-nixdorf.ch / forename.surname@wincor-nixdorf.ch
Key People: Mr. Mario Parravicini
Year of establishment / Employees: - / 100

Short Company Profile
Wincor Nixdorf AG is a private equity firm investing in selected industries (see homepage) and offering financial services such as M&A advice, financing solutions and investment also to external clients.

Wineus AG

Contact Information
Address: Stockerstrasse 45, 8027 Zürich
Telephone No: +41 44 562 10 67
Web Address / Format of E-mail: www.wineus.ch / forename.surname@wineus.ch
Key People: Dr. Yves Cheridito / Mr. Xavier Alonso
Year of establishment / Employees: 2008 / 20

Short Company Profile
Private equity company investing in selected industries (see homepage) and offering financial services such as M&A advice, financing solutions and investment also to external clients.
Individual Members & Honorary Members
## Individual Members
(without academic titles)

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**Honorary Members**
(without academic titles)

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Young SECA Members
# Young SECA Members

(Without academic titles)

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Herr/Mr. Harald Schaff

Neuhaus 190  8762 Glarus Süd

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Chapter V

Partner Associations
AVCO - Austrian Private Equity and Venture Capital Association

Contact Information
Address: Lothringerstrasse 12, A-1030 Vienna
Telephone No: +43 1 5263805
Web Address / Format of E-mail: www.avco.at/ forename.surname@avco.at
Key People: Dr. Jürgen Marchart / Ms. Judith Greiner
Year of establishment / Employees: 2000 / 2

Short Company Profile
AVCO is the national association for Austria's private equity industry and corporate finance service providers. AVCO encompasses private equity houses – from venture capital and expansion financing to buy-outs – as well as various other institutions and companies which are interested in developing the private equity and venture capital industry in Austria – from service providers, banks, investors to portfolio companies and professionals. AVCO's purpose is to communicate private equity and venture capital activities and issues to the appropriate target audience and the general public. Furthermore, the exchange of ideas and cooperation between the members and the private equity and venture capital community is encouraged.

German Private Equity and Venture Capital Association e.V. (BVK)

Contact Information
Address: Reinhardtstr. 27c, D-10117 Berlin
Telephone No: +49 30 306 98 20
Web Address / Format of E-mail: www.bvkap.de/
Key People: Mr. Matthias Kues / Ms. Ulrike Hinrichs
Year of establishment / Employees: 1989 / 1

Short Company Profile
Bundesverband Deutscher Kapitalbeteiligungsgesellschaften - German Private Equity and Venture Capital Association (BVK) is the representative of the German private equity industry covering private equity firms, from venture capital through growth capital to buyouts, as well as institutional investors. It is the mission of the BVK to create best possible environmental conditions for the industry in Germany. This requires improving tax and legal environmental conditions for private equity in Germany in dialogue with political and administrative decision-makers, facilitating the access to capital sources, surveying the markets and analysing market trends, and supporting our members in exchanging their experience. To achieve this, systematic industry communication is fundamental like the BVK pursues it together with its almost 300 members.
Chapter VI

Articles of Association & Model Documentation
Articles of Association & Model Documentation

The Articles of Association of SECA can be found on the SECA website. Furthermore, Members of SECA have the opportunity to download SECA Model Documentations. These can be retrieved in the member zone on the SECA homepage.

Check on www.seca.ch
Chapter VII

Key People
## Key People

(Without academic titles)

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Upcoming Events SECA

- **SECA Annual General Meeting**
  Wednesday, 7 May 2014, 15.30 - 17.15
  Zurich, Widder Hotel

- **SECA Evening Event: Vom Industrie- zum Beteiligungsgeschäft**
  Tuesday, 13 May 2014, 17.30 - 21.30
  Zurich, Baur au Lac

- **SECA Private Equity & Corporate Finance Conference**
  Tuesday, 24 June 2014, 09.00 - 18.00
  Zurich, Convention Point

- **SECA Evening Event: Legal & Tax**
  Wednesday, 10 September 2014, 17.00 - 20.00
  Zurich, Widder Hotel

- **TOP 100 Swiss Startups 2014**
  Wednesday, 17 September 2014, 17.00 - 22.00
  Zurich, Maag Werft 219

- **SECA Evening Event: Seed Money & Venture Capital**
  Wednesday, 15 October 2014, 17.00 - 20.00
  Zurich, Widder Hotel

- **Private Equity Workshop**
  Zug, Institute for Financial Services

- **SECA Evening Event: Corporate Finance**
  Wednesday, 19 November 2013, 17.00 - 20.00
  Zurich, Widder Hotel

Upcoming Events Young SECA

- **9. Young SECA Get Together**
  Thursday, 21 August 2014, 19.00 - 22.00
  Zurich

- **10. Young SECA Get Together**
  Thursday, 20. November 2014, 19.00 - 22.00
  Zurich